

Analytical description of ballistic spin currents and torques in magnetic tunnel junctionsM. Chshiev,^{1,2,3,4,*} A. Manchon,⁵ A. Kalitsov,^{1,2,3,4} N. Ryzhanova,⁶ A. Vedyayev,⁶ N. Strelkov,⁶ W. H. Butler,⁴ and B. Dieny^{1,2,3}¹*Univ. Grenoble Alpes, INAC-SPINTEC, F-38000 Grenoble, France*²*CNRS, SPINTEC, F-38000 Grenoble, France*³*CEA, INAC-SPINTEC, F-38000 Grenoble, France*⁴*MINT Center, University of Alabama, Tuscaloosa, Alabama 35487-0209, USA*⁵*Physical Science and Engineering Division, King Abdullah University of Science and Technology (KAUST), Thuwal 23955-6900, Saudi Arabia*⁶*Department of Physics, Moscow Lomonosov State University, Moscow 119991, Russia*

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In this work we demonstrate explicit analytical expressions for both charge and spin currents which constitute the 2×2 spinor in magnetic tunnel junctions with noncollinear magnetizations under applied voltage. The calculations have been performed within the free electron model in the framework of the Keldysh formalism and WKB approximation. We demonstrate that spin/charge currents and spin transfer torques are all explicitly expressed through only three irreducible quantities, without further approximations. The conditions and mechanisms of deviation from the conventional sine angular dependence of both spin currents and torques are shown and discussed. It is shown in the thick barrier approximation that all tunneling transport quantities can be expressed in an extremely simplified form via Slonczewski spin polarizations and our effective spin averaged interfacial transmission probabilities and effective out-of-plane polarizations at both interfaces. It is proven that the latter plays a key role in the emergence of perpendicular spin torque as well as in the angular dependence character of all spin and charge transport considered. It is demonstrated directly also that for any applied voltage, the parallel component of spin current at the FM/I interface is expressed via collinear longitudinal spin current components. Finally, spin transfer torque behavior is analyzed in a view of transverse characteristic length scales for spin transport.

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I. INTRODUCTION

Interest in spintronics has been strongly accentuated by the discovery of current-induced magnetization switching (CIMS) caused by spin transfer torque (STT) in both metallic multilayers and tunnel junctions [1–8]. In the ballistic transport regime, such switching is caused by STT resulting from the nonconservation of transverse components of spin currents [1]. On the other hand, in magnetic tunnel junctions (MTJs), the spin-dependent charge currents determine the tunneling magnetoresistive (TMR) properties. Consequently, the key for understanding the fundamental mechanisms underlying both STT and TMR in MTJs with noncollinear magnetizations is the understanding of the fundamental quantum properties of both spin and charge currents [8].

Since the pioneering work of Slonczewski, a number of theoretical studies have addressed the microscopic details of STT in MTJs, using various approaches for calculating spin and charge transport. These include the transfer matrix formalism [9,10], the tight-binding approach [11–15], the free electron approach [16–19], and approaches based on first-principles calculations of the electronic structure [20,21]. It is now well established that for elastic tunneling in MTJs, STT possesses two components of the form

$$\mathbf{T}_{\parallel} = (a_1 V + a_2 V^2) \mathbf{M}_R \times (\mathbf{M}_R \times \mathbf{M}_L), \quad (1)$$

$$\mathbf{T}_{\perp} = (b_0 + b_1 V + b_2 V^2) \mathbf{M}_R \times \mathbf{M}_L, \quad (2)$$

where \mathbf{M}_L and \mathbf{M}_R are the magnetization directions of the pinned and free layers, respectively. Several properties can be outlined for the STT components. For instance, it has been shown that a_2 in Eq. (1) vanishes for the case of half-metallic electrodes [13,14] while b_1 tends to zero in Eq. (2) for symmetric MTJs yielding quadratic bias voltage behavior [12,13,17–19] as confirmed later experimentally [22,23]. The roles of inelastic scattering, structural asymmetries, and material compositions have also been theoretically investigated [24–31] resulting in the forms displayed in Eqs. (1) and (2) with some of them supported by a number of recent experiments [32–37]. In certain cases the out-of-plane torque \mathbf{T}_{\perp} may oscillate with the bias voltage [15] indicating that Eq. (2) is only a low bias approximation.

Due to the cumbersome form of the actual expressions, most of the models proposed up to now rely on numerical simulations [12,13,15,17,19]. Therefore, no transparent formulas are available to qualitatively describe the torques in MTJs. In this work we demonstrate explicit analytical expressions for both charge and spin currents which constitute the 2×2 current matrix in magnetic tunnel junctions with noncollinear magnetizations of two ferromagnetic electrodes, F_L and F_R , with angle γ between them. The electrodes are separated by an insulator (B) and there is an applied voltage V . As shown in Fig. 1, the interface is taken to be perpendicular to the y direction and the magnetization of the free (F_R) layer is assumed to be in the z direction.

The expressions derived here can form a good basis for understanding the physics of TMR, interlayer exchange coupling, and STT in the case of both symmetric and asymmetric

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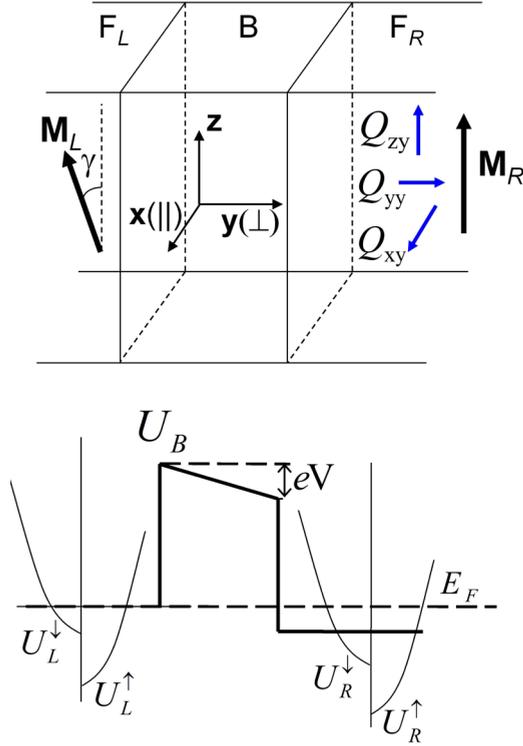


FIG. 1. (Color online) Top: Schematic structure of the MTJ, consisting of left and right semi-infinite FM leads separated by a thin nonmagnetic insulating barrier. The magnetization \mathbf{M}_R of the right FM lead is along z , whereas the magnetization \mathbf{M}_L of the left lead is rotated by an angle γ around the y axis with respect to \mathbf{M}_R . Bottom: Schematic illustration of the potential profile, where $U_{L(R)}^\uparrow$, $U_{L(R)}^\downarrow$, and U_B are the potentials of the majority and minority bands in the left (right) FM leads, and the barrier, respectively. The lower dashed line indicates the Fermi level in equilibrium.

