Charge carrier recombination at perovskite/HTL interfaces monitored by time-resolved spectroscopy

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Abstract
Carrier recombination at the interface between charge extraction layers and the perovskite photoactive layer in solar cells reduces the quasi-Fermi level splitting (QFSL) and hence, the device’s open-circuit voltage ($V_{OC}$). Distinguishing between interfacial carrier recombination and charge extraction requires selective probing of carrier dynamics with transient optical spectroscopy techniques. However, bulk recombination, interfacial recombination, and charge extraction all contribute to the transient response making a precise determination of individual rates challenging. Here, we compare two commonly-used hole transport layers (HTLs), namely, PTAA and NiOx, adjacent to prototypic MAPI3 perovskite photoactive layers and wide-bandgap perovskites. We demonstrate that combining time-resolved photoluminescence (TR-PL) and transient absorption (TA) measurements can reveal recombination losses associated with the perovskite/NiOx interface, as confirmed by drift-diffusion simulations. The best performing MAPI3/PTAA-based device exhibits less non-radiative recombination and more efficient charge extraction, facilitated by favorable energy level alignment.

TOC
Perovskite solar cells (PSCs) have attracted immense attention in the last decade due to their outstanding optical and electronic properties, and the rapidly increasing power conversion efficiency (PCE), today in the range of 25.5% for single-junction devices.\textsuperscript{1} PSCs are fabricated either in \textit{n-i-p} or \textit{p-i-n} configuration, referring to the position of the charge transport layers (CTLs). Although the highest PCEs have been reported for the \textit{n-i-p} structure, the \textit{p-i-n} configuration is said to be industrially more relevant. It offers several advantages such as the possibility of low-temperature processing, dopant-free manufacturing, and compatibility with already existing organic electronic manufacturing processes.\textsuperscript{2} Currently, the most widely and efficient HTL employed in \textit{p-i-n} based PSCs is a compact layer of poly[bis(4-phenyl)-(2,4,6-trimethylphenyl)amine] (PTAA).\textsuperscript{3,4} This polymer has proven to be more efficient as compared to the conventional poly(3,4-ethylenedioxythiophene)polystyrene sulfonate (PEDOT:PSS) or nickel oxide (NiO\textsubscript{x}). On the other hand, the high optical transparency, superior solvent tolerance, and stability of NiO\textsubscript{x}, make it appealing for efficient and stable \textit{p-i-n} tandem devices.\textsuperscript{5} Fundamental studies have addressed the importance of selective HTLs, specifically on the interfacial physical processes.\textsuperscript{6,7} The interface between the CTL and the absorber (perovskite) layer induces additional non-radiative recombination pathways such as trap-assisted recombination, which limits the open-circuit voltage (\textit{V\textsubscript{OC}}) of devices.\textsuperscript{8} Furthermore, other effects such as interface-charging have been reported in literature.\textsuperscript{9} These trap states are also responsible for the lower stability of the respective PSCs.\textsuperscript{10}

Numerous studies have reported state-of-the-art HTLs including small organic molecules, polymers, and metal oxides, however, several induce non-radiative losses, which can be detrimental for the device’s PCE.\textsuperscript{6,11} Hence, reducing interface recombination is of utmost importance in the design of HTLs.\textsuperscript{12} Additionally, band alignment is a critical parameter for charge selectivity, and a favorable alignment of the energy level of the HTL with the perovskite absorber layer ensures efficient charge extraction.\textsuperscript{13,14} In case of organic HTLs, the ground state bleach (GSB) observed by transient absorption (TA) spectroscopy can be used to probe the hole transfer process and recombination dynamics.\textsuperscript{15} However, inorganic metal oxides do not display a GSB, their excited state absorption cross-sections are small, and the excited state spectra overlap with their ground state absorption in the spectral range of 300–400 nm. Hence, spectroscopically differentiating charge extraction from interface recombination losses becomes a challenge when using inorganic HTLs.


Identifying the precise loss mechanisms is a prerequisite to further advance PCEs in PSCs. Interfacial recombination and charge extraction are competing processes, which are not easily distinguishable in transient optical spectroscopy. However, combining data from different time-resolved techniques such as photoluminescence (TR-PL) and TA spectroscopy can help to disentangle the loss processes.\textsuperscript{16,17} In TRPL and TA, both extraction and recombination reduce the lifetime of the PL, thus causing a faster signal decay.\textsuperscript{18} Moreover, $V_{oc}$ and quasi-Fermi level splitting (QFLS) in the absorber layer are typically in the same order in highly efficient devices, while poor devices exhibit lower QFLS.\textsuperscript{6,19}

