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2 **Cell-by-cell estimation of PAH sorption and subsequent toxicity in marine**  
3 **phytoplankton**

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7

## 8 **Abstract**

9 Polycyclic Aromatic Hydrocarbons (PAHs) have elicited increasing concern due to their  
10 ubiquitous occurrence in coastal marine environments and resultant toxicity in organisms.  
11 Due to their lipophilic nature, PAHs tend to accumulate in phytoplankton cells and thus  
12 subsequently transfer to other compartments of the marine ecosystem. The intrinsic  
13 fluorescence properties of PAHs in the ultraviolet (UV)/blue spectral range have recently  
14 been exploited to investigate their uptake modes, localization, and aggregation in various  
15 biological tissues. Here, we quantitatively evaluate the sorption of two model PAHs  
16 (phenanthrene and pyrene) in three marine phytoplankton species (*Chaetoceros tenuissimus*,  
17 *Thalassiosira* sp. and *Proteomonas* sp.) using a combined approach of UV excitation flow  
18 cytometry and fluorescence microscopy. Over a 48-h exposure to a gradient of PAHs,  
19 *Thalassiosira* sp. showed the highest proportion of PAH-sorbed cells (29% and 97% of total  
20 abundance for phenanthrene and pyrene, respectively), which may be attributed to its  
21 relatively high total lipid content (33.87 percent dry weight). Moreover, cell-specific pulse  
22 amplitude modulation (PAM) microscope fluorometry revealed that PAH sorption  
23 significantly reduced the photosynthetic quantum efficiency ( $F_v/F_m$ ) of individual  
24 phytoplankton cells. We describe a rapid and precise hybrid method for the detection of  
25 sorption of PAHs on phytoplankton cells. Our results emphasize the ecologically relevant  
26 sub-lethal effects of PAHs in phytoplankton at the cellular level, even at concentrations  
27 where no growth inhibition was apparent. This work is the first study to address the cell-  
28 specific impacts of fluorescent toxicants in a more relevant toxicant-sorbed subpopulation;  
29 these cell-specific impacts have to date been unidentified in traditional population-based  
30 phytoplankton toxicity assays.

31 **Keywords:** PAHs, phytoplankton, fluorescence, adsorption, flow cytometry,  $F_v/F_m$

## 32 **1. Introduction**

33 Contamination of the oceanic environment by petroleum hydrocarbons is an urgent concern  
34 for marine systems worldwide and their ecological health. With industrial expansion and  
35 widespread consumption of fossil fuels, there has been a subsequent increase in anthropic  
36 inputs of polycyclic aromatic hydrocarbons (PAHs). PAHs are the most acutely toxic  
37 components of petroleum crude oil, and are among the most ubiquitous and intensely  
38 investigated marine pollutants over recent decades (González-Gaya et al., 2016). PAHs are  
39 lipophilic and, therefore, exhibit high affinity for the cell membrane lipoproteins of  
40 planktonic organisms, subsequently entering the food chain via strong bioaccumulation,  
41 mobility, and environmental persistence. Because of their ubiquity, toxicity, and potential for  
42 human exposure, PAHs are rated as priority pollutants by several regulatory agencies,  
43 including the US Environmental Protection Agency (EPA), the European Union, and the  
44 Agency for Toxic Substances and Disease Registry (ATSDR).

45 A significant proportion of PAHs in the ocean is associated with suspended particles. For  
46 instance, suspended particles sampled along the coast of Xiamen Island, China (Ya et al.,  
47 2014) showed enrichment factors between 0.43 and 3.56, and from 5 to 145 in Southern  
48 Chesapeake Bay (Liu & Dickhut, 1997). Recent studies have reported the concentration of  
49 total PAHs in several oceanic regions, such as 179 ng l<sup>-1</sup> in the Gulf of Trieste in the northern  
50 Adriatic Sea (Penko & Bajt, 2019), 9.36 ng l<sup>-1</sup> in the southeastern Japan Sea (Hayakawa,  
51 2018) and 43 ng l<sup>-1</sup> in the Strait of Sicily, and the central Mediterranean Sea (Vecchiato et al.,  
52 2018). Even higher values have been reported for coastal waters, ranging from 50 to 1000 ng  
53 L<sup>-1</sup> in Chesapeake Bay (Liu & Dickhut, 1997), 4228 to 29325 ng l<sup>-1</sup> for Daya Bay (Zhou &  
54 Maskaoui, 2003) and up to 459 ng L<sup>-1</sup> in the Saronikos Gulf (Valavanidis et al., 2008).  
55 Furthermore, several studies have described the extent of PAH contamination in marine biota  
56 all over the globe (Ferrante et al., 2018; Ke et al., 2017; Ramalhosa et al., 2012). However,

57 the number of such studies are limited because the methods for detection of PAHs in  
58 biological tissues are considerably labor-intensive and time- and cost-ineffective. Moreover,  
59 PAH detection conventionally relies on destructive methods such as high-performance liquid  
60 chromatography (HPLC) and gas chromatography (GC), where the selection of an apt  
61 calibration is crucial (Cyr et al., 2019).

62 PAHs, due to their aromatic structure, are highly fluorescent under low UV (200–400 nm)  
63 excitation. Thus, PAHs in environmental samples can be detected by their characteristic  
64 fluorescence emission in the blue-green region (400–500 nm) of the spectrum using HPLC  
65 and fluorescence spectroscopy (Poster et al., 2006). Several analytical studies have focused  
66 on the specific PAH fluorescence signal to detect and quantify them in water, sediments (Cyr  
67 et al., 2019; Rodriguez & Sanz, 2000; Sarrazin et al., 2006), and biological samples (Alves et  
68 al., 2017; Augusto et al., 2015; Pena et al., 2015; Zhang et al., 2010). Likewise, fluorescence  
69 microscopy has demonstrated the uptake and accumulation of toxic PAHs in planktonic  
70 organisms (Subashchandrabose et al., 2014). However, the excessive time and labor  
71 requirements of these methods have limited the widespread applicability of this method to  
72 visualize PAH sorption in a larger number of target cells, such as in a phytoplankton  
73 population.

74 In the marine environment, phytoplankton constitute the most fundamental component of  
75 ecosystems and the energy cycle. Phytoplankton are particularly vulnerable to pollutants, and  
76 exposure to toxic PAHs is among the most severe threats to their abundance and physiology,  
77 especially in coastal habitats. Adverse effects of PAHs in marine phytoplankton include  
78 photosynthetic inhibition (Othman et al., 2012), DNA damage (Cerezo & Agustí, 2015),  
79 reduced gene expression (Bopp & Lettieri, 2007), oxidative stress (Vega-López et al., 2013),  
80 influence on abundance and distribution (Echeveste et al., 2016; Kottuparambil & Agusti,  
81 2018), and trophic transfer to consumers (Froehner et al., 2011).

82 Flow cytometry is a well-established tool used in marine science to investigate the structure  
83 and distribution of phytoplankton communities in the marine environment (Anglès et al.,  
84 2015; Gérikas Ribeiro et al., 2018). A large number of observations in flow cytometry  
85 provide reliable ecological data through its high accuracy in counting and the high degree of  
86 precision (Rutten et al., 2005). In recent decades, the introduction of flow cytometry to  
87 monitor microalgal ecotoxicology has led to multispecies/multiparameter test methods, which  
88 have enabled the development of more environmentally relevant aquatic and sediment  
89 toxicity tests (Franklin et al., 2004; Stauber et al., 2002). Flow cytometry also permits a  
90 comprehensive analysis of the effects of PAHs on marine phytoplankton (Croxtton et al.,  
91 2015). (Cerezo et al., 2017) described a relatively simple flow cytometry method based on  
92 autofluorescence to identify and quantify the proportion of phytoplankton cells with  
93 accumulated PAHs on their surfaces. The authors devised UV (355–405 nm) excitation flow  
94 cytometry coupled with a standard 488 nm laser to identify the characteristic fluorescence  
95 signal from PAHs (360–430 nm) adsorbed on individual algal cells. A significant highlight of  
96 their method was the robust cell-by-cell analysis, whose relevance and accuracy are superior  
97 to traditional population-based methods. Although high-throughput flow cytometric  
98 measurements of multiple parameters of individual cells is possible, conventional  
99 microscopic methods are still necessary for detailed quantification studies in microalgae.  
100 Therefore, combining flow cytometry with fluorescence microscopy presents a promising  
101 methodology to visualize toxic PAHs inside algal cells.