MTJs. The calculations have been performed within the free electron model using the nonequilibrium Green's function technique in the framework of the Keldysh formalism and WKB approximation. They extend the previous approximate expressions reported in the literature [7, 16, 19, 26]. The expressions presented here, however, have a very compact form since they are expressed through only three irreducible quantities without further approximations. This allows easy implementation in commercial software by applying straightforward integration rules [38]. Moreover, in the limit of thick barriers all noncollinear transport quantities are expressed in an extremely simplified form via Slonczewski spin polarizations and our *effective spin averaged interfacial transmission probabilities* and *effective out-of-plane polarizations* at both interfaces. We demonstrate that the latter reflects the degree of spin mistracking which gives rise to the perpendicular STT term and determines the angular dependence of STT and TMR.

II. METHODOLOGY

Prior to entering into the details of the obtained results, it is important to point out the main limitations of the formulas reported in this paper. It is well established that the complex band structure of MgO-based MTJs has an important impact on

microscopic transport properties, such as STT and TMR, and can be accounted for through first-principles calculations [20]. This technique allows for considering a realistic density of states, as well as the symmetry characters of the tunneling electrons, resulting in important properties such as resonant interfacial states and so on. Although this technique, combined with the nonequilibrium Green's function (NEGF) formalism, provides a realistic bias dependence of STT [20], a simpler model using tight-binding theory has proven to be sufficient for predicting and describing the essential characteristics of the spin transfer torques in MTJs (including barriers other than MgO [12–15]). In this case, the interfacial density of states is modeled by a closed-form band dispersion relation which allows for varying the effective band filling, giving rise to unexpected bias dependencies of the torque components [12–15, 19].

In the present article, we choose the free electron model in which the dispersion is parabolic. However, for low band filling, tight-binding bands are well approximated by free electron dispersion. In particular, for the important case of bcc (Co)Fe, the dispersion relation of the Δ_1 band is similar to free electron dispersion. This approach provides an efficient, compact, and transparent qualitative description of ballistic tunneling which may be useful and important in view of potential new technological applications of spin transfer torque. Finally, this approach uses the standard quantum mechanical procedure of matching electron wave functions at the interfaces of the MTJ, a procedure that is formally equivalent to the NEGF method developed in Refs. [11–14].

Spin and charge transport across a noncollinear MTJ are represented by elements of a 2×2 current matrix in spin space which can be written as

$$\hat{J} = \begin{pmatrix} J^{\uparrow\uparrow} & J^{\uparrow\downarrow} \\ J^{\downarrow\uparrow} & J^{\downarrow\downarrow} \end{pmatrix} = \begin{pmatrix} \Lambda^{\uparrow(\uparrow)} + \Lambda^{\uparrow(\downarrow)} & \Xi^{\uparrow(\uparrow)} + \Xi^{\uparrow(\downarrow)} \\ \Xi^{\downarrow(\uparrow)} + \Xi^{\downarrow(\downarrow)} & \Lambda^{\downarrow(\uparrow)} + \Lambda^{\downarrow(\downarrow)} \end{pmatrix}, \quad (3)$$

where Λ and Ξ are described in detail in the Appendix. The matrix above defines the required charge current and spin current tensor components Q_{ij} (with indices i and j being in spin and real space, respectively) using the identity \hat{I} and the Pauli matrices ($\hat{\sigma}_x, \hat{\sigma}_y, \hat{\sigma}_z$). The diagonal elements of (3) can be used to express the total charge and longitudinal spin currents as $J_e = -(|e|/\hbar)\text{Tr}(\hat{J}\hat{I})$ and $Q_{zy} = \text{Tr}(\hat{J}\hat{\sigma}_z)/2$, respectively. The nondiagonal elements $J^{\uparrow\downarrow(\downarrow\uparrow)} = Q_{xy} \pm iQ_{yy}$ comprise transverse spin current tensor components which are extracted using $\hat{\sigma}_x$ and $\hat{\sigma}_y$, i.e., $Q_{xy} = \text{Tr}(\hat{J}\hat{\sigma}_x)/2$ and $Q_{yy} = \text{Tr}(\hat{J}\hat{\sigma}_y)/2$. In the following, the second (real space) index in the spin current expressions will be omitted. Only the index pertaining to spin space will be retained.

The spin-dependent wave vectors in the i th electrode are denoted by k_i^σ [i is “L” or “R”, σ is “ \uparrow ” (+) or “ \downarrow ” (–)] and the wave vector inside the barrier is denoted by $q(y)$. Detailed expressions for these quantities are given in the Appendix [Eqs. (A20) and (A21)]. In order to give an explicit account of the torques and current, we define three irreducible factors, $P_i = (k_i^\uparrow - k_i^\downarrow)/(k_i^\uparrow + k_i^\downarrow)$, $\alpha_i = (q_i^2 - k_i^\uparrow k_i^\downarrow)/(q_i^2 + k_i^\uparrow k_i^\downarrow)$, and $\eta_i = q_i(k_i^\uparrow + k_i^\downarrow)/(q_i^2 + k_i^\uparrow k_i^\downarrow)$. Note that q_i should be replaced by q_i/m_{eff} where $m_{\text{eff}} = m^*/m_e$ when $m_{\text{eff}} \neq 1$. The first two factors are referred to as Stearns' polarization [39] and Slonczewski's factor [16] with their product giving

Slonczewski's spin polarization $P_i^S = P_i \alpha_i$ for both interfaces. For reasons clarified further later, P_i^S can be viewed as an effective in-plane polarization while the product of P_i and η_i , $P_i^\eta = P_i \eta_i$, will be referred as an effective out-of-plane polarization.

We will now proceed to the expressions for spin transfer torques as well as spin and charge currents which, even in the general case, can be conveniently expressed using only P_i , α_i , and η_i [see Eqs. (4)–(6) and (8)–(10)]. After that, we will show that for a barrier that is sufficiently thick and high, these expressions take extremely simple and clear forms which can be expressed straightforwardly using only P_i^S , P_i^η , and the effective spin averaged interfacial transmission probabilities defined as $T_i = \eta_i / (\eta_i^2 + \alpha_i^2)$ [Eqs. (11)–(14)]. Note that the latter represents the effective transmission probability through interfaces for both spin channels and in this sense is different from the one traditionally used which is expressed for each spin channel separately [9,40,41].