Herein, we report the impact of two different HTLs, precisely, NiO$_x$ and PTAA, adjacent to two different perovskite (PVK) materials, namely, MAPbI$_3$ (MAPI) and the wide bandgap (WBG) multi-cation lead mixed halide perovskite Cs$_{0.15}$MAPb$_{0.15}$FA$_{0.70}$Pb$_{0.80}$Br$_{0.20}$I$_3$; the latter finds application in multi-junction tandem solar cells.\textsuperscript{20} By combining TR-PL and TA spectroscopy results, the origin of higher $J_{sc}$ (23.6 mA/cm$^2$) and $V_{oc}$ (1.13 V) in MAPI/PTAA based devices is unraveled. In particular, we demonstrate that interfacial charge recombination and extraction can be distinguished by this approach. Specifically, when exciting the samples from the HTL side, extraction is effectual, while non-radiative recombination is significantly reduced in MAPI/PTAA-based devices compared to both WBG/NiO$_x$ and MAPI/NiO$_x$ solar cells. Finally, our drift-diffusion device simulation exposed a more favorable energy level alignment at the MAPI/PTAA interface. In contrast, from the drift-diffusion simulation, significant energy offsets ($\Delta E$) were found between the PVK and NiO$_x$ valence bands, more precisely, 50 meV and 70 meV for MAPI and WBG, respectively. This rationalizes the losses in $V_{oc}$ and supports the observation of interfacial non-radiative recombination by time-resolved measurements caused by charge accumulation in combination with a high surface recombination velocity. Impedance spectroscopy measurements further support this claim, indicating a higher resistance at the MAPI/PTAA interface in turn resulting in lower trap density compared to MAPI/NiO$_x$.

We studied the steady-state optical characteristics of representative MAPI and WBG perovskite absorber layers. The UV-vis absorptance and steady-state photoluminescence (PL) measurements of the neat perovskite thin films are shown in Figure S1 (Supporting Information (SI)). The absorptance spectrum of MAPI covers a spectral range up to 800 nm, whereas for the multi-cation lead mixed-halide, labeled as WBG-PVK, the absorption spectrum extends to 750 nm. The steady-
state PL spectrum exhibits a characteristic peak around 763 nm for MAPI, in contrast, the PL peak position is located at 739 nm for the WBG based PVK. This difference is in line with the expected difference in the perovskite’s bandgap estimated to be ~1.59 eV and ~1.67 eV from the onset of the absorptance spectra (Figure S1), respectively. A difference in the energy of the valence band maximum (VBM) of ~0.1 eV was determined by ultraviolet photoelectron spectroscopy (UPS), the spectra are shown in Figure S2.

![Figure S1: Absorptance spectra for MAPI and WBG based devices.](image)

**Figure 1:** The cross-sectional SEM of the MAPI and WBG based devices, and the schematic of the device architecture. b) The $J-V$ characteristics of the NiO$_x$-based devices and in c) the PTAA-based devices. d) The EQE spectra (open circles) of the corresponding devices alongside the integrated current density (dashed line).

To investigate the device performance of the NiO$_x$ and PTAA-based solar cells, both narrow (1.59 eV) and wide bandgap (1.67 eV) PSCs were fabricated as described in the experimental section. The schematic of the $p-i-n$ device stack (ITO/NiO$_x$ or PTAA/PVK/C$_{60}$/BCP/Ag) used in this study
and cross-sectional scanning electron microscopy (SEM) images of the devices are shown in Figure 1a. MAPI films had a thickness of ~350 nm, whereas, WBG perovskite films had a thickness of ~450 nm. All perovskite films are formed with high-quality on both HTLs, namely, PTAA and NiOx. The J–V characteristics and device parameters of the NiOx- and PTAA-based PSCs are presented in Figures 1b and 1c, and Table 1, respectively. In particular, we found that the best performing device (PCE = 20.6%) is MAPI/PTAA, yielding the highest Jsc of 23.7 mA/cm² and Voc of 1.13 V, while the WBG/PTAA resulted in a PCE = 19.0%. Here, the open-circuit voltage deficit (Woc) of the MAPI and WBG based PTAA devices are 470 and 520 mV, respectively. In the case of NiOx-based devices, we achieved a PCE of 18.6% for MAPI/NiOx, whereas 17.4% is obtained for WBG/NiOx. However, both devices yielded similar Voc values, and the fill factor (FF) was almost identical across all four devices. Additionally, both the external quantum efficiency (EQE) and the integrated current density values of the devices matches (Figure 1d and Figure S4). The MAPI/PTAA device yields higher EQE in the spectral range from 350-450 nm. The absorptance spectrum of PTAA on ITO is shown in Figure S3, and comparing this to NiOx reported earlier,21 shows that the absorptance is higher for NiOx. Additionally, the higher Voc and Jsc values of PTAA-based devices (Figure S4) indicate that PTAA as HTL outperforms NiOx. Below we outline the underlying reasons behind these findings and discuss the performance in terms of the photophysical processes tracked by transient optical measurements.

<table>
<thead>
<tr>
<th>System</th>
<th>Jsc (mA cm⁻²)</th>
<th>Voc (V)</th>
<th>FF (%)</th>
<th>PCE (%)</th>
<th>Scan direction</th>
</tr>
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<tbody>
<tr>
<td>MAPI/NiOx</td>
<td>22.6</td>
<td>1.08</td>
<td>76.1</td>
<td>18.6</td>
<td>Reverse</td>
</tr>
<tr>
<td></td>
<td>22.5</td>
<td>1.07</td>
<td>77.0</td>
<td>18.6</td>
<td>Forward</td>
</tr>
<tr>
<td>WBG/NiOx</td>
<td>20.5</td>
<td>1.08</td>
<td>78.5</td>
<td>17.4</td>
<td>Reverse</td>
</tr>
<tr>
<td></td>
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<td>1.07</td>
<td>77.5</td>
<td>17.0</td>
<td>Forward</td>
</tr>
<tr>
<td>MAPI/PTAA</td>
<td>23.7</td>
<td>1.13</td>
<td>76.7</td>
<td>20.6</td>
<td>Reverse</td>
</tr>
<tr>
<td></td>
<td>23.6</td>
<td>1.13</td>
<td>77.0</td>
<td>20.5</td>
<td>Forward</td>
</tr>
<tr>
<td>WBG/PTAA</td>
<td>21.0</td>
<td>1.16</td>
<td>77.3</td>
<td>19.0</td>
<td>Reverse</td>
</tr>
<tr>
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<td>1.15</td>
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<td>18.6</td>
<td>Forward</td>
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</table>
All devices were tested at a 50 mV s\(^{-1}\) scan rate without any preconditioning.

