

Metal-free catalytic asymmetric fluorination of keto esters using a combination of hydrogen fluoride (HF) and oxidant: experiment and computation

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ABSTRACT: A chiral iodoarene organocatalyst for the catalytic asymmetric fluorination has been developed. The catalyst was used in the asymmetric fluorination of carbonyl compounds providing the products with a quaternary stereocenter with high enantioselectivities. Chiral hypervalent iodine difluoride intermediates were generated in situ by treatment of the catalyst with an oxidant and hydrogen fluoride as fluoride source. As such the α -fluorination of a carbonyl compound was achieved with a nucleophilic fluorine source. A combined computational and experimental approach provided insight into the reaction mechanism and the origin of enantioselectivity.

KEYWORDS: reaction mechanism, transition state, DFT study, fluorine, hypervalent compounds

■ INTRODUCTION

Compounds containing fluorine and fluorinated groups have received increased attention due to their widespread biological and therapeutic properties.¹ Fluorinated substituents affect the physical, chemical and biological properties of the parent molecules, such as lipophilicity, membrane permeability, biokinetics and biodynamics. Therefore, numerous blockbuster drugs and best-selling agrochemicals as well as many functional materials contain fluorinated moieties.² For these reasons introduction of fluorine and fluorinated substituents have been investigated over decades. Numerous fluorinating reagents have been developed; however, some of them possess certain disadvantages, such as chemical or thermal instability, difficulty in handling, as well as high prices.

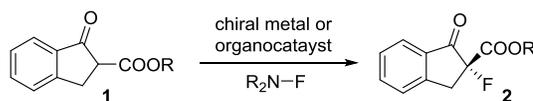
Basic strategies for introducing fluorine atoms into nucleophilic positions involve the use of formal-electrophilic fluorine transfer reagents such as NFSI or Selectfluor[®] (Scheme 1a).³ Both their price and bad atom economy limit their potential industrial applications. Elemental fluorine on the other hand is too hazardous to be applied in common laboratory practice and requires moreover specialized equipment.

Recently, oxidative processes have been of particular research interest and nucleophilic fluoride sources such as inexpensive inorganic fluorides or hydrogen fluoride could be applied in combination with an oxidant and an appropriate catalyst. Regarding the development of transition metal-free processes, elegant fluorination protocols were designed using hypervalent iodine species.⁴ In the initial fluorination studies, stoichiometric loadings of hypervalent iodine species were applied in versatile reactions with a wide range of nucleophiles.^{5,6} Catalytic protocols using an aryl iodide, a fluoride and various oxidants were also published, using meta-chloroperbenzoic acid (*m*CPBA) or an electric current.⁷⁻⁹

However, examples of asymmetric fluorination using hypervalent iodine species are rare. Nevado reported recently the asymmetric aminofluorination of double bonds.¹⁰ The products were obtained with good selectivities; however, the

reaction required stoichiometric amounts of instable hypervalent iodine difluorides. Shibata reported the aminofluorination of double bonds as well as the fluorination of carbonyl compounds applying catalytic amounts of iodoarenes, hydrogen fluoride and an oxidant, however the asymmetric version of the reaction showed only moderate enantioselectivities.⁸ Recently, Jacobsen and co-workers described the enantioselective difluorination of olefins and fluorolactonization reaction using chiral iodoarene catalysts.⁹ At the same time, Gilmour described the catalytic difluorination of terminal olefins.¹¹ However, in this case, the development of an asymmetric version was met with moderate success.¹¹ Herein we describe the development of superior chiral iodoarene catalysts for the highly selective asymmetric fluorination of carbonyl compounds (Scheme 1b).