III. RESULTS AND DISCUSSION

A. Total transverse spin currents and STT

In the absence of spin relaxation and spin-orbit coupling, the spin transfer torque, \mathbf{T} , can be written as $\mathbf{T} = -\nabla \mathbf{Q}$, where the real-space part of the spin current tensor (Fig. 1) is contracted by the divergence operator. Taking into account the vanishing of the transverse spin current far from the interface [11–13], the in-plane (also called “parallel,” “Slonczewski,” or “damping-like”) and out-of-plane (also called “perpendicular” or “field-like”) torques exerted by the left layer on the right layer can be expressed through the interfacial spin current integrand in the barrier $T_{\parallel(L)} = Q_{x(y)}^B$ and are given by the following expressions:

$$T_{\parallel} = \frac{4 \sin \gamma}{|\text{Den}|^2} P_L (2\alpha_R - \alpha_L [E_n^2 + E_n^{-2}]) [f_L - f_R], \quad (4)$$

$$T_{\perp} = -\frac{4 \sin \gamma}{|\text{Den}|^2} P_L P_R (\alpha_L \eta_R f_L + \alpha_R \eta_L f_R) [E_n^2 - E_n^{-2}], \quad (5)$$

$$|\text{Den}|^2 = \frac{1}{\eta_L \eta_R} \{ (\alpha_L \eta_R + \alpha_R \eta_L)^2 (E_n^2 - E_n^{-2})^2 + [(\alpha_L \alpha_R - \eta_L \eta_R) (E_n^2 + E_n^{-2}) - 2\alpha_L \alpha_R + 2P_L P_R \eta_L \eta_R \cos \gamma]^2 \}, \quad (6)$$

where E_n is defined in Eq. (A18) and f_L , f_R are the standard Fermi distribution functions shifted by $\pm eV/2$, respectively. Note that all transport quantities presented here are functions of E and $\kappa^2 = k_x^2 + k_y^2$, so in order to obtain numerical results, the above explicit formulas need to be integrated over the Fermi sphere, considering $2mE/\hbar^2 + \kappa^2 \in [-\infty, E_F]$. A detailed calculation procedure is given in the Appendix.

It is worth commenting on the above equations. First, note that the out-of-plane torque T_{\perp} [Eq. (5)] is not modified under $R \leftrightarrow L$ exchange. Therefore, for the case in which the left and right electrodes are equivalent, the term $\alpha_L \eta_R f_L + \alpha_R \eta_L f_R$ becomes *symmetric* in the bias voltage. It can be shown straightforwardly by series expansion in voltage that this situation yields a out-of-plane torque on the form [36] $\sum_n b_{2n} V^{2n}$ which agrees with the symmetric (even parity) bias dependence

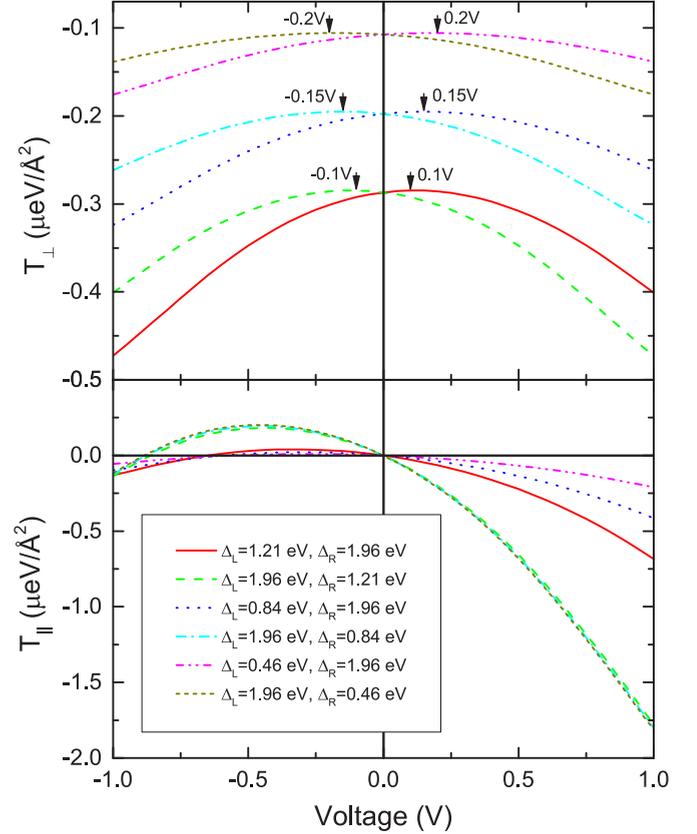


FIG. 2. (Color online) The out-of-plane T_{\perp} and in-plane T_{\parallel} torques as a function of applied bias in an asymmetric MTJ. $U_B = 1$ eV, $\Delta_0 = 2.62$ eV, $m_{\text{eff}} = 0.4$, $\gamma = \pi/2$, $d = 7$ Å.

obtained numerically [15]. When structural asymmetries are present in the junction, so that the left and right interfaces are no longer equivalent, the out-of-plane torque displays linear and higher order antisymmetric components [26,36] taking the form $\sum_n b_n V^n$ as shown in Fig. 2 and observed experimentally [36]. Interestingly, the curve peak displacement is proportional to the difference between the exchange splitting of the right and left FM layers. Note also that the $n = 0$ term in these expansions for T_{\perp} as well as in Fig. 2 represents the interlayer exchange coupling [16,42–44]. On the contrary, the in-plane torque T_{\parallel} , given by Eq. (4), does not have this type of structural symmetry and therefore displays a wide range of behavior as a function of applied bias even in a symmetric junction [12–14,19]. It is interesting to note in Fig. 2 that the in-plane torque is almost insensitive to the exchange splitting of the right layer and depends instead on that of the polarizer in perfect agreement with Eq. (4) where it is defined indeed by the Stearns and more importantly by Slonczewski in-plane polarizations of the left layer.

B. Angular dependence

The angular dependence on the torques displayed in Eqs. (4)–(6) is one of the important results of this work. It is apparent that the deviation from the conventional $\sin \gamma$ dependence is contained in the denominator $|\text{Den}|^2$, where the angular dependence is given by the term proportional to $\eta_L \eta_R P_L P_R \cos \gamma$. For thicker and/or higher barriers this