To investigate the processes taking place at the PVK/HTL interface, transient optical measurements were performed on the PVK absorber layers adjacent to the HTLs upon optical excitation at 532 nm incident on the HTL side. Here, we use data from TR-PL and TA to get insight into interfacial charge recombination and charge extraction. The experimentally acquired TR-PL spectra of the different stacks MAPI/NiO\(_x\), MAPI/PTAA, WBG/NiO\(_x\), and WBG/PTAA at selected time delays are presented in Figure 2. For reference, the TR-PL spectra of neat PVK samples are presented in Figures S5 and S6 with the PL peak position of MAPI at 770 nm and of WBG at 739 nm.

**Figure 2:** TR-PL spectra of representative perovskite stacks. a) The spectra of MAPI/NiO\(_x\) stack at different time delays upon photoexcitation at 532 nm using 300 nJ cm\(^{-2}\) fluence yielding initial carrier densities of \(n_{0,MAPI} = 8.9 \times 10^{16} \ \text{cm}^{-3}\) and \(n_{0,WBG} = 6.9 \times 10^{16} \ \text{cm}^{-3}\). b) Spectra of MAPI/PTAA stack at selected time delays. c) Transients tracked at the PL peak of neat MAPI, MAPI/NiO\(_x\) stack with the fitting (solid grey lines) to a sum of two exponentials. d) time-integrated spectra of WBG/NiO\(_x\) stack. e) time-integrated spectra of WBG/PTAA. f) the associated transients of neat WBG based PVK, WBG/NiO\(_x\), and WBG/PTAA stacks with the fits (solid grey lines).
The PL spectra of the different stacks are largely feature-less and transient spectral shifts are not observed. The PL of the WBG/PTAA sample peaks at ~756 nm with a shoulder around 739 nm at early delay times. We assign this to halide segregation as discussed in literature.\textsuperscript{22} Further evaluation of the PL lifetime is done by tracking the PL decay at the respective PL peak positions. To this end, the neat WBG-PVK exhibits the longest PL lifetime of 92 ns compared to neat MAPI with 73 ns, when fitting to a two-exponential decay function (Table S1 and Table 2) and determining the average-weighted lifetimes according to: $(A_1t_1\cdot A_2t_2)/(A_1+A_2)$, where $A$ is the signal amplitude and $t$ is the decay time, respectively. The overall shorter PL lifetime of MAPI is attributed to a higher density of traps, which is characteristic for this PVK.\textsuperscript{23} Turning to the PVK/HTL stacks, the MAPI/NiO\textsubscript{x} sample exhibits a faster PL decay of 45 ns, indicative of hole extraction from MAPI to NiO\textsubscript{x} at early time scales; however, we assume that the PL decay also reflects in part interfacial charge recombination. Noteworthy, the PL of the MAPI/PTAA stack decays rapidly in 7 ns. Furthermore, the PL decay of the WBG/PTAA stack is shorter (30 ns) than that of the MAPI/PTAA stack. With this, the higher performance of the MAPI/PTAA-based device can be rationalized by efficient hole extraction, which yields the highest $J_{SC}$ of all devices. In contrast, the WBG/NiO\textsubscript{x} stack displays a substantially shortened PL lifetime of 3 ns. The initial fast PL decay component of the WBG/NiO\textsubscript{x} transient is assigned to fast hole transfer, whereas the longer component is attributed to interfacial recombination. To evaluate this further, we performed TA experiments, as they can provide additional insight into charge transfer and non-radiative recombination processes and their dynamics.

<table>
<thead>
<tr>
<th>Systems</th>
<th>$\tau_{\text{avg, wt}}$ (ns)</th>
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<tbody>
<tr>
<td>WBG</td>
<td>92</td>
</tr>
<tr>
<td>WBG/PTAA</td>
<td>30</td>
</tr>
<tr>
<td>WBG/NiO\textsubscript{x}</td>
<td>3</td>
</tr>
<tr>
<td>MAPI</td>
<td>73</td>
</tr>
<tr>
<td>MAPI/PTAA</td>
<td>7</td>
</tr>
</tbody>
</table>
Figure 3: TA spectroscopy measurements upon optical excitation at 532 nm and 600 nJ cm\(^{-2}\) fluence yielding initial carrier densities \((n_{0,\text{MAPI}} = 1.8 \times 10^{17} \text{ cm}^{-3}\) and \(n_{0,\text{WBG}} = 1.7 \times 10^{17} \text{ cm}^{-3}\)). The time-integrated spectra at different time delays of a) MAPI/NiO\(_x\), the highlighted area is the GSB of MAPI, b) MAPI/PTAA, and c) kinetics of neat MAPI, MAPI/NiO\(_x\), and MAPI/PTAA stacks extracted at the GSB. Time integrated TA spectra of d) WBG/NiO\(_x\), e) WBG/PTAA, and f) kinetics of neat WBG based PVK, WBG/NiO\(_x\), and WBG/PTAA stacks extracted at the GSB.