a) electrophilic N-F fluorine reagent - previous work



b) nucleophilic fluorine reagent - this work

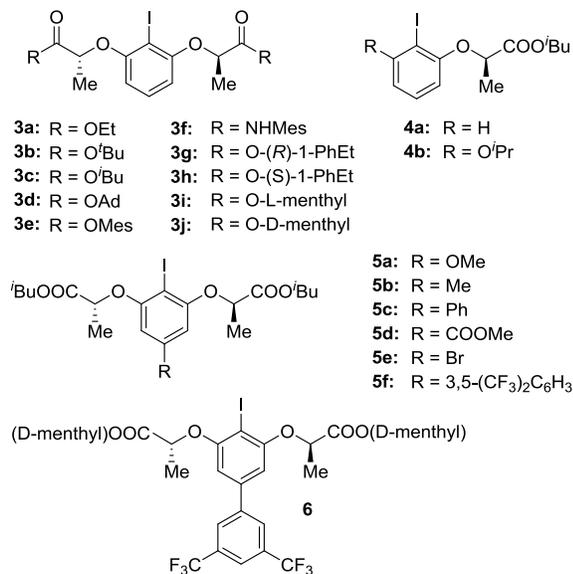


Scheme 1. Catalytic asymmetric fluorinations with a) an electrophilic fluorine reagent; b) nucleophilic fluorine reagent.

■ RESULTS AND DISCUSSION

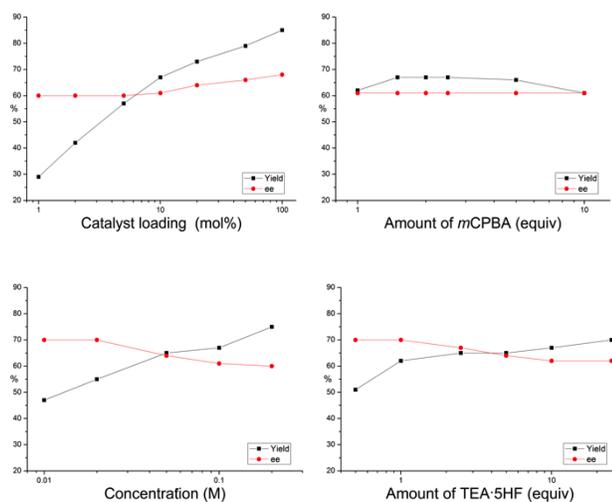
For our initial experiments the indanone based β -keto ester **1a**³ was chosen as model substrate together with various catalysts derived from 2-iodoresorcinol and lactate scaffolds (Scheme 2).¹² Among the different oxidants tested, product formation was observed using *m*CPBA (see Supporting Information for details), while other oxidants showed no product formation or

hydroxylation of the substrate only. Hydroxylation of substrate **1a**, which originates from unavoidable background reaction of **1a** with *m*CPBA,¹³ was always observed. Additionally, competition between F⁻ and OH⁻ (from residual water) nucleophiles exist in iodoarene catalyzed processes; however, the latter occurs only to a small extent as the observed selectivity of hydroxylated compound was always low (from 50:50 to 55:45 *er*).



Scheme 2. Catalysts used in this study.

Next, we turned our attention to hydrogen fluoride sources. Experiments using catalyst **3a** showed that the choice of hydrogen fluoride source is essential for both good yields and selectivities. The most commonly employed hydrogen fluoride solutions, e.g. aqueous HF, HF in pyridine and triethylamine trihydrofluoride (TEA·3HF) (Table 1, Entries 1-3) provided the desired product in moderate yields. Notably, the TEA·3HF complex produced the product with good selectivity.



Scheme 3. Examination of reaction parameters using catalyst **3a**. (for details see Supporting Information).

Further experiments with other commercial HF sources revealed triethylamine pentahydrofluoride (TEA·5HF) as the

best one, offering a compromise between good chemical yield and selectivity of the process. Control experiments showed that in the absence of iodoarene catalyst no fluorinated product formation was observed and only hydroxylation took place. These results clearly demonstrate that the iodoarene catalyst is crucial for the reaction to occur. Further examination of the key reaction parameters such as catalyst loading, amount of HF, concentration and amount of *m*CPBA were conducted using catalyst **3a** (Scheme 3). A strong impact of the catalyst loading on the yield was observed. This can be explained by the competition with the background process. The selectivity increased only to a small extent when a higher amount of catalyst was used. On the other hand, the amount of *m*CPBA did not affect the reaction outcome considerably and both yield and selectivity were comparable in all cases.