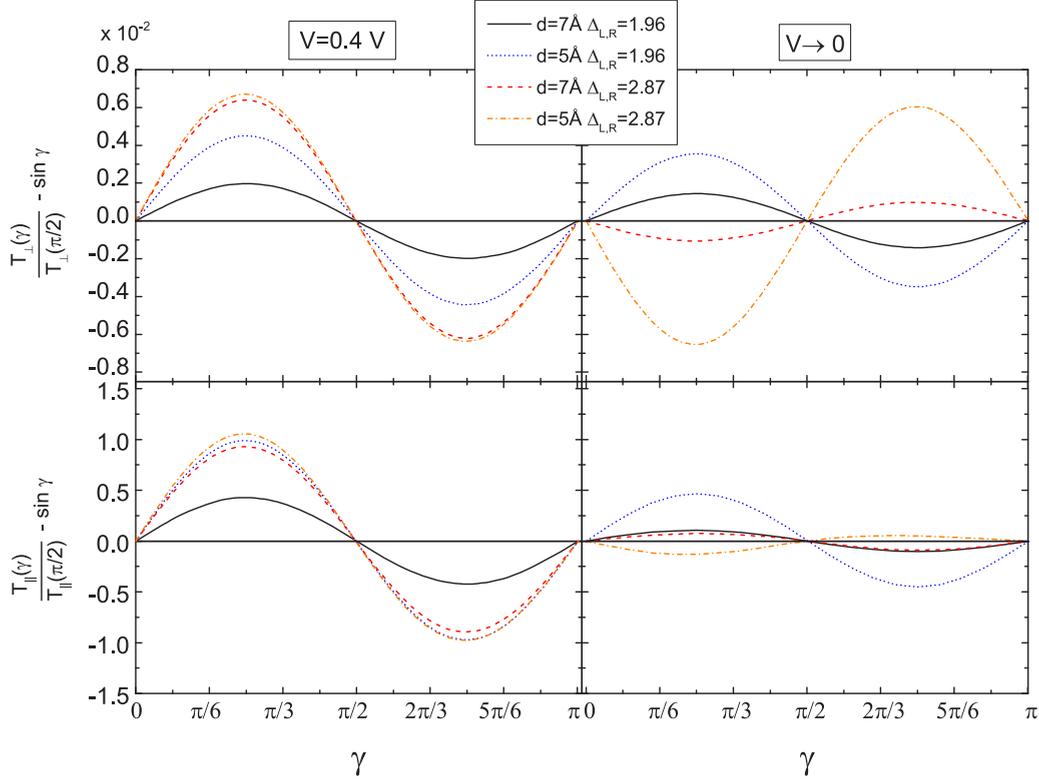


FIG. 3. (Color online) Angular dependence of a universal deviation function given by Eq. (7) for T_{\perp} (top panels) and T_{\parallel} (bottom panels) for different values of thickness d , exchange splittings $\Delta_{L,R}$, and applied voltages V . The parameters are $\Delta_0 = 2.62$ eV, $U_B = 0.5$ eV, $m_{\text{eff}} = 0.4$.

term is negligible compared to E_n^4 so that the denominator is independent of the angle resulting in the torque being simply proportional to $\sin \gamma$ in this limit. However, when the barrier is made thinner or lower, the denominator terms with $\cos \gamma$ can no longer be neglected and the angular dependence deviates from the standard $\sin \gamma$ form. One can introduce a universal deviation function for both torques of the following form:

$$\begin{aligned} & \frac{T(\gamma)}{T(\pi/2)} - \sin \gamma \\ &= -\frac{4 \sin \gamma P_L^\eta P_R^\eta}{|\text{Den}|^2} \times \left\{ [\alpha_L \alpha_R (E_n - E_n^{-1})^2 \right. \\ & \quad \left. - \eta_L \eta_R (E_n^2 + E_n^{-2})] \cos \gamma + P_L^\eta P_R^\eta \cos^2 \gamma \right\}. \quad (7) \end{aligned}$$

A major role in these deviations is played by the effective out-of-plane polarization P_i^η through the $P_L^\eta P_R^\eta \cos \gamma$ term.

Figure 3 represents the deviation function behavior for both torques for different barrier thicknesses, exchange splittings, and applied voltages. One can see that the angular dependence of the deviation function for all cases shows a $\sin 2\gamma$ form governed by the first term in Eq. (7). The magnitude of the deviations is of the order of 1% or less for barrier thickness and height as low as 5 Å and 0.5 eV, respectively, indicating that the usual $\sin \gamma$ dependence of STT is quite robust for a wide range of materials including MgO or AlOx barriers, consistent with the thick barrier approximation which will be introduced below.

C. Local transverse spin currents and STT

The parallel and perpendicular spin currents in the right FM electrode are given by the following expressions:

$$Q_x^R = \frac{4 \sin \gamma}{|\text{Den}|^2} P_L [P_R \eta_R \alpha_L [E_n^2 - E_n^{-2}] \sin\{\Delta k_R y\} + (2\alpha_R - \alpha_L [E_n^2 + E_n^{-2}]) \cos\{\Delta k_R y\}] [f_L - f_R], \quad (8)$$

$$\begin{aligned} Q_y^R &= \frac{4 \sin \gamma}{|\text{Den}|^2} P_L \{ [(2\alpha_R - \alpha_L [E_n^2 + E_n^{-2}]) \sin\{\Delta k_R y\} - P_R \eta_R \alpha_L [E_n^2 - E_n^{-2}] \cos\{\Delta k_R y\}] [f_L - f_R] \\ & \quad + P_R f_R [(\eta_L \eta_R - \alpha_R \alpha_L) [E_n^2 + E_n^{-2}] + 2(1 - \eta_L \eta_R P_L P_R \cos \gamma)] \sin\{\Sigma k_R y\} \\ & \quad - (\eta_L \alpha_R + \eta_R \alpha_L) [E_n^2 - E_n^{-2}] \cos\{\Sigma k_R y\} \}, \quad (9) \end{aligned}$$

where $\Delta k_i = k_i^\uparrow - k_i^\downarrow$ and $\Sigma k_i = k_i^\uparrow + k_i^\downarrow$. The beating described in Ref. [19] is now displayed explicitly in

the $\cos\{(k_R^\uparrow + k_R^\downarrow)y\}$, $\cos\{(k_R^\uparrow - k_R^\downarrow)y\}$, $\sin\{(k_R^\uparrow + k_R^\downarrow)y\}$, and $\sin\{(k_R^\uparrow - k_R^\downarrow)y\}$ terms. The corresponding local spin transfer

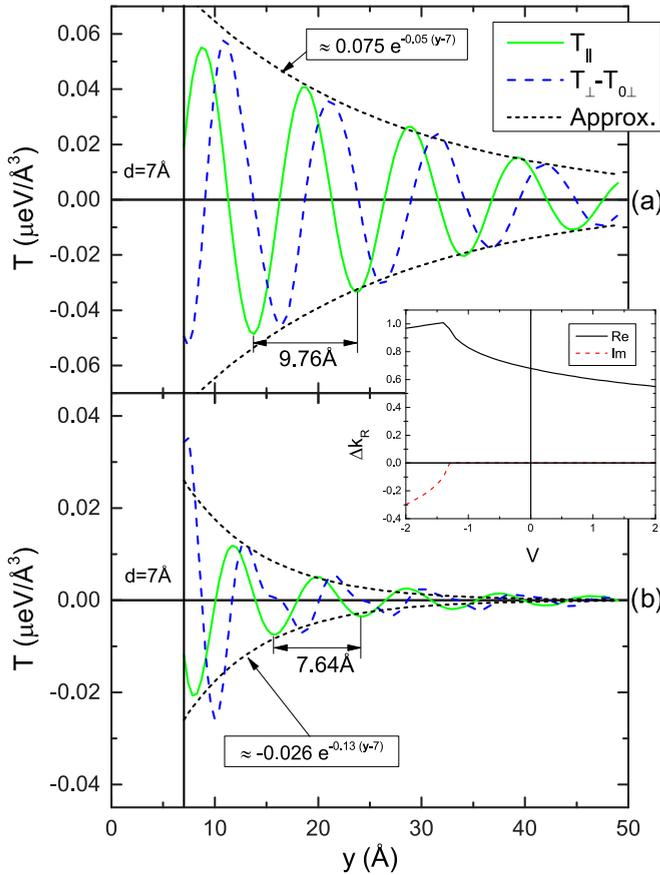


FIG. 4. (Color online) Distribution of the voltage-induced in-plane and out-of-plane STT terms in the right FM electrode of a symmetric MTJ for (a) $V = +1$ V and (b) $V = -1$ V applied voltage. The parameters are $\Delta_0 = \Delta_R = 2.62$ eV, $\Delta_L = \Delta_R = 1.96$ eV, $U_B = 3$ eV, $m_{\text{eff}} = 0.4$, $\gamma = \pi/2$, $d = 7$ Å. $T_{0\perp}$ indicates the out-of-plane torque at zero voltage. The inset shows a voltage dependence of the real and imaginary parts of $\Delta k_R = k_R^\uparrow - k_R^\downarrow$.