More precisely, we performed nanosecond-microsecond (ns-µs) TA spectroscopy on neat PVK thin films and the associated PVK/HTL stacks, the results are shown in Figure 3. The time-integrated probe spectra of neat MAPI and WBG PVK films at selected delay times are shown in Figures S7 and S8. The corresponding spectra of the stacks MAPI/NiO\(_x\), MAPI/PTAA, WBG/NiO\(_x\), and WBG/PTAA are presented in Figures 3a-3b and Figures 3d-3e. The TA spectra exhibit the characteristic GSB in the range of 1.58-1.73 eV (MAPI) and 1.54-1.85 eV (WBG), and a weak photo-induced absorption spanning from 1.73-2.00 eV (MAPI) and 1.86-2.20 eV (WBG).
Additionally, the associated kinetics extracted at the peak of the GSB at 1.65 eV and 1.70 eV of MAPI and WBG PVKs, respectively, are displayed in Figures 3c and 3f. The spectra of both PVKs are featureless, tracking the respective GSB decays, the WBG based PVK is found to exhibit slower dynamics than its counterpart (Table 3 and Table S2), which is consistent with the decay of the PL. The neat MAPI samples exhibits a single exponential and slow PL decay, which we assign to trap-assisted recombination of charges in the bulk. The GSB of MAPI/PTAA exhibits a slower decay than that of neat MAPI. Noteworthy, the decay is fast within the first 40 ns; however, beyond 40 ns it follows similar dynamics as observed in the neat MAPI. Hence, we assign this slower decay to electron-hole recombination in the bulk of MAPI and the faster decay is attributed to the extraction of holes to the PTAA transport layer. This is also consistent with the fast PL decay dynamics shown above (Figure 2c), which is attributed to hole extraction. With this, the higher $J_{SC}$ for the MAPI/PTAA based device is due to less non-radiative recombination. In the case of MAPI/NiO$_x$, the GSB decay is substantially faster and displays different dynamics compared to the PTAA based stack. Initially, within the first 40 ns the decay is similar to the one in the PTAA based stack, which we ascribe to charge extraction. Subsequently, the decay is significantly faster than observed in both the pristine MAPI and MAPI/PTAA based samples on the same timescale, which we assign to interfacial non-radiative recombination. We note, that the lifetimes of MAPI/NiO$_x$ extracted from TRPL (45 ns) and TA (51 ns) are comparable. The transients of both WBG/NiO$_x$ and WBG/PTAA samples display similar dynamics as MAPI/NiO$_x$ up to 200 ns, subsequently, the signal of the WBG/NiO$_x$ stack decays faster then neat WBG. This implies that in WBG/NiO$_x$ samples the hole extraction occurs at earlier times, whereas interfacial non-radiative recombination is dominant at longer times. In fact, this is in line with the observed lower $J_{SC}$ in WBG/PTAA, yet the origin of such a small change of only 0.5 mA cm$^{-2}$ in $J_{SC}$ is difficult to unravel by transient measurements. We conclude that the MAPI/PTAA sample exhibits less interfacial non-radiative recombination leading to both higher $J_{SC}$ and $V_{OC}$. In particular, the difference in $V_{OC}$ is small, while a substantial difference in $J_{SC}$ is observed when comparing MAPI and WBG based PVK devices. The difference to the WBG/NiO$_x$ system is striking, it yields lower $J_{SC}$ and $V_{OC}$. As discussed above, based on the absorptance measurements of the HTL, we exclude any impact from absorption in NiO$_x$ and PTAA on $J_{SC}$. Hence, in the case of WBG/NiO$_x$ and PTAA samples the TA dynamics and the TR-PL dynamics are comparable. For the MAPI/PTAA system our interpretation is distinct, as hole extraction is dominant at shorter time scales, which is confirmed
by both TA and TR-PL measurements. Additionally, the TA measurements reveal bulk recombination at longer time scales. For the case of sputtered NiOₓ, our findings concerning the non-radiative recombination are in line with earlier reports in literature showing that interface passivation improves device performance in NiOₓ-based devices.²⁴,²⁵

Table 3: Parametrized time constants τ₁ and τ₂ extracted from double exponential fits to the respective decays of the GSB and the extracted weighted-averaged τ_TA,wt lifetimes. Note the GSB decay of neat MAPI is fitted with a single exponential function.