102 The goal of the present study is to improve the methodology to identify PAH sorption in  
103 marine phytoplankton, through a combination of flow cytometry and microscopy PAM  
104 fluorometric techniques. We report significant results with three phytoplankton species  
105 isolated from the Red Sea. PAH contamination is a prevailing environmental issue in the Red  
106 Sea, and significant level of total PAHs has been recently reported in water (298.32 ng l<sup>-1</sup>)

107 and sediment (2599 ng g<sup>-1</sup> dry wt.) samples of the Red Sea (Al-Mur, 2019; Qari & Hassan,  
108 2017). The Red Sea receives significant levels of hydrocarbon contamination through the  
109 discharge of industrial and sewage wastewater and urban emissions. Phenanthrene and  
110 pyrene are the most widespread and abundant PAHs in the Red Sea environment (Al-Mur,  
111 2019). This study outlines an advancement in the methods to monitor and analyze the toxic  
112 impacts of PAHs in key marine phytoplankton taxa.

113

## 114 **2. Materials and Methods**

### 115 *2.1 Phytoplankton cultures*

116 Two bacillariophytes (*Chaetoceros tenuissimus* and *Thalassiosira* sp.) and one cryptophyte  
117 (*Proteomonas* sp.) species were isolated at the Qita Al Kirsh reef station (22.43 °N, 38.99 °E)  
118 and the coastal pelagic station (22.31°N, 38.99°E) in the Red Sea, respectively, after filtration  
119 through a 45 µm filter. Approximately ten clonal cultures were established using the precise  
120 single-cell isolation technique under a microscope (Axioscope A1, Carl Zeiss, Oberkochen,  
121 Germany) in filtered, sterilized, and enriched (f/2 and silicate for diatoms) seawater collected  
122 at the pelagic station. These isolates were cultivated as unialgal batch cultures at 24 °C under  
123 150 µmol photons m<sup>-2</sup> s<sup>-1</sup>, with a 12:12 light/dark cycle. Cells under the exponential growth  
124 phase were used for the experiments.

### 125 *2.2 Test Chemicals*

126 Phenanthrene (C<sub>14</sub>H<sub>10</sub>, CAS No. 85-01-8) and pyrene (C<sub>16</sub>H<sub>10</sub>, CAS No. 129-00-0) stocks  
127 were obtained as standard solutions in Methanol from Sigma Aldrich (Sigma Aldrich,  
128 Germany), with respective concentrations of 5000 and 1000 mg L<sup>-1</sup>. Working stocks for each  
129 PAH (5, 50, 100, 300, 600, and 900 mg L<sup>-1</sup>) were prepared by dilution in HPLC-grade

130 methanol, under controlled conditions to prevent the loss of solvent by evaporation. Stocks  
131 were tightly capped and stored in the dark at 4 °C.

### 132 2.3 Experimental setup

133 50 µl of the individual PAH stocks were pipetted into acid pre-cleaned 125 ml Erlenmeyer  
134 flasks and kept inside a fume hood for 10 minutes to allow the solvent to evaporate in air at  
135 room temperature. Triplicate flasks were prepared for six PAH dosages (5, 50, 100, 300, 600,  
136 and 900 µg L<sup>-1</sup>) and an untreated control. 50 ml of the algal culture was subsequently  
137 dispensed to each flask and swirled gently to ensure complete dissolution of the PAHs. The  
138 initial abundance was 10<sup>4</sup> and 10<sup>5</sup> cells ml<sup>-1</sup> for bacillariophytes and cryptophyte,  
139 respectively. The flasks were tightly capped and incubated at 24 °C in a temperature-  
140 controlled incubator (Percival Scientific Inc., USA) with a 12:12 h light:dark cycle under 150  
141 µmol photons m<sup>-2</sup> s<sup>-1</sup>. The flasks were occasionally swirled to facilitate uniform exposure to  
142 the PAH toxicant. After 48-h incubation, the samples were subjected to flow cytometric and  
143 microscopic measurements. In addition, a series of flow cytometry measurements were  
144 conducted to investigate the temporal changes in the sorption of pyrene in *Thalassiosira* sp.  
145 over a 96 h period, including sampling after 6, 24, 48, 62, and 96 hours.

### 146 2.4 Flow cytometry measurements

147 Absolute counts of phytoplankton cells and the proportion of cells with and without PAH  
148 sorption were estimated using a high performance, bench-top flow cytometer specially  
149 configured with a UV laser (375 nm) and a 488-nm blue solid-state laser (CyFlow Space,  
150 Sysmex Partec GmbH, Germany). Data were acquired by triggering chlorophyll fluorescence  
151 (FL3, 675 nm) in log mode, and the populations were enumerated following standard  
152 protocols (Franklin et al., 2001) based on the characteristic signals in the cytogram of FL3

153 versus side scatter (SSC). Dead cells and debris were excluded from enumeration by gating  
154 on an SSC/FL3 dot plot.

155 The characteristic PAH fluorescence signals were detected on the histogram of FL4  
156 (excitation 375 nm; emission band-pass 455–40), which corresponded to the signals in the  
157 blue region. Firstly, the mean intensity of blue fluorescence was determined for the untreated  
158 control population. The corresponding subset of the population was gated and assumed to be  
159 the control cells with no PAHs sorbed in them. An increase in the mean blue fluorescence  
160 value was subsequently measured for the PAH-exposed cells (Cerezo et al., 2017), which was  
161 estimated in a separate gate next to the control gate. All counts were measured as cells ml<sup>-1</sup>.

#### 162 *2.5 Fluorescence microscopy and microscopy PAM measurements*

163 PAH sorption on the individual phytoplankton cells was visually analyzed using an  
164 Axioscope A1 epifluorescence microscope (Carl Zeiss, Oberkochen, Germany) equipped  
165 with a UV LED module (365 nm; Carl Zeiss, Oberkochen, Germany). A sample from each  
166 flask was mounted on a clean Marienfeld microscope slide (Paul Marienfeld GmbH & Co.,  
167 Germany) and observed under the microscope under epifluorescence mode (Filter set 02, G  
168 365, FT 395, LP 420, Carl Zeiss, Oberkochen, Germany). Cells with PAH sorption were  
169 distinguished by the characteristic blue fluorescing PAH blotches, while cells without PAH  
170 sorption appeared in red. At least 300 cells were manually counted and the proportion of  
171 PAH-sorbed cells was calculated. Images of phytoplankton cells were taken and processed  
172 using Zen pro software (Carl Zeiss, Oberkochen, Germany). The manual microscopic  
173 estimation of PAH sorption was numerically compared with the flow cytometry counts.