torques in the right FM electrode can be obtained by taking the derivative of Eqs. (8) and (9) with respect to the y coordinate. As one can see in Fig. 4 both STT terms within the right FM electrode oscillate and decay as a function of distance from the B|F_R interface in agreement with previous reports for MTJs [11,19] and metallic spin valves [45–47]. It is interesting to note that the period of oscillations λ_L (which is related to the Larmor spin precession length l_L by a factor 2π) is different under positive and negative applied voltages [cf. Figs. 4(a) and 4(b)]. This is due to the asymmetric voltage dependence of Δk_R which defines the oscillation wavelength λ_L as $2\pi/\Delta k_R$. Indeed, as shown by the solid lines in the inset of Fig. 4, Δk_R is larger (smaller) for negative (positive) applied voltage resulting in smaller (larger) λ_L in Figs. 4(a) and 4(b), respectively. The oscillations decay with an exponential envelope function $e^{-(y-d)/\lambda_d}$ which results from the dephasing due to integration over the in-plane momentum κ within the tunneling cone [19]. Here, λ_d indicates the characteristic transverse spin decay length [48]. The latter is strongly dependent on the applied voltage, varying from ~ 20 Å to ~ 7.5 Å as the bias changes from +1 V to -1 V as shown in Figs. 4(a) and 4(b), respectively. Similar behavior is observed when the right FM

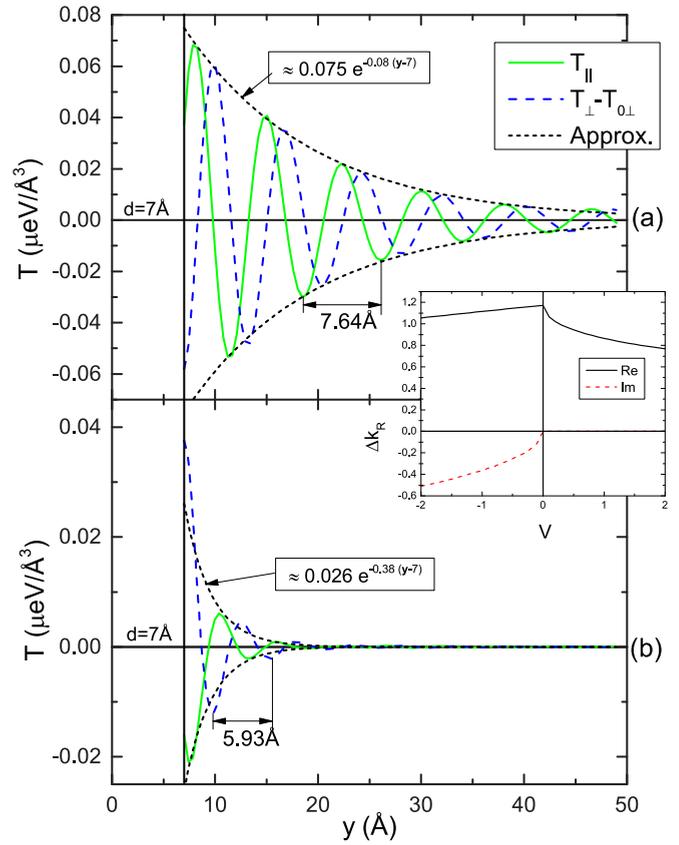


FIG. 5. (Color online) The same as in Fig. 4 for case in which the right FM electrode is a half-metal. Exchange splittings are $\Delta_0 = \Delta_R = 2.62$ eV and $\Delta_L = 1.96$ eV while other parameters are unchanged.

electrode becomes a half-metal (Fig. 5) with both λ_L and λ_d being smaller compared to the aforementioned case of non-half-metallic FM electrode (cf. Figs. 4 and 5). Such behavior can again be explained by a change in the dependence of Δk_R as a function of applied voltage when one compares insets in Figs. 4 and 5. In particular, the presence of the imaginary part of Δk_R in all ranges of negative voltages yields a significantly stronger decay of oscillations as displayed in Fig. 5(b).

D. Longitudinal spin and charge currents

Next, we give exact expressions for the longitudinal spin current Q_z and charge current J_e which are conserved through the barrier and the right electrode and can respectively be expressed as

$$\begin{aligned}
 J_e &= -\frac{8}{|\text{Den}|^2} \left\{ (1 + \alpha_L \alpha_R P_L P_R \cos \gamma) [E_n^2 + E_n^{-2}] \right. \\
 &\quad - 2[\alpha_L \alpha_R + P_L P_R \cos \gamma - \eta_L \eta_R (1 - \alpha_L P_L) \\
 &\quad \times (1 - \alpha_R P_R)] [f_L - f_R], \\
 Q_z^B &= -\frac{4}{|\text{Den}|^2} \left\{ (\alpha_R P_R + \alpha_L P_L \cos \gamma) [E_n^2 + E_n^{-2}] \right. \\
 &\quad \left. + 2(\alpha_L P_R + \alpha_R P_L \cos \gamma) [f_L - f_R] \right\}. \quad (10)
 \end{aligned}$$

