# Plasmonic nanospherical dimers for color pixels

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#### Abstract

Display technologies are evolving more toward higher resolution and miniaturization. Plasmonic color pixels can offer solutions to realize such technologies due to their sharp resonances and selective scattering and absorption at particular wavelengths. Metal nanosphere dimers are capable of supporting plasmon resonances that can be tuned to span the entire visible spectrum. In this article, we demonstrate numerically bright color pixels that are highly polarized and broadly tuned using periodic arrays of metal nanosphere dimers on a glass substrate. We show that it is possible to obtain RGB pixels in the reflection mode. The longitudinal plasmon resonance of nanosphere dimers along the axis of the dimer is the main contributor to the color of the pixel, while far-field diffractive coupling further enhances and tunes the plasmon resonance. The computational method used is the finite-difference time-domain method. The advantages of this approach include simplicity of the design, bright coloration, and highly polarized function. In addition, we show that it is possible to obtain different colors by varying the angle of incidence, the periodicity, the size of the dimer, the gap, and the substrate thickness.

#### Keywords

Color pixels, metamaterials, subwavelength optics, metasurfaces, plasmonics, display technology, displays, nanoparticles, nanophotonics

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# Introduction

Metal nanoparticles support collective electron oscillations known as surface plasmons. Such oscillations result in the localization of the fields beyond the diffraction limit and to its enhancement relative to the incident fields. This is in addition to the strong scattering and absorption of light at the resonance wavelength of the metal nanoparticle. These features are used in many devices including biosensors, solar cells, light-emitting devices, waveguides, and highresolution imaging.<sup>1-4</sup> Among such applications are display technologies, which are evolving more toward higher resolution and miniaturization. Plasmonic color pixels can offer solutions to realize such technologies due to their sharp resonances and selective scattering and absorption at particular wavelengths and due to their size, allowing it to perform at resolutions beyond the diffraction limit. Examples of plasmonic color-generation devices include color filters,<sup>5-15</sup> high-resolution color pixels,<sup>16–21</sup> plasmonics combined with liquid crystals,<sup>22</sup> image printing beyond the diffraction limit,<sup>23–26</sup> transparent display,<sup>27</sup> and color holograms.<sup>28–30</sup> In this article, we demonstrate bright color pixels that are broadly tuned and highly polarized using periodic arrays of different metal nanosphere dimers on a glass substrate. Farfield diffractive coupling further enhances and tunes the resonance, narrowing its linewidth, which results in purer colors. The computational method used is the finitedifference time-domain method (FDTD) using the commercial software FDTD Lumerical Solutions v8.9.

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Display technologies including plasma displays, liquid crystal displays, and light-emitting diode displays use different color-producing media to produce color pixels such as the standard RGB color scheme pixel made up of the primary colors (blue, green, and red) under the illumination of a light source or through utilizing an electric voltage. These technologies are evolving toward more flexible displays, higher resolution, and higher energy efficiency.<sup>16,26,27</sup> Plasmonic color pixels offer the advantage of increased resolution and a wide range of color tunability by changing the dimensions or the geometry of the structure, the surrounding environment index, the polarization, or the angle of incidence of the exciting light. In addition, the ultrathin thickness of the structure supporting the plasmonic elements makes it suitable for integration in miniaturized devices. The periodicity is also comparable to the wavelength, which makes it possible to produce pixels that are smaller than conventional methods. Further, because plasmonic resonators are made of metals, they are more stable than chemical pigments and can endure higher temperatures and ultraviolet radiation.<sup>5,10,11</sup>

In this article, we show that periodic arrays of different metal nanosphere dimers on a glass substrate can produce bright color pixels that are broadly tuned and highly polarized. This is based on the fact that plasmonic nanoparticles show resonant selective scattering at particular wavelengths, while being almost transparent to other wavelengths. To produce the color pixels, nanosphere dimers made of aluminum (Al), silver (Ag), and gold (Au) are used for the blue, green, and red pixels, respectively. Al and Ag are more suitable than Au for shorter wavelengths, as Au nanoparticle resonances below 520 nm are guenched due to interband transitions.<sup>16</sup> In the case of the nanospherical dimer, we found that the longitudinal plasmon resonance along the axis of the dimer is the main contributor to the resonance and, therefore, to the color of the pixel. Far-field diffractive coupling enhances the scattering intensity and reduces the plasmon linewidth of the array.<sup>31-38</sup> Note that the glass substrate has the effect of redshifting the resonance and making the farfield diffractive coupling less efficient due to the inhomogeneous environment around the dimers.39-43 However, it was shown that for large particles, diffrac-tive coupling could still occur.<sup>36,43,44</sup> In addition, the glass substrate can result in additional peaks appearing at the blue side of the original resonance.<sup>45-47</sup> For appropriate periodicities, additional resonances can be suppressed to produce purer colors pixels. The advantage of using a dimer instead of a monomer is that it is polarization sensitive, which is consistent with display technologies, and it has the ability to enhance the far-field scattering intensity as the gap gets smaller.<sup>48</sup> Although it is hard to fabricate dimers relative to