174 Simultaneously, the photosynthetic efficiency of PAH-sorbed and normal cells were  
175 distinctly estimated using a Microscopy Pulse-Amplitude-Modulated fluorometer (M-PAM,  
176 Heinz Walz GmbH, Effeltrich, Germany) connected to the microscope. Before switching to



177 the PAM-mode, the cells to be measured were focused under normal light-view mode at 400x  
178 magnification. Care was taken to expose the cells to the lowest possible irradiance to avoid  
179 any potential photoinhibitory effects of the transmitted light. The cells were detected very  
180 quickly for their fluorescence signature under epifluorescence mode, avoiding the  
181 photobleaching effects of the applied UV irradiation. The microscope was subsequently  
182 switched to the M-PAM mode, and the reflector turret was rotated to activate the blue  
183 excitation source. After a few minutes of dark adaptation, a fluorescence excitation was  
184 provided by a saturating pulse of the 470-nm LED module with an appropriate reflector  
185 module (Excitation: 450–490 nm, Emission: LP 515 nm; Carl Zeiss, Oberkochen, Germany),  
186 and the red fluorescence from the sample, collected by the objective lens, was directed with  
187 high efficiency towards a photomultiplier detector. The pre-amplified signal from the  
188 detector was subsequently processed in the PAM control unit, operated by the data  
189 acquisition and analysis software, WinControl V3.29 (Heinz Walz GmbH, Effeltrich,  
190 Germany). The maximum quantum efficiency of photosystem II (PS II) was derived as  $F_v/F_m$   
191  $= (F_m - F_o)/F_m$ , where  $F_m$  is the maximum fluorescence yield and  $F_o$  is the static minimum  
192 fluorescence yield of the dark-adapted cells (Schreiber et al., 1995). A detailed description of  
193 the M-PAM has been provided by Goh et al. (Goh et al., 1999).

#### 194 *2.6 Lipid extraction and analysis*

195 The lipid contents in the phytoplankton cells were estimated gravimetrically following the  
196 standard procedure devised by Folch et al. (Folch et al., 1957). Cells from triplicated cultures  
197 at exponential growth phase were harvested by centrifugation at  $7000 \times g$ , 15 °C for 15  
198 minutes (Avanti J-26 XP Centrifuge, Beckman Coulter, Krefeld, Germany). The pellets were  
199 subsequently lyophilized (Christ. Alpha 1-2 LD plus, Osterode am Harz, Germany) and  
200 stored at  $-80^\circ\text{C}$  until analysis. For lipid estimation, ~50 mg of dry biomass was weighed and  
201 homogenized in a 2:1 chloroform:methanol (v/v) mixture with 0.01% BHT for 5 min by

202 sonication. The chloroform fractions of the recovered lipids were separated by centrifugation  
203 and gravimetrically estimated once the solvent was completely evaporated under a stream of  
204 N<sub>2</sub>. The total lipid extract was subjected to alkaline transesterification. The lipid content,  
205 expressed as the percentage of total dry weight (DW), was calculated by the following  
206 formula:

$$207 \quad X (\%) = \frac{X(T)}{Y} \times 100 \quad (1)$$

208 where  $X (\%)$  is the lipid percentage,  $X(T)$  is the total lipid, and  $Y$  is total biomass dry weight.

## 209 *2.7 Data Analysis*

210 All values presented here are the means  $\pm$  95% confidence intervals. All results were  
211 analyzed by ANOVA ( $n = 3$ ; at  $p = 0.05$ ) and Tukey test at 95% confidence to detect  
212 significant differences among the treatments using JMP software (JMP® Pro version 13.1,  
213 SAS Institute, USA).

214 The percentage of PAH-sorbed cells was calculated as the number of cells showing blue  
215 emission relative to the total number of cells in the population,

$$216 \quad \frac{\text{PAHs-sorbed cells}}{\text{Total cells}} \times 100 \quad (2)$$

217 The lethal concentration of PAHs, at which 10% of the decline in population abundance  
218 occurred ( $LC_{10}$ ), was obtained by linear regression, applying the following equation  
219 (Echeveste et al., 2016) using JMP software.

$$220 \quad LC_{10} = \ln 0.9/\Omega \quad (3)$$

221 where  $\Omega$  is the slope of the relationship between the  $\ln$  of the cell abundance at the end of the  
222 experiment versus the PAH concentrations tested.

223 The  $EC_{10}$  values for  $F_v/F_m$  and their respective confidence intervals (95%) were calculated  
224 using a log-normal model in the Excel macro REGTOX (version 7.0.4, (Vindimian, 2010)).

225 Nonparametric Spearman's rank correlation analysis was performed using JMP software to  
226 show the relationship between PAHs sorption by the tested phytoplankton and the respective  
227 lipid levels. Correlations (Spearman's correlation coefficient ( $\rho$ )) were considered statistically  
228 significant when  $p < 0.05$ .

### 229 **3. Results**

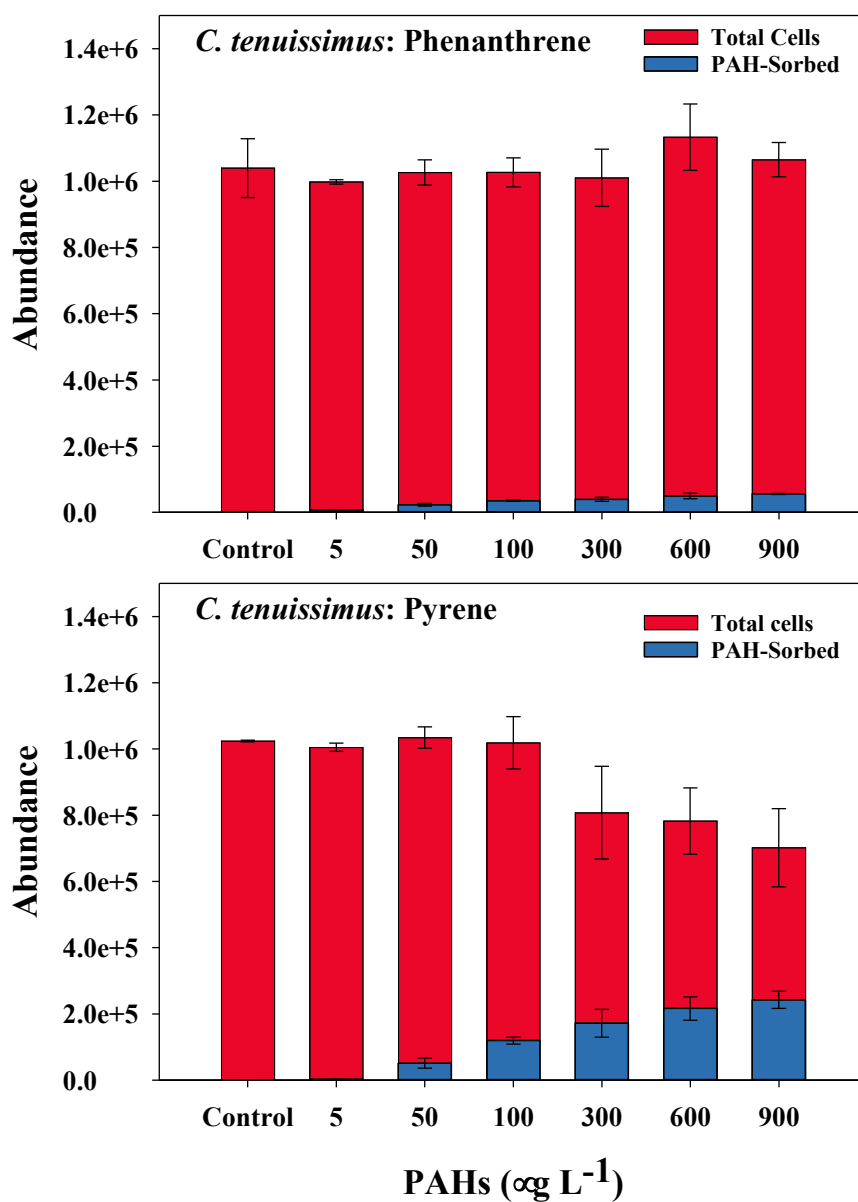
#### 230 *3.1 Estimation of PAH sorption by UV excitation flow cytometry*