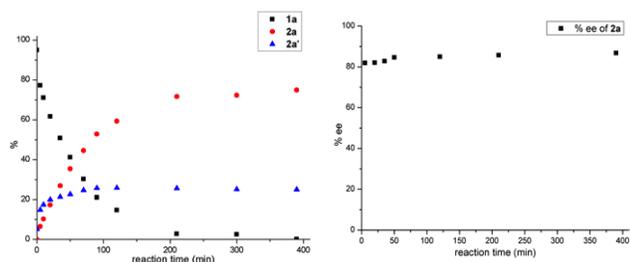
Table 1. Optimization of the reaction conditions.^a

Entry	Catalyst	HF source	Yield (%) ^b	<i>er</i> ^c
1	3a	48% HF _{aq}	38	60: 40
2	3a	70% HF in py	38	56: 44
3	3a	TEA·3HF	25	85: 15
4	3a	TEA·5HF	65	80: 20
5	3a	TEAF·4HF	56	79.5: 20.5
6	3a	Me ₂ O·5HF	25	70: 30
7	7	TEA·5HF	70	63.5: 36.5
8	3b	TEA·5HF	59	83: 17
9	3c	TEA·5HF	68	83.5: 16.5
10	3d	TEA·5HF	61	70: 30
11	3e	TEA·5HF	51	87.5: 12.5
12	3f	TEA·5HF	39	70.5: 29.5
13	3g	TEA·5HF	55	81: 19
14	3h	TEA·5HF	68	89: 11
15	3i	TEA·5HF	61	83: 17
16	3j	TEA·5HF	65	89.5: 10.5
17	4a	TEA·5HF	52	60: 40
18	4b	TEA·5HF	55	65.5: 34.5
19	5a	TEA·5HF	<10	71.5: 28.5
20	5b	TEA·5HF	56	79: 21
21	5c	TEA·5HF	66	85.5: 14.5
22	5d	TEA·5HF	62	87: 13
23	5e	TEA·5HF	64	86: 14
24	5f	TEA·5HF	61	89.5: 10.5
25	6	TEA·5HF	67	95: 5
26 ^d	6	TEA·5HF	77	92: 8
27 ^e	6	TEA·5HF	39	91.5: 7.5
28 ^f	6	TEA·5HF	61	89: 11
29 ^g	6	TEA·5HF	31	95: 5
30 ^h	6	TEA·5HF	<10	95.5: 4.5

^aReaction conditions: **3a** (0.1 mmol), catalyst (10 mol%), HF source (20 equiv of free HF) and *m*CPBA (0.15 mmol) in chloroform (1 mL) were stirred in a FEP tube at RT for 18 h; ^bYields after purification by column chromatography; ^c*er* was determined by GC on chiral stationary phase; ^dDCM as solvent; ^eToluene as solvent; ^fAcetonitrile as solvent; ^gReaction at 0 °C for 36 h; ^hReaction at -20 °C for 72 h. **7**: R-1,1'-binaphthyl-2,2'-diiodide.