<table>
<thead>
<tr>
<th>Systems</th>
<th>τ₁ (ns)</th>
<th>τ₂ (ns)</th>
<th>τ_TA,wt (ns)</th>
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<tbody>
<tr>
<td>WBG</td>
<td>51</td>
<td>264</td>
<td>217</td>
</tr>
<tr>
<td>WBG/PTAA</td>
<td>12</td>
<td>80</td>
<td>44</td>
</tr>
<tr>
<td>WBG/NiOₓ</td>
<td>15</td>
<td>77</td>
<td>42</td>
</tr>
<tr>
<td>MAPI</td>
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<td>167</td>
</tr>
<tr>
<td>MAPI/PTAA</td>
<td>16</td>
<td>160</td>
<td>79</td>
</tr>
<tr>
<td>MAPI/NiOₓ</td>
<td>20</td>
<td>84</td>
<td>51</td>
</tr>
</tbody>
</table>

The interfacial recombination depends not only on the defect density at the interface, but also on the conduction band offset (CBO) and valence band offset (VBO) between the electron transport layer (ETL)/PVK and HTL/PVK interfaces (i.e., PTAA’s highest occupied molecular orbital (HOMO) and lowest unoccupied molecular orbital (LUMO)), which are both supported by simulations and experimentally verified.²⁶,²⁷ In particular, it was demonstrated that the VB of the HTL is lower than that of the perovskite absorber; an energy cliff is formed at the interface leading to the accumulation of holes. This non-favorable alignment of energy levels causes severe recombination and in turn reduces the V_OC.²⁸ Consequently, energy level alignment between HTL's and PVK's VBs reduces recombination and improves device performance. In order to evaluate the origin of the differences in V_OC, device drift-diffusion simulation was performed to provide further insight into the energetic landscape between the respective HTLs and PVKs. In particular, we have compared the simulated misalignment of the VB, which leads to an energy offset (ΔE) at the interface of HTL/PVK interface, with the experimentally achieved V_OC losses. Furthermore, the
numerical model and analysis are detailed in the SI, and our calculations were performed under one sun illumination condition. In addition, the optical bandgaps ($E_g$), the thickness of the layers, the ionization potential (IP), and electron affinity (EA) inferred from IP + $E_g$ or direct measurement related to both the active and additional layers used in the simulation are obtained from UPS and IPES measurements (Figure S2), results listed in Table S1, respectively. Specifically, the energy band diagrams of both PTAA- and NiO$_x$-based PSCs were computed and compared. We correlated the energy band alignment to determine band offsets at the respective PVK/HTL interfaces resulting in $V_{OC}$ changes. Subsequently, the $V_{OC}$ of the devices was determined and assessed by estimating the quasi-fermi level splitting (QFLS) from the band structure under one sun condition.$^{5,6}$ The $V_{OC}$ and QFLS are considered equivalent under one-sun condition.$^{29}$ The quasi-Fermi levels for electrons and holes, $E_{fn}$ and $E_{fp}$, are the quantities representing the density of free photo-generated electrons (holes) in the conduction (valence) band within the limit of quasi-equilibrium as displayed in Figure 4, and the effective difference between $E_{fn}$ and $E_{fp}$ is QFLS.$^{26}$ In particular, quantifying QFLS has been an effective approach to investigate recombination losses in the PSCs.$^{30,31}$ Moreover, $E_c$ and $E_v$ are the energies related to the CB and VB edges, respectively, as shown in Figure 4. However, for the case of PTAA, the equivalent quantities are defined with respect to the HOMO and LUMO. In addition to the band-offset analysis, we have also considered interfacial electronic defects by adding a surface recombination velocity (SRV) to quantify the effect of surface passivation at PVK/NiO$_x$ and PVK/PTAA interfaces. SRV is the surface equivalent of the minority carrier lifetime in a bulk semiconductor.$^{29}$ It can be used to provide insight into interfacial traps within the device stack. As pointed out in several earlier works, high SRV ($\sim$10$^5$ cm/s) and low minority carrier lifetime decrease the carrier density and carrier collected by the junction.$^{32,33}$

Figure 4 presents the energy band diagrams associated with both PVK absorbers (MAPI and WBG) of PTAA and NiO$_x$ based PSCs. In addition, the simulated $E_{fn}$ and $E_{fp}$ at $V_{OC}$ are indicated by dotted lines (blue) with $E_c$ and $E_v$ of PTAA- and NiO$_x$-based PSCs in Figure 4. In the case of PTAA, we observe an energetically well-aligned HOMO (minimal energy offset) of the HTL layer with the MAPI and WBG devices as indicated by the solid lines (red) in Figure 4a and 4c, respectively. Subject to an ideal alignment, the photo-generated holes do not encounter any barrier and are collected efficiently at the front electrode. In addition, the PVK/PTAA sample exhibits less interfacial defects or traps, which leads to a SRV$_{PVK/PTAA}$ in the order of 10$^2$ cm/s.$^{32}$ Therefore,
PTAA acts as an ideal energetically well-aligned HTL for both MAPI and WBG based devices. Furthermore, we obtained a $V_{OC}$ of 1.13 V and 1.15 V as depicted in Figures 4a and 4c for PTAA-based MAPI and WBG devices, respectively. On the other hand, we observe an energetically misaligned HTL layer in the case of NiO$_x$ adjacent to MAPI and WBG-PVK in PSCs, as shown in Figures 4b and 4d.

**Figure 4:** Simulated band diagram of the $p$-$i$-$n$ structure of MAPI solar cells accounting with a) PTAA, and b) NiO$_x$, HTL interface at open circuit condition. Simulated band diagram of the $p$-$i$-$n$ structure of WBG solar cells, accounting with c) PTAA, and d) NiO$_x$, HTL interface at open circuit condition. The respective insets in b) and d) display the energy offset between the respective valence bands of the PVKs and the HTLs.