231 We detected the sorption of PAHs onto the phytoplankton cell surface by measuring the  
232 significant increase in the mean intensity of UV-induced blue fluorescence signals. However,  
233 the pattern and intensity of PAH fluorescence varied between the tested chemicals and the  
234 algal species. Pyrene showed consistently higher levels of sorption than phenanthrene in all  
235 the phytoplankton tested. *C. tenuissimus* showed distinct PAH fluorescence in all treated  
236 suspensions above 50  $\mu\text{g L}^{-1}$  PAHs, scoring up to 5% and 35% of the total population, at 900  
237  $\mu\text{g L}^{-1}$  of phenanthrene and pyrene, respectively (**Fig. 1**). While phenanthrene caused no  
238 reduction in cellular growth of *C. tenuissimus*, the higher sorption of pyrene was  
239 accompanied by a significant (ANOVA,  $df = 6$ ,  $F = 10.48$ ,  $p = 0.0002$ ) decay in abundance,  
240 with a 48-h  $\text{LC}_{10}$  value of  $253.52 \pm 101 \mu\text{g L}^{-1}$  (**Table 1**). Both phenanthrene and pyrene  
241 exhibited relatively higher affinity to *Thalassiosira* sp., resulting in respective  $> 20\%$  and  $>$   
242  $90\%$  cells with PAH fluorescence at concentrations above 300  $\mu\text{g L}^{-1}$  (**Fig. 2**), with 40% of  
243 cells showing pyrene sorption at 50  $\mu\text{g L}^{-1}$  (**Fig. 2**). Moreover, the effect of PAHs on the final  
244 abundance was insignificant (ANOVA,  $df = 6$ ,  $F = 1.98$ ,  $p = 0.14$ ) for phenanthrene and  
245 significant (ANOVA,  $df = 6$ ,  $F = 8.26$ ,  $p = 0.0006$ ), albeit minimal, for pyrene. In  
246 *Proteomonas* sp., phenanthrene sorption was detected in negligible levels up to 1%, while  
247 pyrene sorption ranged from 10% at 50  $\mu\text{g L}^{-1}$  to 39% at the highest two dosages (**Fig. 3**).  
248 Conversely to diatoms, phenanthrene exerted more significant inhibitory effects than pyrene  
249 to the abundance of *Proteomonas* sp. (ANOVA,  $df = 6$ ,  $F = 5.48$ ,  $p = 0.004$ ).

<b>Taxon</b>	<b>Lipid content (% DW)</b>	<b>Test PAH</b>	<b>PAH sorption<sub>max</sub> (%)</b>	<b>LC<sub>10</sub> (µg L<sup>-1</sup>)</b>	<b>EC<sub>10</sub> for <math>F_v/F_m</math> (µg L<sup>-1</sup>)</b>
<i>C. tenuissimus</i>	7.22 ± 0.7	Phenanthrene	5.28 ± 0.06	ND	ND
		Pyrene	34.75 ± 1.33	253.52 ± 52	42.44 ± 20.84
<i>Thalassiosira</i> sp.	33.87 ± 0.6	Phenanthrene	28.69 ± 1.78	ND	ND
		Pyrene	97.05 ± 0.27	525.48 ± 180	64.63 ± 33.22
<i>Proteomonas</i> sp.	27.09 ± 1.2	Phenanthrene	1.19 ± 0.18	178.4 ± 30	ND
		Pyrene	39.58 ± 5.7	ND	325.32 ± 124.67

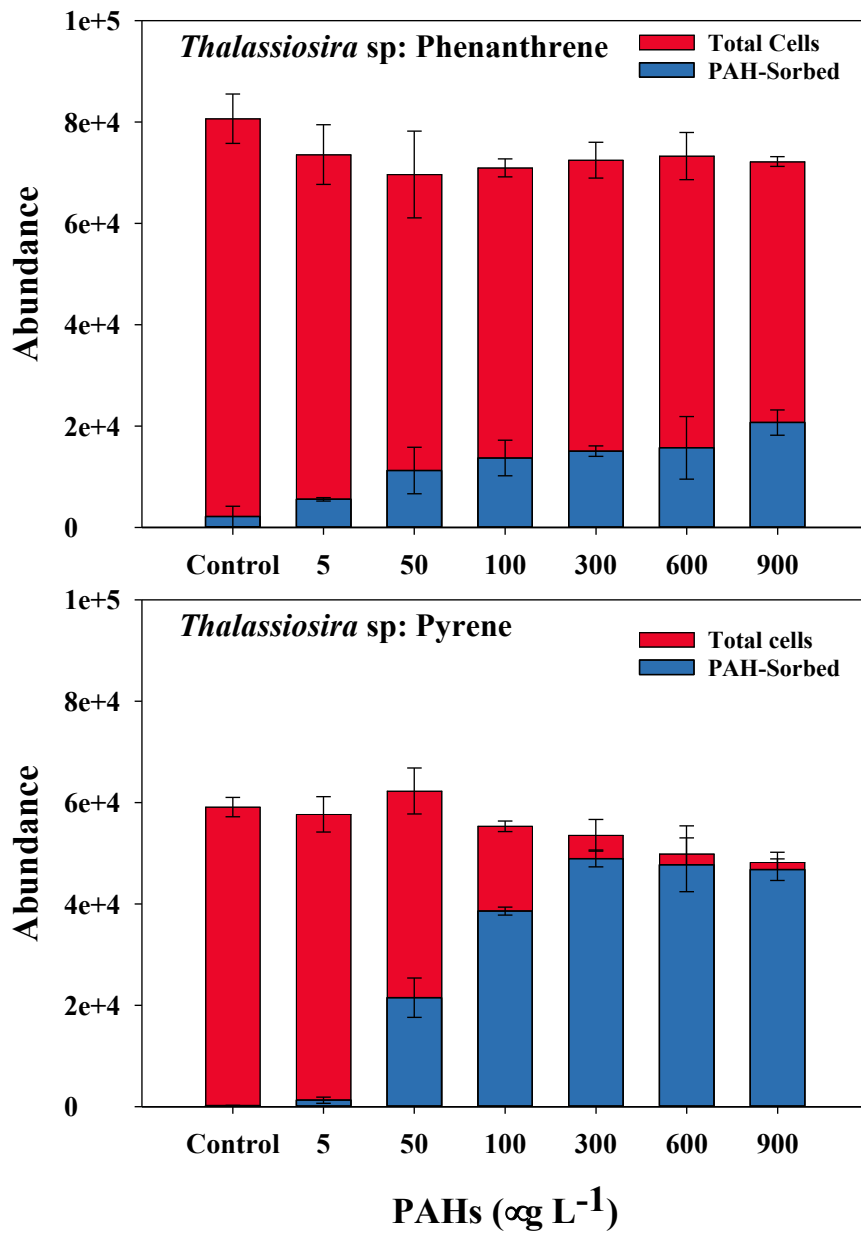
250

251 **Table 1:** Differences in lipid content (mean ± SE, n = 3), the maximum percentage of cells (mean ± SE) showing positive PAH sorption (48-h),  
252 PAH lethal concentrations (LC<sub>10</sub> ± SE), and EC<sub>10</sub> values (mean ± SE) for  $F_v/F_m$  between the three studied phytoplankton species. ND: not  
253 determined.