**Figure 1.** Schematic diagram of the plasmonic color pixel array of periodic metal nanosphere dimers on a glass substrate of thickness *h*. Polarized white light interacts with the dimers and selectively scatters back certain wavelengths. The gap distance between the spheres is *g* and the periodicity in *x* and *y* is  $D_x$  and  $D_y$ , respectively.

monomers, recent improvements in the fabrication techniques have been made.<sup>49,50</sup>

# Structure and design

For the numerical optimization of the design, we tune the color of each pixel by changing the material and diameters of spheres, while keeping the gap fixed. This small gap also allows for a higher far-field scattering intensity<sup>48</sup> (see Online Supplementary Figure S1). In addition, we use farfield diffractive coupling to further enhance, tune, and narrow the plasmon linewidth by changing the periodicities of the array,  $D_x$ , and  $D_y$ , (dimer center to center distance in the x and y directions, respectively). The advantages of this approach include simplicity of the design, bright coloration, and highly polarized function. In addition, we show that it is possible to obtain different colors by varying the angle of incidence. Our design can also be used for transparent displays by projecting monochromatic light at the resonance wavelength. A schematic diagram of the array is shown in Figure 1.We use FDTD commercial software Lumerical to perform the numerical optimizations and calculate the reflection spectra. We use p-polarized white light (400-750 nm) to excite the arrays at normal incidence. This results in the excitation of the longitudinal plasmon resonance along the axis of the dimer, which is the main contributor resonance that produces the color of the pixel. This makes the array polarization selective that is compatible with display technologies. Each pixel consists of an array of identical nanosphere dimers with the same edge-to-edge spacing. We also numerically calculate the scattering and absorption cross-section efficiencies for the individual dimers to show diffractive coupling effects on the spectral peaks and linshapes.

In general, as the length of the dimer increases, its resonance redshifts; however, this is accompanied by a broader resonance due to an increase in radiative damping. This effect can be minimized using diffractive coupling to

Color	Material	Array's resonance reflection peak wavelength (nm)	Sphere radius (nm)	D <sub>x</sub> (nm)	D <sub>y</sub> (nm)
Blue	Al	453 520	35 40	160 245	335
Red	Ag Au	637	50	380	480

Table 1. Parameters used for the RGB pixel arrays.

RGB: Al: aluminum; Ag: silver; Au: gold.

achieve strong higher intensity and narrower peaks suitable for color displays. We choose  $D_x$  in all of the three arrays to be much smaller than the wavelength used, while  $D_{\nu}$  is varied to tune the resonance. This is because for a rectangular array, as our case, the periodicity perpendicular to the polarization vector is more important in determining the spectral shift and width of the resonance than the periodicity that is parallel to the polarization vector.<sup>34</sup> We designed RGB pixels in the reflection mode with their spectral peak positions corresponding to the wavelengths of blue (453 nm), green (520 nm), and red (637 nm). Table 1 lists the parameters used for each pixel array. The thickness of the glass substrate is 140 nm for all of the arrays. The gap size between the spheres is 3 nm for all of the dimers used. The complex refractive index for Ag, Al, and silicon dioxide is taken from the data of Palik<sup>51</sup> (wavelength range 0-2 µm), while for Au it is taken from Johnson and Christy.<sup>52</sup> For our application, we mainly consider the reflection spectra of each array. To measure the reflected spectra from the arrays, a 2D z-normal (in the x-y plane) frequency-domain power monitor is placed at a distance of 500 nm above the array. The colors shown in the figures are obtained by converting the reflection spectra into the International Commission on Illumination (CIE) chromaticity diagram. A unit cell of one dimer was used. The boundary conditions in the x and y directions are periodic to simulate an infinite array of nanosphere dimers. Perfectly matched layer boundary conditions were used in the z-direction to eliminate scattered waves at the boundaries of the simulation region. A mesh override region of a size of 1 nm in all three directions is used around the dimer. For the scattering and absorption cross-sections of the dimer alone, we use a total-field/scattered-field (TFSF) plane-wave source around the dimer together with two power monitor boxes, one in the scattered field region and the other in the total field region. A mesh override region of 1 nm is used around the dimer throughout the TFSF source region. For the oblique angle of incidence plots in Figure 5, the broadband fixed-angle source technique (BFAST) is used, and the mesh override region around the dimer is set to 2 nm. To find the polarization of the reflected light, a polar ellipse analysis object is placed at a distance of 510 nm above the array.



**Figure 2.** Scattering and absorption cross-sections for the dimer alone without the array for the (a) Al dimer with a sphere radius of 35 nm. A scattering peak is observed at a wavelength of 341 nm. (b) Ag dimer with a sphere radius of 40 nm. The scattering peak wavelength is at 513 nm. (c) Au dimer with a sphere radius of 50 nm, where the scattering peak wavelength is at 619.2 nm. The gap size is 3 nm in all of the dimers. Al: aluminum; Ag: silver; Au: gold.