Higher HF loading and concentration influenced the reaction outcome resulting in higher yields at the cost of selectivity. The optimized conditions (10 mol % catalyst, 1.5 equiv *m*CPBA, concentration 0.1 M, 5 equiv TEA·5HF), offering a balance between both chemical yield and selectivity, were chosen for further studies. Next, we turned our attention to the catalyst structure. All catalysts derived from L-(-)-lactic acid (*S*-lactic acid) tested, as well as *R*-1,1'-binaphthyl-2,2'-diiodide, provided the same enantiomer of the product in excess. Catalysts **4a** and **4b** lacking C₂-symmetry proved to be inferior (Table 1, entries 17, 18) and hence we focused on C₂-symmetric structures. Catalysts **3b-j** obtained by transesterification of the ester group revealed the influence of the steric factors on the selectivity (Table 1, entries 8-16) as bulky esters did not offer sufficient improvement. On the other hand, when chiral alcohols were introduced in the catalyst structure, small matched-mismatched effects were observed (Table 1, entries 13-16). For example catalyst **3h** derived from L-lactic acid and *S*-(-)-1-phenylethanol was superior compared to diastereomeric catalyst **3g** derived from L-lactic acid and *R*-(+)-1-phenylethanol. Among diastereomeric catalysts **3i** and **3j**, the best selectivity was obtained with the D-menthyl ester derivative **3j**. Next, the electronic effects of differently substituted 2-iodoresorcinols were investigated by applying catalysts **5a-f** (Table 1, entries 19-24). Interestingly, electron-donating substituents deteriorated both selectivity and yield. Remarkably, with catalyst **5a** the product was obtained in unexpectedly low yield. This result can be explained by catalyst degradation by the oxidant. On the other hand, electron-withdrawing substituents showed beneficial effects. The best result was obtained using catalyst **5f** bearing a 3,5-bis(trifluoromethyl)phenyl group *para* to the iodine. The catalysts can be separated from the reaction mixture (70-90% recovery) and reused in the next reactions without any loss of activity or selectivity. Next, catalyst **6** which accounts for both steric and electronic effects was prepared and provided the product with excellent selectivity (Table 1, entry 25). Further changes of the reaction conditions, as altering the solvent (Table 1, entries 26-28) or lowering the temperature (Table 1, entries 29-30) did not improve the results.

To get a deeper understanding of the reaction's progress, we studied the kinetics of the asymmetric fluorination reaction of indanone based β -keto ester **1a** (Scheme 4). It is noteworthy, that the ee of the fluorinated product is not changing with the reaction progress.

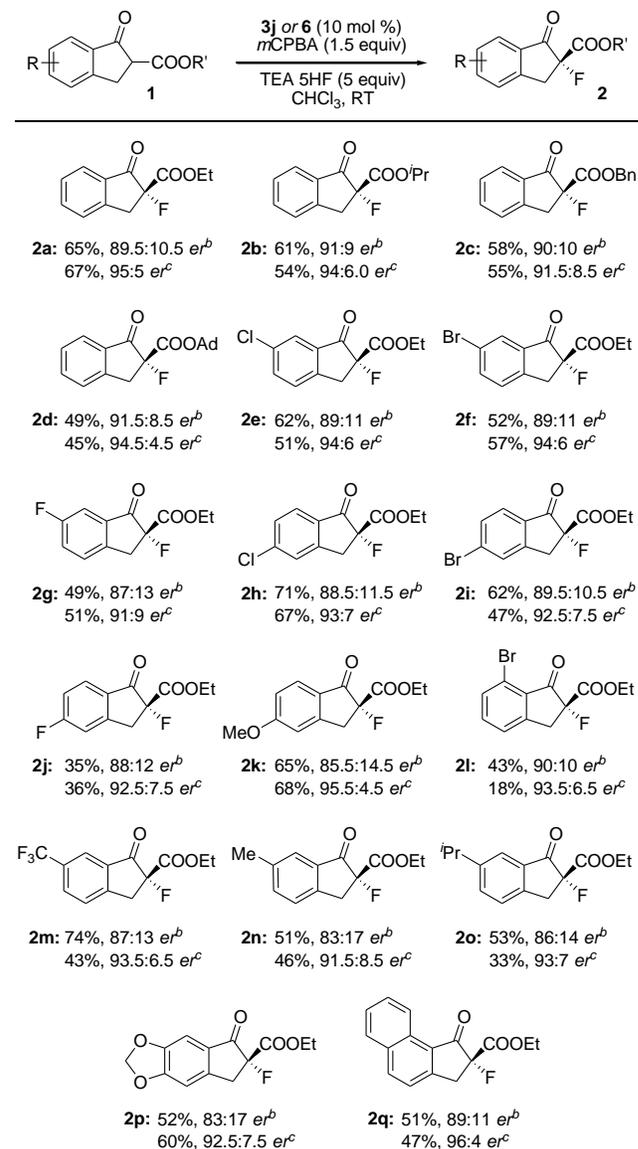


Scheme 4. Kinetics of the asymmetric fluorination.