254

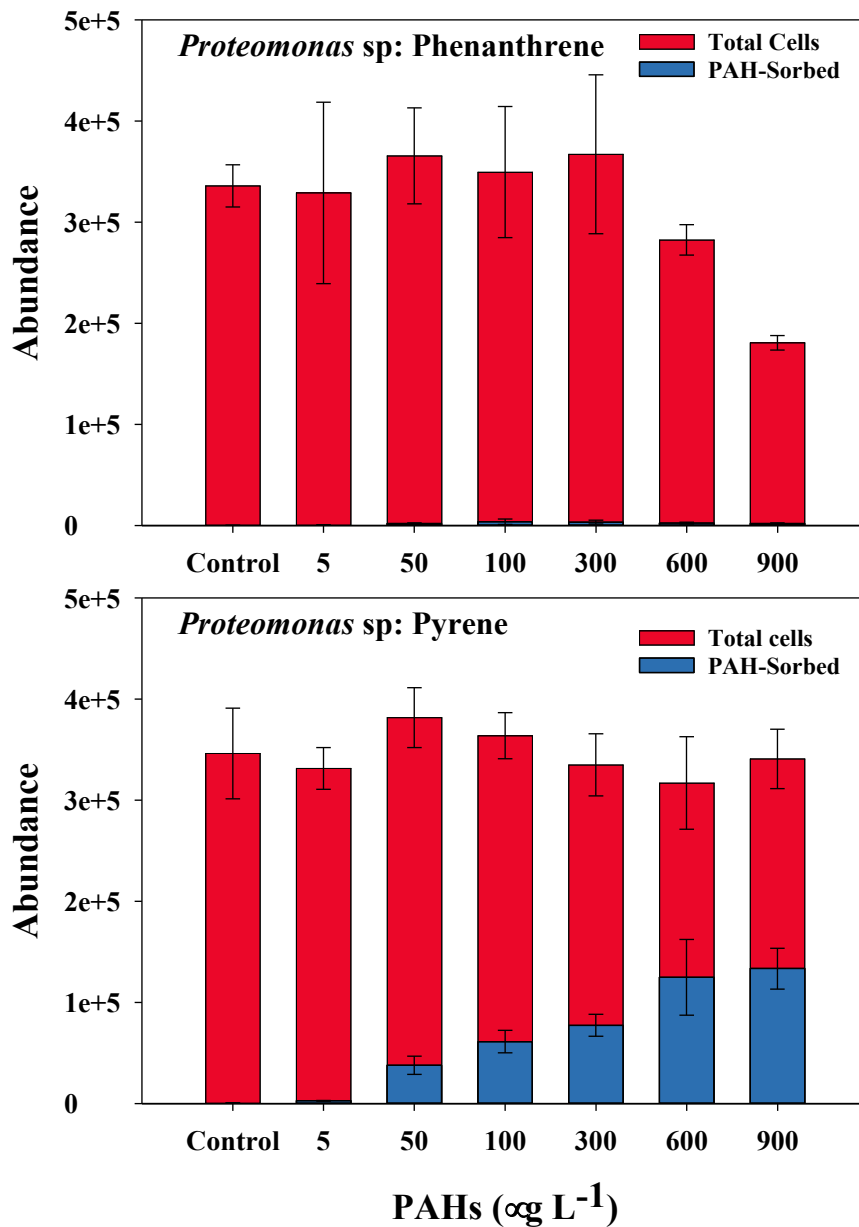
255 **Fig. 1.** Proportion of *Chaetoceros tenuissimus* cells showing phenanthrene and pyrene  
 256 sorption after a 48-h dosage schedule, measured by 375 nm UV excitation flow cytometry.



257

258 **Fig. 2.** Proportion of *Thalassiosira* sp. cells showing phenanthrene and pyrene sorption after

259 a 48-h dosage schedule, measured by 375 nm UV excitation flow cytometry.



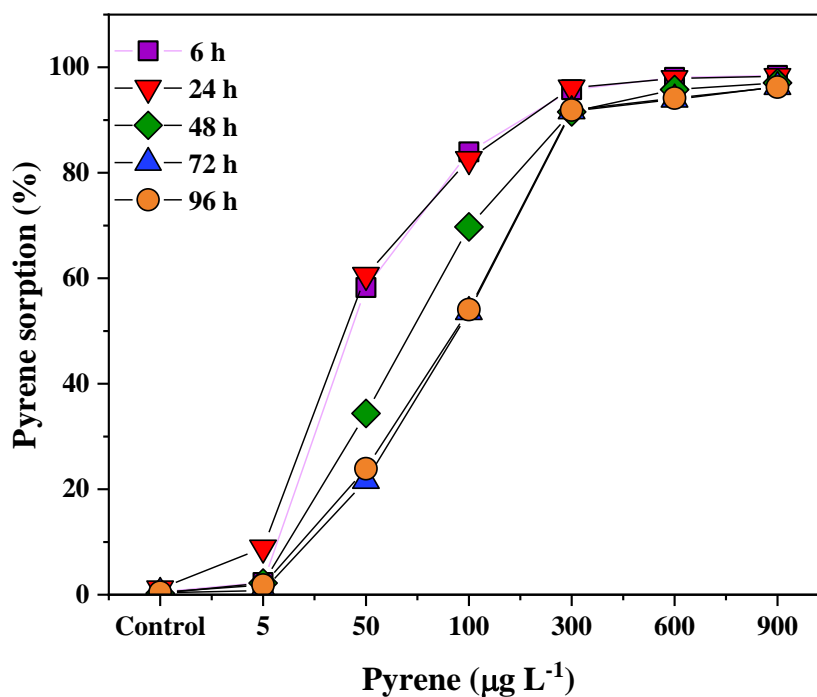
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261 **Fig. 3.** Proportion of *Proteomonas* sp. cells showing phenanthrene and pyrene sorption after a  
 262 48-h dosage schedule, measured by 375 nm UV excitation flow cytometry.

263

264 In *Thalassiosira* sp., where the maximum PAH sorption was detected, we explored the  
 265 temporal changes in PAH sorption over 6–96 h periods (**Fig. 4**). We found a dose-dependent  
 266 rapid sorption of pyrene, with 58% and 84% cells showing positive PAH fluorescence within  
 267 6 hours of exposure to 50  $\mu\text{g L}^{-1}$  and 100  $\mu\text{g L}^{-1}$ , respectively, while over 95% of cells were

268 pyrene-sorbed at concentrations above 300  $\mu\text{g L}^{-1}$ . Moreover, for all tested doses, the highest  
269 percentage of pyrene sorption was observed within the first 24 h of exposure, beyond which  
270 there was a decline, which was more significant at sublethal doses of 50  $\mu\text{g L}^{-1}$  and 100  
271  $\mu\text{g L}^{-1}$  ( $p < 0.001$ ). Daily growth rates showed a stimulatory effect of lower doses of pyrene  
272 (Supplementary materials S1), and the cultures exhibited adequate growth rates up to 100  
273  $\mu\text{g L}^{-1}$  throughout the exposure period.



274

275 **Fig. 4.** Temporal changes in pyrene sorption by *Thalassiosira* sp. cells over a 6–96 h period  
276 measured by 375 nm UV excitation flow cytometry.