E. Thick barrier approximation

One of the most important results of this work is the form of the aforementioned expressions when the barrier is thick, i.e., $E_n^2 \gg 1$, and as justified above by small deviations from sine angular dependencies of STT given by Eq. (7) and shown in Fig. 3. In this case, the formulas can be written using only P_i^S , P_i^η , and T_i and take a very simple form:

$$T_{\parallel} = -4T_L T_R P_L^S E_n^{-2} [f_L - f_R] \sin \gamma, \quad (11)$$

$$T_{\perp} = -4T_L T_R (P_L^S P_R^\eta f_L + P_R^S P_L^\eta f_R) E_n^{-2} \sin \gamma, \quad (12)$$

$$J_e = -8T_L T_R (1 + P_L^S P_R^S \cos \gamma) [f_L - f_R] E_n^{-2}, \quad (13)$$

$$Q_z = -4T_L T_R (P_R^S + P_L^S \cos \gamma) [f_L - f_R] E_n^{-2}. \quad (14)$$

One can note that the previously introduced in-plane (Slonczewski's) polarization, P_i^S , and the out-of-plane polarization, P_i^η , play a very different role. While the former defines the magnitude of the TMR and both components of the spin torque [see Eqs. (11)–(14)], the latter participates only in the out-of-plane torque, T_{\perp} [see Eq. (12)]. This is the second important role of the out-of-plane polarization, besides being responsible for the aforementioned angular deviation. In fact, P_i^η is decisive for T_{\perp} since it accounts for the degree of out-of-plane precession at the interfaces for a spin initially polarized along \mathbf{M}_L which ensures the appearance of Q_y giving rise to the out-of-plane torque (see Fig. 1). Interestingly, it can be shown in the approximation where $q_i^2 \gg k_i^\uparrow k_i^\downarrow$ that P_i^η and P_i^S can be literally assigned respectively to the sine and cosine of the out-of-plane precession angle ϕ at the interfaces which in this case is very small. This is the reason that we named P_i^η and P_i^S the in-plane and out-of-plane interfacial polarizations, respectively. The situation changes as the electron energy becomes closer to the barrier height, i.e., when q_i no longer dominates the geometrical mean of k_i^\uparrow and k_i^\downarrow . In this case, the electron spin starts to “precess” or gets reoriented prior to arrival at the right interface since it begins to have enough energy to interfere with its reflected part leading to a much stronger decrease of P_i^S compared to P_i^η affecting thereby the TMR and T_{\parallel} amplitudes. Finally, when the barrier becomes low and thin, the terms in the denominator given by Eq. (6) accounting for multiple “interferences” of transmitted and reflected noncollinear evanescent states due to their strong overlap between two interfaces result in further modulation of the out-of-plane spin component taken into account through P_i^η . This leads to the aforementioned deviations from the standard sine angular dependencies of spin transfer torques and the standard cosine angular dependence of the charge and spin currents.

F. STT in terms of collinear longitudinal spin currents

Another important result is that it is straightforward to show using Eqs. (11) and (14) that the parallel spin current in the barrier region which represents the total in-plane spin torque deposited in the right FM electrode can be expressed using the longitudinal spin current for parallel and antiparallel

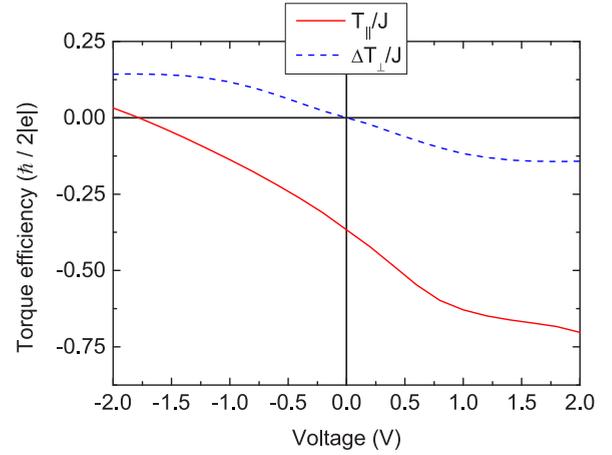


FIG. 6. (Color online) Bias voltage dependence of the torque efficiency for an MTJ. $\Delta T_{\perp} = T_{\perp}(V) - T_{\perp}(0)$. The parameters are $\Delta_0 = 2.62$ eV, $\Delta_L = \Delta_R = 1.96$ eV, $U_B = 2$ eV, $m_{\text{eff}} = 0.4$, $\gamma = \pi/2$, $d = 7$ Å.

components respectively as [12]

$$T_{\parallel} = Q_x = \frac{Q_z(0) - Q_z(\pi)}{2} \mathbf{M}_R \times (\mathbf{M}_R \times \mathbf{M}_L). \quad (15)$$

Finally, one can get a corrected generalized expression for spin torque efficiency by dividing Eqs. (4) and (5) by Eq. (10) without forgetting to subtract the zero-voltage part which accounts for the equilibrium exchange coupling through T_{\perp} . For instance, in the limit of a thick/high barrier, using Eqs. (11) and (13) the in-plane torque efficiency becomes $T_{\parallel}/J_e = -(1/2)P_L^S \sin \gamma / (1 + P_L^S P_R^S \cos \gamma)$. The bias voltage dependence of torque efficiency is represented in Fig. 6 for T_{\perp} and T_{\parallel} . They both change sign with bias. These curves are in very good agreement with those obtained within the tight-binding model (cf. Figs. 6(a) and 6(b) in Ref. [28]).

IV. CONCLUSIONS

To conclude, we derived explicit analytical formulas for spin and charge currents as well as for spin transfer torques for MTJ with noncollinear moment orientation, using only three irreducible quantities without further approximation. We showed the voltage dependence properties of STT and established conditions for deviation from the conventional sine angular dependence of both spin currents and spin torques. Furthermore, we have shown that in the large barrier approximation all tunneling transport quantities can be expressed in an extremely simplified form via Slonczewski spin polarization and our “effective spin averaged interfacial transmission probabilities” and “effective out-of-plane polarizations” at both interfaces which define the detailed angular dependence of TMR and STT. In addition, it is directly proven that for any applied voltage, the parallel component of spin current at the FM/I interface is expressed via collinear longitudinal spin current components. The developed model can be easily adapted to study thermally induced transport properties including magnetopower, thermal torques, as well as other spin caloritronics phenomena by performing the energy integration exposed in the Appendix using finite-temperature Fermi-Dirac

distributions. We provide in Ref. [38] the MATHEMATICA code used in the present work.

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APPENDIX:

An expression for the current density is

$$J_e = -\frac{|e|\hbar}{\hbar} \text{Tr}(\hat{J}\hat{I}) = -\frac{|e|\hbar}{\hbar} \sum_{i,\sigma} J_{B,i}^{\sigma\sigma}, \quad (\text{A1})$$

where i is ‘‘L’’ or ‘‘R’’, σ is ‘‘ \uparrow ’’ (+) or ‘‘ \downarrow ’’ (−), and the current in the barrier is given by

$$J_{B,i}^{\sigma\sigma} = \sum_{\sigma'} \Lambda_{B,i}^{\sigma(\sigma')}. \quad (\text{A2})$$

Spin current densities and spin transfer torques in the barrier are

$$Q_x^B = T_{\parallel} = \frac{1}{2} \text{Tr}(\hat{J}\hat{\sigma}_x) = \frac{1}{2} \sum_{i,\sigma} J_{B,i}^{\sigma-\sigma}, \quad (\text{A3})$$

$$Q_y^B = T_{\perp} = \frac{1}{2} \text{Tr}(\hat{J}\hat{\sigma}_y) = -\frac{i}{2} \sum_{i,\sigma} \sigma J_{B,i}^{\sigma-\sigma},$$

where

$$J_{B,i}^{\sigma-\sigma} = \sum_{\sigma'} \Xi_{B,i}^{\sigma(\sigma')}. \quad (\text{A4})$$