With optimized reaction conditions in hand, we decided to explore the utility and applicability of our strategy, applying differently substituted indanone-derived β -keto esters in reaction with catalysts **3j** and **6** (Table 2). A variety of electron-

withdrawing and electron-donating substituents were well tolerated, independently of their position in the aromatic ring. Also different ester groups provided the desired products with excellent stereoselectivities.¹⁴ The absolute configuration of compounds **2a-d** was determined by comparison of the sign of the optical rotation with known samples, suggesting *R* configuration of the products.

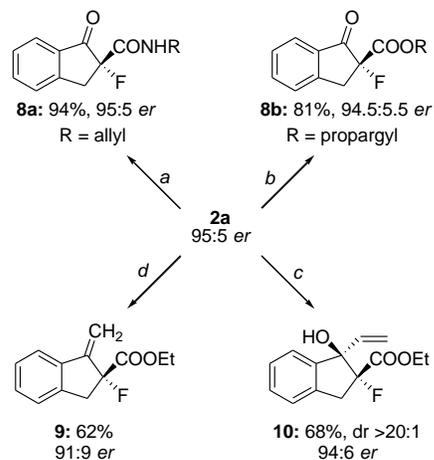
Table 2. Reaction scope of the asymmetric fluorination protocol.^a



^aReaction conditions: **1** (0.1 mmol), catalyst (10 mol %), TEA·5HF (5 equiv) and *m*CPBA (0.15 mmol) in chloroform (1 mL) were stirred in a FEP tube at RT for 4-18 h; isolated yields after column chromatography; *er* was determined by GC or HPLC on chiral stationary phase. ^bReaction with catalyst **3j**; ^cReaction with catalyst **6**.

The chiral fluorinated products can be functionalized in diverse fashions as demonstrated for compound **2a** (Scheme 5). First, modification of the ester group can be performed using amines and alcohols under microwave conditions without loss of enantioselectivity. Reaction with allylamine proceeded

smoothly and the product **8a** was isolated in almost quantitative yield. The Lewis-acid catalyzed reaction with propargylic alcohol provided the product **8b** in good yield. Subsequently, addition of Wittig and Grignard reagents was performed in order to functionalize the ketone moiety. The corresponding products **9** and **10** were obtained with good yields and the Grignard reaction worked with excellent diastereoselectivity.



Scheme 5. Functionalization of the product. Reaction conditions: ^aAllylamine (5 equiv), toluene, 200W MW, 135 °C, 30 min; ^bPropargyl alcohol (10 equiv), Bu₂SnO (5 mol %) toluene, 200W MW, 135 °C, 45 min; ^cCH₂CHMgBr (1.1 equiv), THF, -20 °C, 2 h; ^dPh₃PCH₂, toluene, 0 °C, 12 h.

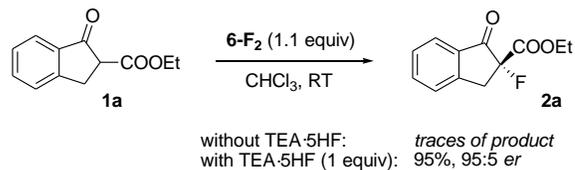
Subsequently the scalability of the developed process was investigated with a reduced catalyst loading of 2 mol %. While the batch reaction proceeded with a lower yield of 43% (Table 3, entry 1), dropwise addition of the β -keto ester increased the yield to 67% (Table 3, entry 3). Slow addition of *m*CPBA or *m*CPBA and **1a** simultaneously did not further improve the yield (Table 3, entries 2 and 4). Finally, the protocol was scaled up to 1 g of **1a**, providing the product **2a** in good yield and selectivity (Table 3, entry 5).

Table 3. Scaling-up experiments.^a

Entry	Modification of reaction conditions ^[a]	Yield (%) ^b	<i>er</i> ^c
1	Batch reaction	43	95:5
2	Slow addition of <i>m</i> CPBA	49	95:5
3	Slow addition of 1a	67	95:5
4	Slow addition of <i>m</i> CPBA & 1a	60	95:5
5 ^d	Slow addition of 1a	63	95:5

^aReaction conditions: **1a** (0.5 mmol), **6** (2 mol %), TEA·5HF (0.5 mmol) and *m*CPBA (0.75 mmol) in chloroform (5 mL) were stirred in a FEP tube at RT for 14 h. Indicated reagents were added via syringe pump over 12h. ^bYields after purification by column chromatography; ^c*er* was determined by GC on chiral stationary phase; ^dReaction on 5 mmol scale using TEA·5HF (1.5 equiv).