277

### 278 3.2 Epifluorescence microscopy and M-PAM analysis

279 Epifluorescence microscopy revealed the cellular sorption of PAHs from the medium as

280 bright blue fluorescent blots (**Fig. 5**). The lower magnitude of phenanthrene sorption, as

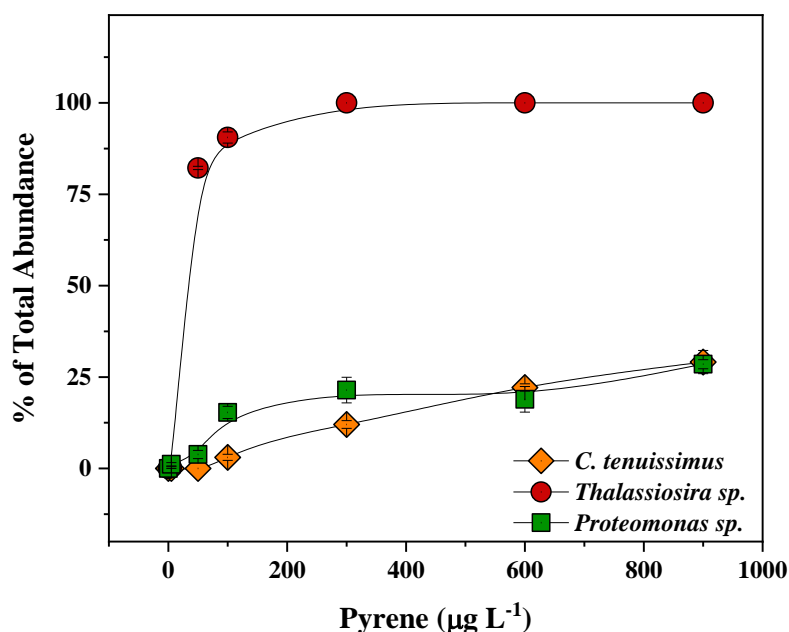
281 revealed by flow cytometry analyses, was verified by the microscopy counts. Out of several



282 hundred cells of each species counted, none of them showed the anticipated blue fluorescence  
283 emission under UV irradiance. However, at dosages above 100  $\mu\text{g L}^{-1}$ , pyrene exposed cells  
284 showed significant records of blue fluorescent cells, up to 3%, 15%, and 90% for *C.*  
285 *tenuissimus*, *Proteomonas* sp., and *Thalassiosira* sp., respectively (**Fig. 6**). The proportion of  
286 blue fluorescent cells at higher doses of pyrene was similar between *C. tenuissimus* and  
287 *Proteomonas* sp. At concentrations above 300  $\mu\text{g L}^{-1}$ , all *Thalassiosira* cells in the  
288 suspension were blue fluorescing, indicating the absolute and uniform sorption of the toxicant  
289 onto the cells. Moreover, the percentage of microscopic counts of the pyrene sorption were  
290 well correlated with that of the flow cytometric counts in all of the phytoplankton species  
291 analyzed (*C. tenuissimus*:  $r_2 = 0.94$ ,  $p = 0.0003$ ; *Thalassiosira* sp:  $r_2 = 0.86$ ,  $p = 0.0028$ ;  
292 *Proteomonas* sp:  $r_2 = 0.84$ ,  $p = 0.0037$ ).



293  
294 **Fig. 5.** Light microscope (a) and epifluorescence microscope (b, c) images of *Thalassiosira*  
295 sp. showing chlorophyll red fluorescence (b) and bright blue fluorescent blotches of sorbed  
296 pyrene (c, from 900  $\mu\text{g L}^{-1}$  treatment).



297

298 **Fig. 6.** Percentage of pyrene sorption in three phytoplankton taxa after a 48-h dosing  
 299 schedule, estimated by 365 nm UV excitation microscopy counting method.

300 Cell-by-cell comparison of  $F_v/F_m$  between PAH-sorbed and normal cells revealed the

301 physiological effect of PAH sorption in the studied phytoplankton. Phenanthrene did not

302 show any effect on the photosynthetic performance of the treated cells, except in

303 *Proteomonas sp.* at 900 µg L<sup>-1</sup>, where we observed a reduction of 14% in the control values.

304 However, for pyrene, all the taxa exhibited significant declines in  $F_v/F_m$  (**Fig. 7**),

305 corresponding to the cellular sorption. We observed over 50% reductions in  $F_v/F_m$  at dosages

306 above 600 µg L<sup>-1</sup> for *C. tenuissimus* (ANOVA,  $df = 6$ ,  $F = 40.81$ ,  $p < 0.0001$ ), 300 µg L<sup>-1</sup> for

307 *Thalassiosira* (ANOVA,  $df = 6$ ,  $F = 25.72$ ,  $p < 0.0001$ ), and 300 µg L<sup>-1</sup> for *Proteomonas*

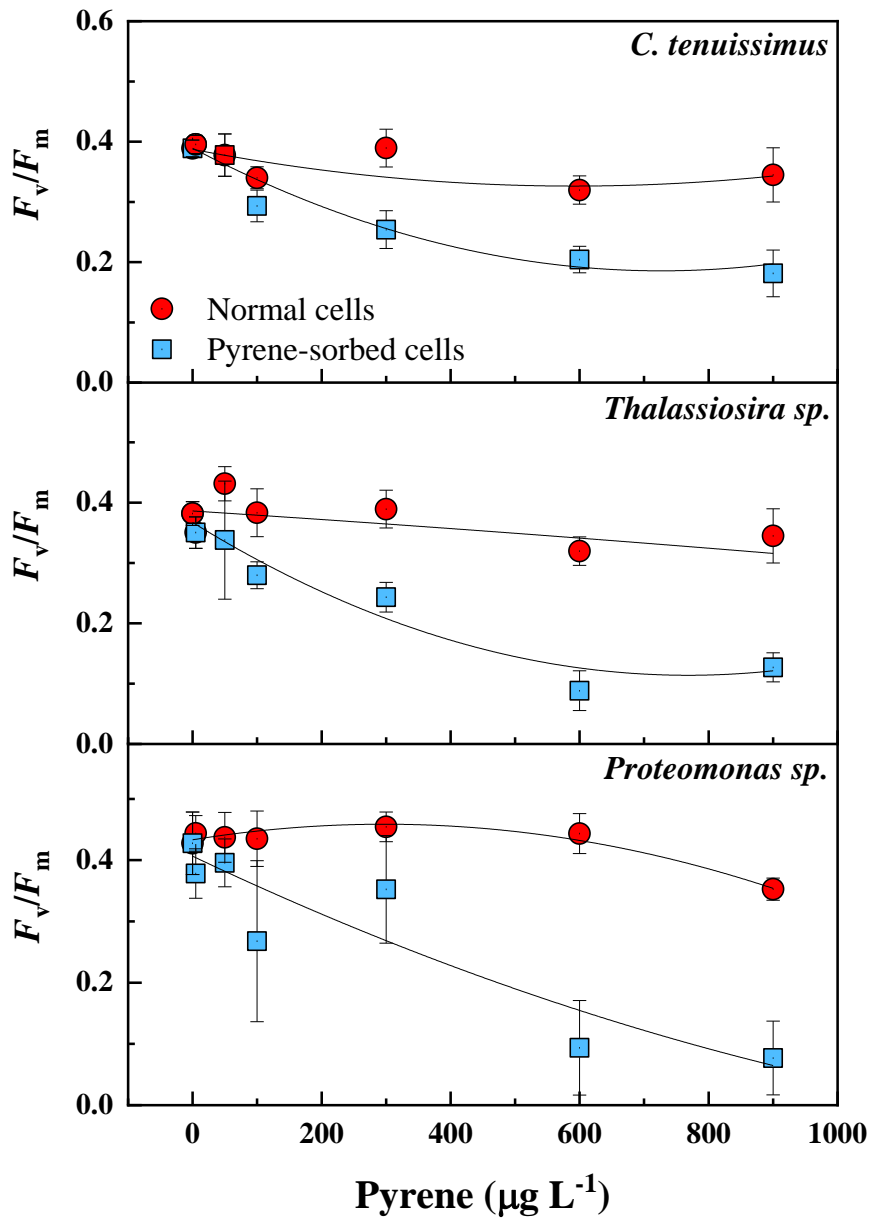
308 (ANOVA,  $df = 6$ ,  $F = 14$ ,  $p < 0.0001$ ). We also observed a significant difference among the

309 two tested classes of phytoplankton, with the cryptophyte being more sensitive to higher

310 doses of pyrene, causing up to an 82% reduction in  $F_v/F_m$ . However, the EC<sub>10</sub> values were

311 lower for the diatoms (**Table 1**). The M-PAM measurements revealed the exact physiological

312 consequence of PAH sorption on phytoplankton photosynthesis, as shown here, for example,  
313 by the high correlation between the reduction in  $F_v/F_m$  and pyrene sorption in *C. tenuissimus*  
314 ( $r_2 = 0.98$ ,  $p < 0.0001$ ) and *Proteomonas* ( $r_2 = 0.85$ ,  $p < 0.0001$ ).