This misalignment of the VB leads to an energy offset ($\Delta E$) causing an increase of the hole population at the HTL (NiO$_x$) interface,$^6$ resulting in higher recombination rate due to a higher SRV$_{PVK/NiO_x}$ in the order of $10^5$ cm/s.$^7$ The simulation indicates notable energy barriers of 50 meV.
and 70 meV at the MAPI/NiOₓ and WBG/NiOₓ interfaces, respectively, as shown in the insets of Figures 4b and 4d. This directly correlates with the loss in \( V_{OC} \) observed in our experimentally measured device characteristics shown in Table 1. Furthermore, our simulation yields a \( V_{OC} \) of 1.08 V for both NiOₓ-based MAPI and WBG devices as shown in Figures 4c and 4d, which matches the experimentally determined value. Hence, expectedly a finite \( \Delta E \) results in an equal loss in the device \( V_{OC} \). Besides, we conclude that the band-offset at the MAPI/HTL and WBG/HTL interface is a crucial factor determining carrier recombination at the interface, which governs the \( V_{OC} \).

The simulation confirms the findings from the time-resolved measurements, which indicated effectual extraction and reduced non-radiative interfacial recombination associated with the MAPI/PTAA interface, leading to both improved \( V_{OC} \) and \( J_{SC} \). In addition, the unfavorable band alignment in the case of the WBG/NiOₓ interface leads to considerable non-radiative recombination, which is confirmed by TA measurements, caused by the offset \( \Delta E \) of 70 meV. Hence, the loss in \( V_{OC} \) can be rationalized by the energy offset. Thus, we find an excellent agreement between the simulation and our spectroscopic results. Briefly, the MAPI/NiOₓ stack exhibits moderate PL quenching and substantial non-radiative recombination as seen by TA, caused by the unfavorable band alignment with a \( \Delta E \) of 50 meV, causing the drop in \( V_{OC} \). Finally, the WBG/PTAA stack exhibits mainly non-radiative recombination, which limits the \( J_{SC} \), as confirmed by the TA measurements. However, due to the more favorable energy level alignment, the \( V_{OC} \) is not affected, as supported by the simulation. Finally, our simulation is validated by reconstructing the experimentally-measured \( J-V \) characteristics of MAPI/PTAA- and MAPI/NiOₓ-based devices using the simulated parameters. The results are shown in Figure S9, they demonstrate that both the experimentally and simulated \( J-V \) curves are in good agreement.

The interfacial recombination at the MAPI/NiOₓ and MAPI/PTAA interfaces is further studied using impedance spectroscopy. Figures 5a and 5b show the impedance spectra of both devices taken under open circuit condition at 100 mW/cm² illumination. As shown in the inset of Figure 5c, equivalent circuits with two arcs are used to parameterize the data. The arc in the high-frequency region (>1kHz) represents carrier recombination (\( R_2 \)) and the dielectric properties (\( C_g \)) of the bulk perovskite layer, whereas the low-frequency region arc (~1Hz) is caused by the recombination resistance (\( R_3 \)) and charge accumulation capacitance (\( C_a \)) at the perovskite layer interfaces. Since recombination and photo-generation currents cancel each other at open circuit
conditions, recombination resistance at this point given by the $R_2+R_3$ represents the trap-related recombination. Therefore, higher recombination resistance of MAPI/PTAA results from the lower interfacial trap density.\textsuperscript{34} In contrast, the MAPI/NiO\textsubscript{x} interface yields a lower resistance, implying higher interfacial trap density (Figure 5).

![Nyquist plots of a) MAPI/PTAA with voltage range of 0.4-1.1 V, and the inset displays the voltage range 0.6-1.0 V. In b) MAPI/NiO\textsubscript{x} devices under 1-sun light illumination at different bias voltages, c) low-frequency resistance of NiO\textsubscript{x} and PTAA based HTL devices with respect to the applied bias voltages, with the inset showing the equivalent circuit, here $R_S$ is the serial resistance.](image)

**Figure 5:** Nyquist plots of a) MAPI/PTAA with voltage range of 0.4-1.1 V, and the inset displays the voltage range 0.6-1.0 V. In b) MAPI/NiO\textsubscript{x} devices under 1-sun light illumination at different bias voltages, c) low-frequency resistance of NiO\textsubscript{x} and PTAA based HTL devices with respect to the applied bias voltages, with the inset showing the equivalent circuit, here $R_S$ is the serial resistance.

In conclusion, we studied two HTLs, namely, PTAA and NiO\textsubscript{x}, adjacent to either MAPI or a WBG-PVK in PSCs. MAPI/PTAA based devices yielded the highest performance due to a high $J_{SC}$ (23.7 mA cm\textsuperscript{-2}) and $V_{OC}$ (1.13 V). By combining TR-PL and TA spectroscopy data, we confirmed that the shortened PL lifetime observed in MAPI/PTAA stacks is due to effectual hole extraction. Additionally, TA measurements also revealed reduced non-radiative interfacial recombination. In general, our results show that PTAA as HTL outperforms NiO\textsubscript{x} due to less non-radiative recombination, indicative of lower interfacial trap state density. Furthermore, drift-diffusion simulations supported the results of transient measurements. In particular for NiO\textsubscript{x}-based devices, the energy band offset between the valence band of the respective perovskites and NiO\textsubscript{x} is 50 meV (WBG/NiO\textsubscript{x}) and 70 meV (MAPI/NiO\textsubscript{x}), which causes substantial interfacial non-radiative recombination in the MAPI/NiO\textsubscript{x} stack. Finally, impedance spectroscopy measurements further
confirmed higher recombination resistance at the MAPI/NiOₓ interface compared to the MAPI/PTAA interface.
Experimental section