Interestingly, stoichiometric reaction with pre-oxidized hypervalent iodoarene (**6-F₂**, Scheme 6) showed only traces of the product in the absence of hydrogen fluoride. Upon addition of TEA·5HF, the product was obtained in excellent yield and selectivity. These results are consistent with previous studies and confirm the importance of hydrogen fluoride molecules.^{5b,e}



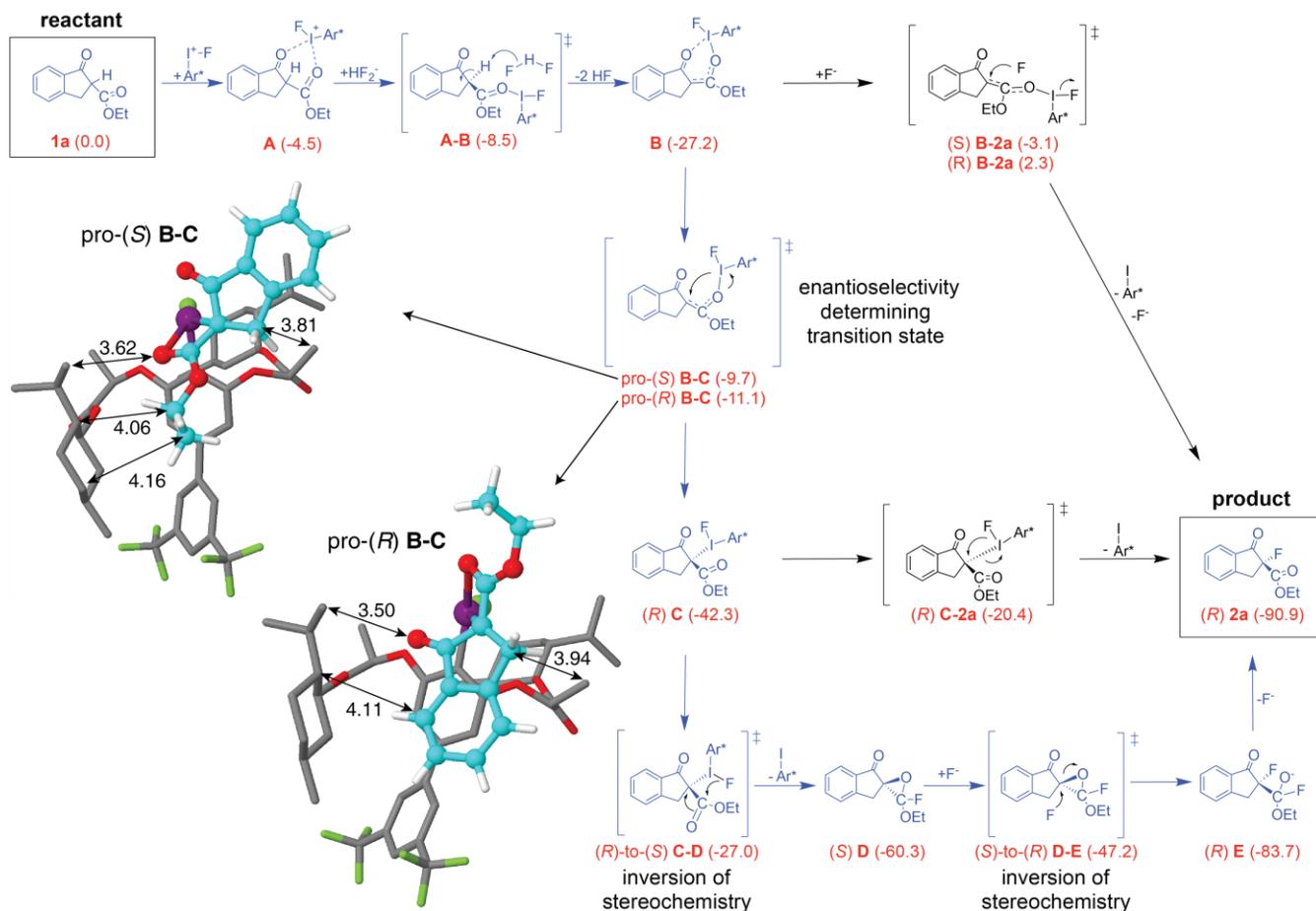
Scheme 6. Stoichiometric studies.