315

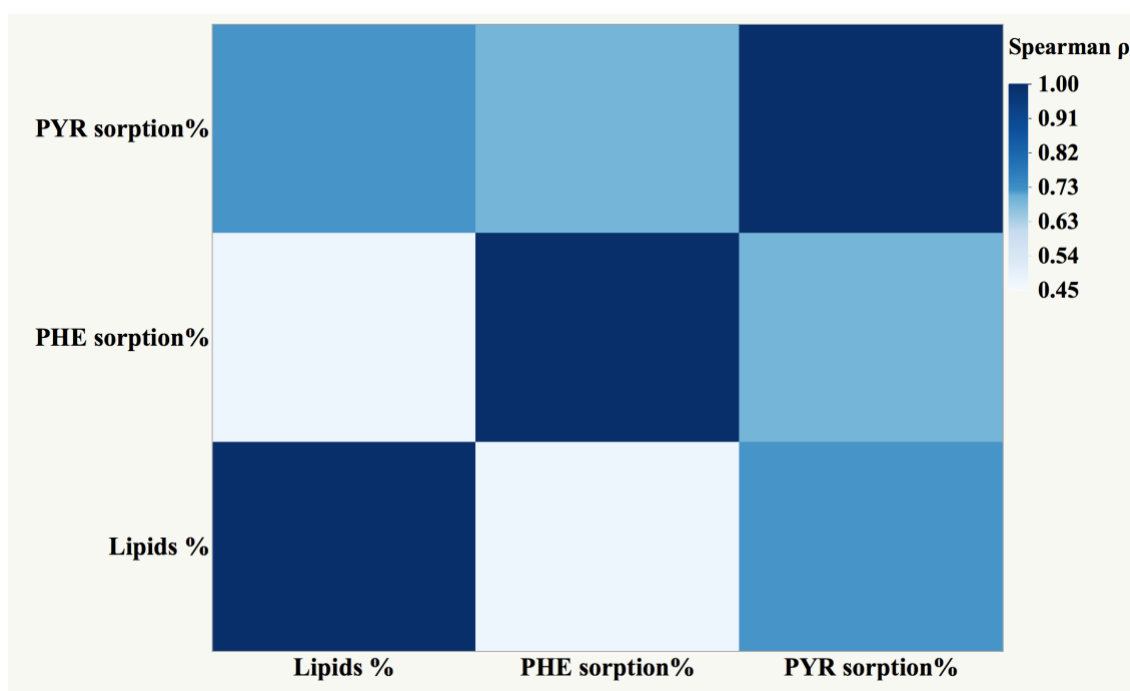
316 **Fig. 7.** Selective pressure of pyrene on  $F_v/F_m$  of sorbed and normal cells of three  
317 phytoplankton taxa measured by single cell-level microscopy PAM method.

318

319 3.3 Total lipid contents

320 Gravimetric estimation of total lipids revealed significantly different levels in the three  
321 phytoplankton species studied (**Table 1**). *Thalassiosira* sp. showed the highest amount of  
322 intracellular lipids (33.87% DW) and the lowest amounts in *C. tenuissimus* (7.22% DW). The  
323 species with the highest lipid levels showed the maximum PAH surface sorption, more  
324 significantly in the case of pyrene.

325 Spearman coefficient analysis revealed statistically significant correlations between lipid  
326 level and cellular sorption of phenanthrene ( $\rho = +0.474$ ;  $p = 0.001$ ), and pyrene ( $\rho = +0.719$ ;  
327  $p < 0.0001$ ) (**Fig. 8**). A stronger correlation was observed for pyrene, which showed higher  
328 sorption by all the three tested species.



329 **Fig. 8.** Spearman's correlation heatmap showing relationship between lipid content and  
330 sorption levels of phenanthrene (PHE) and pyrene (PYR) in three test phytoplankton species.  
331  
332  
333

#### 334 **4. Discussion**

335 In phytoplankton suspensions exposed to two PAHs, we identified and quantified the discrete  
336 cells that accumulated PAHs from the dissolved phase in the seawater medium, based on the  
337 characteristic blue fluorescence emission of the PAHs. Significant progress in the application  
338 of flow cytometry in aquatic ecotoxicological research has led to the development of feasible  
339 test methods that have fewer sample requirements and simple preparation methods (Cerezo et  
340 al., 2017; Kottuparambil & Agusti, 2018; Prado et al., 2015). Generally, these methods track  
341 intrinsic features of individual cells within a population, such as autofluorescence or  
342 dimension (Hyka et al., 2013). With the introduction of fluorescent physiological dyes, flow  
343 cytometry has been rapidly developed as a state-of-the-art technique in phytoplankton  
344 research (Croxtton et al., 2015; Fachet et al., 2016). Single cell-level phytoplankton toxicity  
345 assessment based on confocal microscopy and digital imaging has also proven to be superior  
346 to conventional tests because it permits the use of environmentally relevant algal densities  
347 and toxicant concentrations (Nancharaiah et al., 2007). Recently, a hybrid technology of  
348 imaging flow cytometry, which combines the visualization potential of fluorescence  
349 microscopy with the statistical power of flow cytometry, has emerged as a promising  
350 analytical tool (Dashkova et al., 2017). However, despite these technological advances, the  
351 use of flow cytometry has been limited in ecotoxicological studies of fluorescent xenobiotics  
352 in marine environments.

353 Here, we introduce a novel approach to detect the extent of PAH binding onto individual  
354 phytoplankton cells in a suspension and precisely evaluate the inhibitory effects of PAH  
355 binding on the photosynthetic capacity of individual cells. We employed the flow cytometry  
356 method of detecting PAHs-sorbed cells (Cerezo et al., 2017), which was relatively rapid and  
357 straightforward, and showed a good correlation with the manual counts obtained by an  
358 epifluorescence microscope. We detected up to 29% and 97% of cells in the *Thalassiosira* sp.

359 suspension with significant sorption of phenanthrene and pyrene, respectively. Pyrene  
360 showed a higher binding affinity than phenanthrene, which may be due to differences in the  
361 toxicity potential, bioavailability, and their mode of interaction at the cell membranes.  
362 Moreover, pyrene has four aromatic benzene rings. Consequently, it has a higher  
363 fluorescence emission than the three-ringed phenanthrene (Zhang et al., 2015), which allows  
364 for easier detection by the flow cytometer. However, in general, we found that PAH  
365 accumulation resulted in a negligible corresponding significant decline in growth, indicating  
366 either active detoxifying mechanisms or alternate physiological targets of the toxicants.  
367 Furthermore, in *Thalassiosira* sp., daily growth rates over a 96-h period clearly showed that a  
368 high cell growth rate helped to reduce the pyrene sorption over time due to dilution of the  
369 toxicant and a simultaneous increase in the biomass. In general, diatoms exhibit relatively  
370 high tolerance to low doses of PAHs, and this tolerance decreases with higher concentrations  
371 (González et al., 2009). At similar doses of pyrene to our experiments, a gene expression  
372 study in *Thalassiosira pseudonana* reported significant effects on fatty acid metabolism,  
373 silica shell formation, and photosynthesis, without eliciting growth inhibition (Bopp &  
374 Lettieri, 2007). Therefore, our data suggest that the physiological and molecular targets of  
375 pollutants in aquatic organisms should be taken into consideration when developing  
376 environmental risk assessment guidelines.