Device fabrication and characterization of single-junction perovskite solar cells: The inverted \((p-i-n)\) device stack consists of ITO-hole transporting layer (HTL)-Perovskite-C\(_{60}\)-BCP-Ag. The devices were prepared using the following procedure. ITO coated substrates were cleaned by sonication successively in detergent, deionized water, acetone, and isopropanol for 15 min, respectively. They were then dried with \(\text{N}_2\) flow and transferred to an \(\text{N}_2\)-filled glovebox. The PTAA (2 mg/ml in CB) HTL with a thickness of \(~15\) nm was spin-coated on top of the ITO at 6000 rpm for 20 s and subsequently annealed at 100 °C for 10 min. The NiOx HTL layer was deposited by radio frequency (RF) magnetron sputtering from a stoichiometric NiO target (99.95% purity) at room temperature using Angstrom Engineering EvoVac system.\(^{20}\) To coat the MAPbI\(_3\) perovskite layer, 1.10 M equimolar MAI and PbI\(_2\) were dissolved in co-solvent of dimethylformamide/dimethyl sulfoxide (DMF/DMSO; 4/1:v/v). For the wide-bandgap perovskite, the perovskite precursor solution was prepared by dissolving CsI, FAI, MABr, PbI\(_2\), and PbBr\(_2\) in co-solvent of DMF/DMSO (4/1:v/v) to obtain 1.25 M of Cs\(_{0.15}\)MA\(_{0.15}\)FA\(_{0.70}\)Pb(I\(_{0.80}\)Br\(_{0.20}\))\(_3\). The perovskite solutions were then coated onto the PTAA or NiO\(_x\) layers using a consecutive two-step spin-coating process consisting of 2000 rpm for 10 s and 5000 rpm for 30 s. 300 \(\mu\)l of EtAc was dropped at the 10\(^{th}\) s of the second step. The films MAPbI\(_3\) films were annealed at 100 °C for 15 minutes, whereas, the Cs\(_{0.15}\)MA\(_{0.15}\)FA\(_{0.70}\)Pb(I\(_{0.80}\)Br\(_{0.20}\))\(_3\) films were annealed at 100 °C for 30 minutes. The devices were completed by thermal deposition of 30 nm-thick C\(_{60}\), 8 nm-thick BCP, and 100 nm-thick Ag top contact at a vacuum of \(<3\times10^{-6}\) Torr. All devices were tested using a Keithley 2400 at room temperature under AM 1.5G illuminations (1000 W/m\(^2\)) with an Abet Technologies Sun 3000 solar simulator, which was calibrated using a standard silicon cell (RERA). Current density-voltage \((J-V)\) curves were obtained both in reverse \((1.2 \text{ V} \rightarrow -0.1 \text{ V})\) and forward scan \((-0.1 \text{ V} \rightarrow 1.2 \text{ V})\) with a step size of 10 mV. All the measurements were performed under an \(\text{N}_2\) environment inside the glovebox.

External quantum efficiency (EQE): The EQE of solar cells was measured with a Newport EQE system (Model: Oriel IQE-200) by focusing the chopped monochromatic light beam onto the active area of the solar cells without external white-light bias.
Scanning electron microscopy (SEM): SEM images were obtained with a ZEISS Auriga Crossbeam system with an accelerating voltage of 5 kV.

UV-vis absorption spectroscopy: The transmittance (T) and reflectance (R) of the perovskite samples were obtained between 350 and 900 nm using a Perkin Elmer Lambda 950 UV-vis-NIR (near-infrared) spectrophotometer equipped with an integrated sphere. Particularly, the absorptance (A) of the films was calculated by following relation A=1-(T+R), where T and R are the measured transmittance and reflectance, respectively.

Photoluminescence (PL): The PL spectra of the samples were collected using Horiba FluoroMax-4 spectrofluorometer following an optical excitation at 550 nm.

Transient absorption (TA): (TA) spectroscopy was carried out using a home-built pump-probe setup. Two distinct configurations of the setup were applied for either short delay, namely 100 fs to 8 ns experiments, or long delay, namely 1 ns to 100 μs delays, as described below. For the 1 ns to 100 μs delay (long delay) TA measurements in the range covering 500-1500 nm, we used the fundamental 800 nm output of titanium:sapphire amplifier (Coherent LEGEND DUO, 4.5 mJ, 3 kHz, 100 fs) focused onto a sapphire crystal, thereby generating a white-light supercontinuum from 500 to 1500 nm. However, the excitation light (pump pulse) was provided by an actively Q-switched Nd:YVO₄ laser (INNOLAS picolo AOT) frequency-doubled to provide pulses at 532 nm. The laser was triggered by an electronic delay generator (Stanford Research Systems DG535), itself triggered by the TTL sync from the Legend DUO, allowing control of the delay between pump and probe with a jitter of roughly 100 ps.