# **Results and analysis**

Figure 2(a) to (c) shows the scattering and absorption crosssections of the dimer alone without the array for the Al (radius 35 nm), Ag (radius 40 nm), and Au (radius 50 nm) dimers used for the blue, green, and red pixels, respectively. The gap size in all the dimers is 3 nm. The results show that at resonance, the scattering is significantly higher than the absorption for the three dimers, which is good for this application. The scattering peak is at the wavelength of 341 nm, 513 nm, and 619 nm for each of the Al, Ag, and Au dimers, respectively. Placing the dimer in an array will further shift, enhance, and narrow the resonance peak, as is shown below. Figure 3 shows the reflection spectra of dimer arrays on a glass substrate for the three configurations used for blue, green, and red obtained under p-polarized light at normal



**Figure 3.** The reflection spectra of dimer arrays on a glass substrate for the three configurations used for blue, green, and red, respectively, obtained under p-polarized light at normal incidence with a wavelength ranging from 400 nm to 750 nm. The spectral peak positions correspond to the wavelengths of blue (453 nm), green (520 nm), and red (637 nm).



**Figure 4.** The International Commission on Illumination (CIE) chromaticity diagram coordinates for each color are X = 13.0485, Y = 5.5355, Z = 66.9152, x = 0.1526, and y = 0.0647 for the blue; X = 7.4466, Y = 19.5526, Z = 7.3495, x = 0.2167, and y = 0.5692 for the green; and X = 16.0995, Y = 9.3116, Z = 3.7168, x = 0.5527, and y = 0.3196 for the red.

incidence with a wavelength ranging from 400 nm to 750 nm. Note that in the case of the red array, the increased radiative damping broadens the resonance; these additional wavelengths modify the perceived color into a pastel red. The CIE chromaticity diagram coordinates for each color are X = 13.0485, Y = 5.5355, Z = 66.9152, x = 0.1526, and y = 0.0647 for the blue; X = 7.4466, Y = 19.5526, Z = 7.3495, x = 0.2167, and y = 0.5692 for the green; and X = 16.0995, Y = 9.3116, Z = 3.7168, x = 0.5527, and y = 0.3196 for the red, as shown in Figure 4.

Figure 5 shows the reflection spectra of the array used for the green pixel, where  $D_y$  is varied from 240 nm to 440 nm in steps of 40 nm, while  $D_x$  is kept fixed at 240 nm. As  $D_y$ increases, the collective resonance of the array is enhanced,



**Figure 5.** Shows the reflection spectra of the array used for the green pixel where  $D_y$  is varied from 240 nm to 400 nm in steps of 40 nm, while  $D_x$  is kept fixed at 240 nm. As  $D_y$  increases, the collective resonance of the array redshifts and its linewidth narrows which is useful for producing purer colors.



**Figure 6.** The polarization state of the reflected light. The figure shows that it is p-polarized as expected, since the longitudinal plasmon resonance along the axis of the dimer is the main contributor to the resonance and therefore to the color of the pixel.

redshifted, and narrowed as compared to the isolated Ag dimer in Figure 2(b), which is useful for producing purer colors. Similar results are found for the other arrays. This result is in agreement with the sharpening and narrowing of the array resonance as the period is approaching the diffraction edge as was shown in the study by Zou and Schatz.<sup>34</sup>

Figure 6 shows the polarization state of the reflected light for the array used in the green pixel. Similar results are found for the other arrays. The reflected light is p-polarized as expected, because the longitudinal plasmon resonance along the axis of the dimer is the main contributor to resonance and, therefore, to the color of the pixel. Finally, we show that it is possible to obtain different colors by varying the angle of incidence. Figure 7(a) to (c) shows the reflection spectrum for each array as the angle of incidence is changed from  $0^{\circ}$  to  $60^{\circ}$  and the corresponding color for each angle. In addition, we show in the Online supplementary material how the far-field scattering crosssection increases as the gap size is decreased, and the different colors obtained when changing each of the periodicities in  $y(D_v)$ , the size of the dimer, or the substrate thickness.



**Figure 7.** The reflection spectrum for each of the arrays of the (a) blue, (b) green, and (c) red pixels as the angle of incidence is changed from  $0^{\circ}$  too  $60^{\circ}$ , and the corresponding color for each angle.

# Conclusion

We have demonstrated numerically that highly polarized bright plasmonic color pixels enabled by the selective scattering of Al, Ag, and Au nanosphere dimers arranged in periodic arrays on a glass substrate. We showed that it is possible to obtain RGB pixels in the reflection mode. Farfield diffraction coupling further shifts and enhances the scattering intensity, narrowing the plasmon linewidth for brighter and more vivid colors. The longitudinal plasmon resonance along the axis of the dimer is the main contributor to resonance, and the colors are tuned using a combination of the dimer length and the inter-dimer spacing in the array  $D_x$  and  $D_y$ . We further showed that it is possible to obtain different colors by varying the angle of incidence. The advantages of this approach include simplicity of the design, bright coloration, and highly polarized function. Our design can also be used for transparent displays by projecting monochromatic light at the resonant wavelength.

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#### Supplemental material

Supplementary material for this article is available online.

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