377 We attribute the variation in PAH-sorption profiles among the three tested taxa reported here  
378 to differences in the biochemical characteristics of the organisms. PAHs are lipophilic, and  
379 the final destination of these PAH compounds in algal cells is lipid vesicles (Augusto et al.,  
380 2015; Subashchandrabose et al., 2014). The porous cell wall surrounding the diatom cells  
381 allows PAHs to come into contact with the plasma membrane, eventually redistributing  
382 among the different internal lipid pools because of their hydrophobicity (Carvalho et al.,  
383 2011). We observed the sorbed pyrene as clear blue fluorescent globules inside *Thalassiosira*

384 cells (**Fig. 5**). Moreover, there was a strong and significant positive correlation ( $p < 0.0001$ )  
385 between lipid content and pyrene sorption (**Fig. 8**). Hence, the high pyrene accumulation in  
386 *Thalassiosira* sp. evidently corresponds to its high intercellular lipid levels compared to the  
387 other two taxa (385% and 26% more than those in *C. tenuissimus* and *Proteomonas* sp.,  
388 respectively). The high lipid content can also be a protective strategy for the organism where  
389 lipophilic xenobiotics are sequestered, thus reducing their bioavailability and toxicity (Yang  
390 et al., 2002).

391 The flow cytometry measurements conducted over the incubation period (6–96 h) in  
392 *Thalassiosira* sp. revealed the rapid sorption of pyrene at higher concentrations tested ( $> 300$   
393  $\mu\text{g L}^{-1}$ ), with over 90% of pyrene sorbed by cells within 6 h of exposure. Pyrene sorption  
394 reached a maximum within the first 24 h of exposure; however, cellular growth at the lower  
395 tested concentrations ( $5\text{--}100 \mu\text{g L}^{-1}$ ) resulted in a gradual decline in the amount of the sorbed  
396 pyrene at the cell surface due to the dilution effect with increasing abundance. Corresponding  
397 to the distinct positive correlation between lipid level and PAH sorption (**Fig. 8**), the rapid  
398 accumulation of hydrophobic pyrene is likely facilitated by the high lipid content of  
399 *Thalassiosira* sp. (**Table 1**). The lipid globules act as localized sites for PAH sorption,  
400 forming toxicant-concentrated bright blots as shown in the fluorescence images (**Fig. 5**).  
401 These globules produce strong PAH-fluorescence signals during the flow cytometry  
402 detection, which in turn produces the high PAH sorption shown by *Thalassiosira* sp. Our  
403 flow cytometry method allows for more rapid, selective, and accurate detection of PAH  
404 accumulation relative to other conventional methods that involve chemical analyses of the  
405 toxicants.

406 Microscopy PAM provides cell-specific, robust, and real-time measurement of phytoplankton  
407 physiology. This method has been used to predict phytoplankton succession and blooming  
408 (Higo et al., 2017), photophysiological characterization (Villareal & Morton, 2002), and

409 herbicide toxicity assessment (Schmitt-Jansen & Altenburger, 2007). Chlorophyll  
410 fluorescence-based estimation of microalgal photosynthetic variables in toxicity assays  
411 usually relies on whole suspension measurements that obscure differences among individual  
412 cells. The sub-lethal effects of PAHs on marine phytoplankton photosynthesis are well  
413 documented, both at population and community levels (Pérez et al., 2010). However, the  
414 mechanism of PAH toxicity in phytoplankton photosynthesis remains ambiguous because  
415 different species show contrasting photosynthetic responses (Ben Othman et al., 2018;  
416 Othman et al., 2012). By measuring at the single-cell level, our data revealed a significant  
417 decrease in  $F_v/F_m$  for phytoplankton exposed to pyrene, with different thresholds, suggesting  
418 a general degradation of PSII efficiency (**Fig. 7**).  $F_v/F_m$  denotes the potential quantum yield  
419 of PSII when all PSII reaction centers are open, and the excitation energy is primarily  
420 diverted to the photosynthetic reactions (Bilger et al., 1995). The response of  $F_v/F_m$  depends  
421 on the toxicity mechanism of the specific pollutant, and earlier studies have indicated that  
422 PAHs primarily impact the PSII reaction centers in phytoplankton (Shao et al., 2010). By  
423 distinguishing photosynthetically active and inactive cells, our data clearly demonstrate the  
424 selective adverse effects of PAHs on PAH-sorbed cells. In contrast, the unaffected cells can  
425 continue to perform photosynthesis optimally. This observation is particularly significant as it  
426 reveals the actual response of individual organisms to pollutants, which generally are biased  
427 in population-level toxicity assessments by the intense fluorescence signals that overlook  
428 unaffected healthy cells. Obviously, the PAH-sorbed cells have reduced physiological  
429 capacity, and most likely affect the population growth pattern at higher doses of PAHs upon  
430 longer exposures. The reduced photosynthetic performance of a subset of the population can  
431 also result in a significant parallel decrease in primary production (González et al., 2009).  
432 Moreover, the PAH-sorbed cells continue to act as a carrier of the toxicants for certain  
433 periods, thus providing closed sites for bacterial degradation (Gutierrez et al., 2012) or



434 expediting the trophic transfer of these toxicants to grazers through the food chain  
435 (Berrojalbiz et al., 2009).

436 Duration of exposure to toxicants determines the severity and extent of the cytotoxic response  
437 in phytoplankton model tests. From our observations, we recommend a 24 h exposure time  
438 for short-term flow cytometry screening of fluorescent toxicants in phytoplankton. This  
439 duration optimally minimizes the complications due to volatilization and degradation of  
440 toxicants, and the loss due to binding to the test vessel walls. In the case of pyrene, with a  
441 relatively high log  $K_{ow}$  value of 5.20 (Bayona et al., 1991) than that of phenanthrene (4.6), we  
442 observed maximum sorption levels within 24 h. Beyond this time point, the exposure  
443 conditions change considerably which can alter the flow cytometry output significantly,  
444 unless the exposure concentrations are constantly adjusted.

445 Our observations emphasize that, apart from lethal toxicity targets, PAHs can interact with  
446 other vital cellular processes, thus prompting significant sub-lethal effects in phytoplankton.  
447 Although we tested two PAHs at concentrations much higher than those found in the open  
448 ocean, our experimental approach delivered ecologically relevant information on the fate of  
449 toxic fluorescing chemicals in marine systems. The physiological insights of microscopy  
450 PAM combined with the powerful optical detection system of flow cytometry allowed us to  
451 estimate the impact of tested PAHs in phytoplankton accurately. To the best of our  
452 knowledge, this is the first study to report a selective investigation on the impacts of PAHs on  
453 a more relevant subset of affected (PAH-sorbed) phytoplankton cells in a suspension. Our  
454 flow cytometry method is potentially useful to estimate the ecotoxicity of fluorescent  
455 aromatic compounds in coastal areas prone to hydrocarbon contamination. The method  
456 outlined here has definite advantages over traditional population-level methods in terms of  
457 ecological relevance of the results because cell-by-cell measurements yield a more precise  
458 depiction of individual cell responses to chemical stress. Moreover, the combination of flow

459 cytometry and microscopy-PAM tools offers a fast, robust, and sensitive hybrid technology  
460 to detect the harmful effects of fossil fuel-derived toxicants in a vital ecosystem of the marine  
461 environment. Further studies are potentially promising to demonstrate the applicability of this  
462 technique to other test models such as bacteria and cell lines.

463

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470

#### 471 **CRedit authorship contribution statement:**

472 **Sreejith Kottuparambil:** Methodology, Investigation, Validation, Formal analysis,  
473 Visualization, Writing - original draft, Writing - review & editing. **Susana Agusti:**  
474 Conceptualization, Resources, Funding acquisition, Supervision, Project management,  
475 Writing - review & editing.

476

#### 477 **Competing interests**

478 The authors declare that they have no known competing financial interests or personal  
479 relationships that could have appeared to influence the work reported in this paper.

480

#### 481 **Human/animal rights statements and informed consent**

482 No conflicts, informed consent, human, or animal rights applicable.

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