The spin channel fluxes Λ and Ξ are expressed as

$$\Lambda_{B,i}^{\sigma(\sigma')} = \frac{i}{(2\pi)^3} \int_{E_i^{\sigma'}}^{E_{kT,i}} \int_0^{k_{F,i}^{\sigma'}} \kappa dk dE \times f_i (A_i^{\sigma\sigma'} B_i^{\sigma\sigma'*} - A_i^{\sigma\sigma'*} B_i^{\sigma\sigma'}), \quad (\text{A5})$$

$$\Xi_{B,i}^{\sigma(\sigma')} = \frac{i}{(2\pi)^3} \int_{E_i^{\sigma'}}^{E_{kT,i}} \int_0^{k_{F,i}^{\sigma'}} \kappa dk dE \times f_i (A_i^{\sigma\sigma'} B_i^{-\sigma\sigma'*} - A_i^{-\sigma\sigma'*} B_i^{\sigma\sigma'}),$$

where

$$k_{F,i}^{\sigma} = \sqrt{\frac{2m}{\hbar^2} \left(E + \Delta_0 + \sigma \Delta_i + \zeta_i \frac{eV}{2} \right)}, \quad (\text{A6})$$

where E and κ are the electron energy and in-plane wave vector and $\Delta_{L(R)} = (U_{L(R)}^{\downarrow} - U_{L(R)}^{\uparrow})/2$ is the exchange energy in the left (right) electrode,

$$\zeta_i = \begin{cases} -1, & \text{if } i = \text{‘‘L’’}, \\ 1, & \text{if } i = \text{‘‘R’’}, \end{cases} \quad (\text{A7})$$

$$E_i^{\sigma} = -\Delta_0 - \sigma \Delta_i - \zeta_i eV/2, \quad (\text{A8})$$

$$E_{kT,i} = -\zeta_i \frac{eV}{2} + \Delta_{kT}, \quad (\text{A9})$$

where Δ_{kT} is a smearing width for the functions f_L and f_R and can be taken, for example as $7kT$.

$$f_i = \frac{1}{\exp\left(\frac{E+\zeta_i eV/2}{kT}\right) + 1}, \quad (\text{A10})$$

$$A_L^{\sigma\sigma'} = 2i\xi^{\sigma\sigma'} \frac{\sqrt{k_L^{\sigma'} q_L}}{\mathcal{D}} \frac{q_R + ik_R^{\sigma}}{E_n} \left[(q_L + ik_L^{-\sigma'}) \times (q_R + ik_R^{-\sigma}) \frac{1}{E_n} - E_n (q_L - ik_L^{-\sigma'}) (q_R - ik_R^{-\sigma}) \right], \quad (\text{A11})$$

$$B_L^{\sigma\sigma'} = 2i\xi^{\sigma\sigma'} \frac{\sqrt{k_L^{\sigma'} q_L}}{\mathcal{D}} (q_R - ik_R^{\sigma}) E_n \times \left[(q_L + ik_L^{-\sigma'}) (q_R + ik_R^{-\sigma}) \frac{1}{E_n} - E_n (q_L - ik_L^{-\sigma'}) (q_R - ik_R^{-\sigma}) \right], \quad (\text{A12})$$

where $\xi^{\sigma\sigma'}$ is defined as

$$\xi^{\sigma\sigma'} = \begin{cases} \cos(\gamma/2), & \text{if } \sigma = \sigma', \\ \sin(\gamma/2), & \text{if } \sigma = \text{‘‘}\downarrow\text{’’, } \sigma' = \text{‘‘}\uparrow\text{’’,} \\ -\sin(\gamma/2), & \text{if } \sigma = \text{‘‘}\uparrow\text{’’, } \sigma' = \text{‘‘}\downarrow\text{’’,} \end{cases} \quad (\text{A13})$$

$$A_R^{\downarrow\uparrow(\uparrow\downarrow)} = 2e^{-ik_R^{\uparrow(\downarrow)} d} \frac{\sqrt{k_R^{\uparrow(\downarrow)} q_R}}{\mathcal{D}} \frac{k_L^{\uparrow} - k_L^{\downarrow}}{E_n} q_L \times (q_R + ik_R^{\downarrow(\uparrow)}) \sin \gamma, \quad (\text{A14})$$

$$B_R^{\downarrow\uparrow(\uparrow\downarrow)} = 2e^{-ik_R^{\uparrow(\downarrow)} d} \frac{\sqrt{k_R^{\uparrow(\downarrow)} q_R}}{\mathcal{D}} \times E_n q_L (k_L^{\uparrow} - k_L^{\downarrow}) (q_R - ik_R^{\downarrow(\uparrow)}) \sin \gamma, \quad (\text{A15})$$

$$A_R^{\uparrow\uparrow(\downarrow\downarrow)} = 2ie^{-ik_R^{\uparrow(\downarrow)} d} \frac{\sqrt{k_R^{\uparrow(\downarrow)} q_R}}{\mathcal{D}} \left\{ (q_R + ik_R^{\downarrow(\uparrow)}) [q_L^2 + k_L^{\uparrow} k_L^{\downarrow} - iq_L (k_L^{\uparrow(\downarrow)} - k_L^{\downarrow(\uparrow)}) \cos \gamma] \frac{1}{E_n} - E_n (q_R - ik_R^{\downarrow(\uparrow)}) \times (q_L - ik_L^{\uparrow(\downarrow)}) (q_L - ik_L^{\downarrow(\uparrow)}) \right\}, \quad (\text{A16})$$

$$B_R^{\uparrow\uparrow(\downarrow\downarrow)} = 2ie^{-ik_R^{\uparrow(\downarrow)} d} \frac{\sqrt{k_R^{\uparrow(\downarrow)} q_R}}{\mathcal{D}} \left\{ (q_R + ik_R^{\downarrow(\uparrow)}) (q_L + ik_L^{\uparrow}) \times (q_L + ik_L^{\downarrow}) \frac{1}{E_n} - E_n (q_R - ik_R^{\downarrow(\uparrow)}) [q_L^2 + k_L^{\uparrow} k_L^{\downarrow} + iq_L (k_L^{\uparrow(\downarrow)} - k_L^{\downarrow(\uparrow)}) \cos \gamma] \right\}, \quad (\text{A17})$$

$$E_n = \exp \int_0^d q(y) dy$$

$$= \exp \left[\frac{2\hbar^2 d}{6m^* eV} \left(\frac{m^*}{m} \right)^3 (q_L^3 - q_R^3) \right], \quad (\text{A18})$$

$$q(y) = \frac{1}{m_{\text{eff}}} \sqrt{\frac{2m^*}{\hbar^2} \left(U_B - E + eV/2 - y \frac{eV}{d} \right) + \kappa^2}, \quad (\text{A19})$$

$$k_i^\sigma = \sqrt{k_{F,i}^{\sigma^2} - \kappa^2}, \quad (\text{A20})$$

$$q_i = \frac{1}{m_{\text{eff}}} \sqrt{\frac{2m^*}{\hbar^2} (U_B - E - \zeta_i eV/2) + \kappa^2}, \quad (\text{A21})$$

where U_B and d represent respectively the barrier height and thickness with $m^* = m_{\text{eff}} m_e$ being electron effective mass. It has to be noted that bias voltage $V = 1$ V corresponds to $eV = 1$ eV.