Pump and probe beams were focused on the sample, which was kept under a dynamic vacuum of <10⁻⁵ mbar. The transmitted fraction of the white light was guided to a custom-made prism spectrograph (Entwicklungsbüro Stresing) where a prism dispersed it onto a 512 pixel NMOS linear image sensor (HAMAMATSU S8381-512). The probe pulse repetition rate was 3 kHz, directly generated at 1.5 kHz frequency (1 ns to 100 μs delays), while the detector array was read out at 3 kHz. Adjacent diode readings corresponding to the transmission of the sample after excitation and in the absence of an excitation pulse were used to calculate ΔT/T. Measurements were averaged over several thousand shots to obtain a good signal-to-noise ratio. The chirp induced
by the transmissive optics was corrected with a home-built Matlab code by reevaluating for each wavelength the delay at which pump and probe are simultaneously arriving on the sample as the time of the signal amplitude.

**Time-resolved photoluminescence spectroscopy (TR-PL):** For TR-PL experiments samples were excited with the Coherent Helios 532 nm nanosecond laser with a pulse width of 0.85 nanosecond (ns) and a repetition rate of 1 kHz. Typical fluences were in the range of 300 nJ/cm². The PL of the samples was collected by an optical telescope (consisting of two plano-convex lenses), and it was further focused on the slit of a spectrograph (PI Spectra Pro SP2300), and eventually detected with a Streak Camera (Hamamatsu C10910) system with a temporal resolution of 1.4 picosecond (ps). The data was acquired in photon counting mode using the Streak Camera software (HPDTA) and was exported to Origin Pro 2020 for further analysis. All samples were kept in a nitrogen-filled chamber and measured at room temperature.

**Ultraviolet photoelectron spectroscopy (UPS) and Inverse Photoemission spectroscopy (IPES):** Photoemission spectroscopy was conducted in a single multi-probe UHV (ultrahigh vacuum) chamber (ScientaOmicron) operating at 10⁻¹⁰ mbar. This was equipped with an attenuated (reduced copper slit aperture) He(1) source 21.22 eV with a Spehera II EAC 125 hemispherical electron analyzer. The XPS (x-ray photoelectron spectroscopy) was performed with a monochromated XM1000 source with Al Kα. IPES (inverse photoemission spectroscopy) was performed using a home-built setup consisting of a light isolated sphere chamber equipped with a STAIB BaO cathode electron source operating at 20-30 eV beam energy with a dispersion of energy 0.25-0.5 eV. A +20 V bias was applied to the sample to result in effective kinetic energies of 0-6 eV. All light sources were eliminated and the emitted IPES radiation was detected using a band pass filters (Semrock) of 4.88 or 4.43 eV energy and a photon multiplier tube (PMT) at 940 V.

Samples for both UPS and IPES were mounted on Omicron style holding plates placed in good electrical contact to the analyzer. UPS scans were performed prior to XPS analysis at 10 or 5 eV constant pass energy. Subsequent scans were monitored to determine any radiation-induced charging during the measurements. Calibration of the analyzer work function was made by a sputter cleaned Au film measured prior to measurement of the presented spectra. IPES
measurements were conducted in the bremsstrahlung isochromatic mode directly after UPS without exposing to air. The +20V bias was applied to the sample and a linear beam energy scan was made while simultaneously measuring the sample drain current and photon intensity. The turn-on energy was found by differentiation and then fitting a Gaussian function, to calibrate the spectra from the vacuum level \((E_0 + h\nu_{bp} = E_{\text{vac}})\) and therefore extract electron affinities.

The UPS spectra were analyzed in the following way: Firstly, the work functions were determined by a linear extrapolation of the secondary electron cut-off taking into account the incident He(1) energy 21.22 eV. The He(1) satellites at 23.07 (1.87%) and 23.74 (0.035%) contributions were deducted from the spectra by comparison to measurement on the Ef step of clean Au. Secondly, the valence band or HOMO (highest occupied molecular orbital) were identified by fitting Gaussian functions at the edges and using \(2\sigma\) for the NiO\(_x\) and PTAA and \(2.9\sigma\) for the Pb halide perovskite films. For the latter, this follows the previous methodology demonstrated that both theoretically and experimentally, where the Pb halide film is best viewed in a semi-log plot to account for the low intensity of the valence band photoemission at the spectra edge. The IPES spectra for PTAA and NiO\(_x\) are tentatively analyzed placing the CBM (conduction band maximum)/LUMO (lowest unoccupied molecular orbital) energy level at the leading edge of spectra, consistent with a previous study which has identified intensity beyond the edges in PTAA. The perovskite CBM was determined from the optical band gap \((VBM + E_g(\text{optical}))\) where the exciton binding energy is small enough to be negligible 15 meV. The energy level diagram was then constructed viewed from the vacuum level (local) being aligned for all samples.

**Impedance spectroscopy**: The measurements are performed in the N\(_2\) atmosphere with Autolab PGSTAT302N potentiostat in the range of 1MHz to 1Hz with 10 mV AC perturbation. A waiting period of 2 minutes in dark is applied between the measurements. The data is collected with NOVA 2.5 software and analyzed with Z-view software.
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Notes
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Supporting Information
Supporting Information is available from the Online Library or from the authors.
Steady state spectroscopy, energetics, absorptance, device characteristics, time resolved photoluminescence, TA results, device simulation.
References