To shed light on the possible reaction mechanism and the origin of enantioselectivity, we performed DFT calculations.¹⁵ According to the experimental observation we assumed in situ formation and activation of the Ar^{*}-I-F₂ by the HF molecule. Ar^{*}-I-F₂ can be prepared analyzed (for information SI). The first steps involve the reaction of the enol form of substrate **1a** with [Ar^{*}-I-F]⁺/[HF₂]⁻ to abstract the H atom from **1a** via the low energy transition state **A-B**, with formation of an O-bonded hypervalent iodine intermediate **B** (Scheme 7).^{7a} Intermediate **B**, 27.2 kcal/mol below the reactant can proceed in a single step to the product, by nucleophilic attack of a fluoride anion to the α -C atom via transition state **B-2a**. Along this path, formation of the (*S*) enantiomer of the product would be favored, as transition state (pro-*S*) **B-2a**, located at -3.1 kcal/mol, is favored over transition state (pro-*R*) **B-2a**, at 2.3 kcal/mol. This conclusion is in disagreement with the experimental selectivity of the observed (*R*) enantiomer. However, from **B** the reaction can more easily proceed via transfer of the [Ar^{*}-I-F]⁺ fragment from the carboxylic O atom to the α -C atom via transition state **B-C**, with formation of an α -C-bonded hypervalent iodine intermediate,^{8,16} **C** in Scheme 7. Formation of the (*R*) enantiomer of intermediate **C** is favored, as transition state (pro-*R*) **B-C**, at -11.1 kcal/mol, is favored over transition state (pro-*S*) **B-C**, at -9.7 kcal/mol. The energy barrier for this step, calculated as the energy difference between transition state (pro-*R*) **B-C** and intermediate **B**, is 16.1 kcal/mol. We anticipate that transition state **B-C**, which is consistent with previous calculations,¹⁷ is the enantioselectivity determining transition state, as the resulting intermediate (*R*) **C**, located at -42.3 kcal/mol, is very stable, and the energy barrier for the backward reaction from **C** to **B**, 31.2 kcal/mol, is too high for the working reaction conditions. For this reason, in the following, we only describe the pathway from intermediate **C** to the (*R*) enantiomer of the product.

Again, two pathways are feasible. One pathway corresponds to the reductive elimination of the chiral λ^3 iodane intermediate **C** via transition state **C-2a**, with a barrier of 21.9 kcal/mol. The other pathway, corresponds to the elimination of Ar^{*}-I and formation of an epoxide ring. This occurs via transition state **C-D** with an energy barrier of 15.3 kcal/mol only and results in hemiacetale intermediate **D** (-60.3 kcal/mol). Formation of intermediate **D** involves inversion of configuration at the α -C atom. Trans opening of the epoxide ring of **D** by nucleophilic attack of the fluoride anion, via transition state **D-E** and an energy barrier of 13.1 kcal/mol, leads to intermediate **E** and restores the (*R*) configuration at the α -C atom. Dissociation of the fluoride leads to the experimentally observed (*R*)-enantiomer **2a**. We also tried to open the epoxide ring of **D** by

nucleophilic attack of HF_2^- attack with formation of an HF molecule, as well as by HF attack with concerted chelation of the fluoride at the carbon ester center. These two pathways

proceed via transition states that are 10.0 and 22.9 kcal/mol higher in energy relative to transition state **D-E** of Scheme 7, eliminating these mechanistic alternatives.