$$\mathcal{D} = (q_L + ik_L^\uparrow)(q_L + ik_L^\downarrow)(q_R + ik_R^\uparrow)(q_R + ik_R^\downarrow) \frac{1}{E_n^2}$$

$$+ (q_L - ik_L^\uparrow)(q_L - ik_L^\downarrow)(q_R - ik_R^\uparrow)(q_R - ik_R^\downarrow) E_n^2$$

$$- 2(q_L^2 + k_L^\uparrow k_L^\downarrow)(q_R^2 + k_R^\uparrow k_R^\downarrow)$$

$$+ 2q_L q_R (k_L^\uparrow - k_L^\downarrow)(k_R^\uparrow - k_R^\downarrow) \cos \gamma. \quad (\text{A22})$$

Similar expressions can be written for the right FM layer:

$$J_{R,i}^{\sigma\sigma} = \sum_{\sigma'} \Lambda_{R,i}^{\sigma(\sigma')}. \quad (\text{A23})$$

Spin current densities and spin transfer torques in the right FM layer are

$$Q_x^R = T_{\parallel} = \frac{1}{2} \text{Tr}(\hat{J} \hat{\sigma}_x) = \frac{1}{2} \sum_{i,\sigma} J_{R,i}^{\sigma-\sigma},$$

$$Q_y^R = T_{\perp} = \frac{1}{2} \text{Tr}(\hat{J} \hat{\sigma}_y) = -\frac{i}{2} \sum_{i,\sigma} \sigma J_{R,i}^{\sigma-\sigma}, \quad (\text{A24})$$

where

$$J_{R,i}^{\sigma-\sigma} = \sum_{\sigma'} \Xi_{R,i}^{\sigma(\sigma')}. \quad (\text{A25})$$

The spin channel fluxes Λ and Ξ are expressed as

$$\Lambda_{R,L}^{\sigma(\sigma')} = -\frac{1}{2} \frac{1}{(2\pi)^3} \int_{E_L^{\sigma'}}^{E_{kT,L}} \int_0^{k_{F,L}^{\sigma'}} \kappa d\kappa dE,$$

$$\times f_L(k_R^\sigma + k_R^{\sigma*}) |T^{\sigma\sigma'}|^2,$$

$$\Lambda_{R,R}^{\sigma(\sigma')} = -\frac{1}{2} \frac{1}{(2\pi)^3} \int_{E_R^{\sigma'}}^{E_{kT,R}} \int_0^{k_{F,R}^{\sigma'}} \kappa d\kappa dE$$

$$\times f_R(1 - (k_R^\sigma + k_R^{\sigma*}) |R^{\sigma\sigma'}|^2),$$

$$\Xi_{R,L}^{\sigma(\sigma')} = -\frac{1}{2} \frac{1}{(2\pi)^3} \int_{E_L^{\sigma'}}^{E_{kT,L}} \int_0^{k_{F,L}^{\sigma'}} \kappa d\kappa dE \quad (\text{A26})$$

$$\times f_L k_{R,\sigma}^+ T^{\sigma\sigma'} T^{-\sigma\sigma*} e^{i\sigma k_{R,\sigma}^+ y},$$

$$\Xi_{R,R}^{\sigma(\sigma')} = -\frac{1}{2} \frac{1}{(2\pi)^3} \int_{E_R^{\sigma'}}^{E_{kT,R}} \int_0^{k_{F,R}^{\sigma'}} \kappa d\kappa dE$$

$$\times f_R [-\sigma' k_{R,\sigma}^+ \tilde{R}^{\sigma\sigma'} \tilde{R}^{-\sigma\sigma*} e^{-i\sigma\sigma' k_{R,\sigma}^+ y}$$

$$+ k_{R,\sigma}^+ R^{\sigma\sigma'} R^{-\sigma\sigma*} e^{i\sigma k_{R,\sigma}^+ y}],$$

where

$$\tilde{R}^{\sigma\sigma'} = \begin{cases} \frac{1}{\sqrt{k_R^\sigma}}, & \text{if } \sigma = \sigma', \\ R^{\sigma\sigma'}, & \text{if } \sigma \neq \sigma', \end{cases}$$

$$k_{R,\sigma}^\pm = \begin{cases} k^\uparrow \pm k^{\downarrow*}, & \text{if } \sigma = 1 \text{ ("+"}), \\ k^\uparrow* \pm k^\downarrow, & \text{if } \sigma = -1 \text{ ("-")}, \end{cases}$$

$$T_L^{\sigma\sigma'} = 4i\xi^{\sigma\sigma'} \frac{\sqrt{q_L q_R k_L^{\sigma'}}}{\mathcal{D}} e^{-ik_R^\sigma d} \left[(q_L + ik_L^{-\sigma'}) (q_R + ik_R^{-\sigma}) \right.$$

$$\times \left. \frac{1}{E_n} - (q_L - ik_L^{-\sigma'}) (q_R - ik_R^{-\sigma}) E_n \right], \quad (\text{A27})$$

$$R^{\uparrow\uparrow(\downarrow\downarrow)} = 4e^{-i(k_R^\uparrow + k_R^\downarrow)d} \frac{\sqrt{k_R^{\uparrow(\downarrow)}}}{\mathcal{D}} q_L q_R (k_L^\uparrow - k_L^\downarrow) \sin \gamma, \quad (\text{A28})$$

$$R^{\uparrow\uparrow(\downarrow\downarrow)} = 2ie^{-2ik_R^{\uparrow(\downarrow)}d} \frac{\sqrt{k_R^{\uparrow(\downarrow)}}}{\mathcal{D}} \left\{ 2i[k_L^{\uparrow(\downarrow)} (q_L^2 + k_L^\uparrow k_L^\downarrow) \right.$$

$$\mp q_L q_R (k_L^\uparrow - k_L^\downarrow) \cos \gamma$$

$$+ [q_L^2 - k_L^\uparrow k_L^\downarrow + iq_L (k_L^\uparrow + k_L^\downarrow)] (q_R + ik_R^{\downarrow(\uparrow)}) \frac{1}{E_n^2}$$

$$\left. - [q_L^2 - k_L^\uparrow k_L^\downarrow - iq_L (k_L^\uparrow + k_L^\downarrow)] (q_R - ik_R^{\downarrow(\uparrow)}) E_n^2 \right\}$$

$$- \frac{e^{-2ik_R^{\uparrow(\downarrow)}d}}{\sqrt{k_R^{\uparrow(\downarrow)}}}. \quad (\text{A29})$$

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