Scheme 7. Proposed reaction mechanism. Free energies in CH_2Cl_2 (in kcal/mol). The favored pathway, assembled with a simplified ligand with the isopropyl groups of the D-menthyl moieties replaced by methyl groups, is colored in blue. The structures of the pro-(*S*) and pro-(*R*) transition states **B-C**, located using the whole catalyst structure, is reported. C atoms of the substrate are colored in cyan. Selected distances in Å.

Having clarified the whole reaction profile, we focused on the enantioselectivity determining transition state **B-C**. We first reintroduced the isopropyl groups on the D-menthyl rings, instead of the methyl groups that were used to simplify the catalyst structure while assembling the whole reaction pathway of Scheme 7. Using the complete catalyst structure we located again the pro-(*R*) and pro-(*S*) transition states, and we found again the pro-(*R*) transition state **B-C** favored over the pro-(*S*) transition state. The complete catalyst structure increases the preference for the pro-(*R*) transition state from 1.4 (see Scheme 7) to 2.3 kcal/mol. The lower energy of the pro-(*R*) transition state can be explained using concepts developed to explain the asymmetric induction of chiral hypercoordinate iodine catalysts for enantioselective transformations.¹⁷ Consistently with this work, examination of the enantioselectivity determining transition state (Scheme 7) reveals that the unfavored pro-(*S*) **B-C** transition state is destabilized by short (and repulsive) distances (< 4.0 Å) between C atoms of the substrate and of the catalyst. These distances are systematically larger in the favored pro-(*R*) transition state. Furthermore, the favored pro-(*R*) **B-C** transition state is stabilized by a

shorter (and weakly attractive) C-H \cdots O distance between a O-atom of the substrate and C-H bonds of the catalyst, compared to the pro-(*S*) transition state.

CONCLUSIONS

In summary a new iodoarene catalyst for metal-free asymmetric fluorination of carbonyl compounds was developed. Notably, the presented methodology utilizes inexpensive reagents including hydrogen fluoride as fluorine source to generate the catalytically active iodoarene difluoride species in the presence of *m*CPBA as oxidant. The reactions proceed at room temperature under air, tolerate a wide range of functional groups and give the products with excellent enantioselectivities. Mechanistic experiments imply the importance of a hydrogen fluoride containing complex for catalytic activity, which is important for the design of further catalytic asymmetric fluorinations as well as iodoarene catalyzed reactions. DFT calculations provided insight on the whole reaction mechanism and on the origin of enantioselectivity.

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Author Contributions

The manuscript was written through contributions of all authors. / All authors have given approval to the final version of the manuscript.

Notes

The authors declare no competing financial interests.

ASSOCIATED CONTENT

Supporting Information. Detailed experimental procedures, spectral data for all compounds, copies of ¹H and ¹³C NMR spectra, as well as cartesian coordinates and energies of all the species are available in the supporting information. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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- (14) The reactivity of indanone based β -diketones is significantly lower under our optimized conditions. The best result obtained was for the reaction of 2-acetyl-2,3-dihydro-1H-inden-1-one which provided a yield of 27% with an ee of 29% for the corresponding product. β -Keto esters derived from tetralones provided only hydroxylated product under our catalytic conditions and linear substrates were nonreactive.
- (15) Geometries were optimized with Gaussian09 package using the PBE0 functional with D3 empirical corrections with Becke-Johnson damping. The electronic configuration of the systems was described with the split-valence SVP basis set for H, C, O and F while for I we adopted the quasi-relativistic SDD effective core potential with the associated valence basis set. All geometries were characterized as minimum or transition state through frequency calculations.

The reported free energies were built through single point energy calculations on the PBE/SVP geometries using the M06 functional and the triple- ζ TZVP basis set for H, C and O, augmented with a diffuse basis function for F. Solvent effects were included with the PCM model using CH_2Cl_2 as the solvent. To this M06/TZVP electronic energy in solvent, thermal corrections were added from the gas-phase frequency calculations at the PBE-D3/SVP level. To reduce the computational cost of the conformational analysis, the isopropyl groups of the D-menthyl moieties were reduced to methyl groups.

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