

Supplemental Material for “Elastic metamaterials with simultaneously negative effective shear modulus and mass density”

Ying Wu^{1,2}, Yun Lai^{1,3} and Zhao-Qing Zhang^{1*}

¹ Department of Physics, Hong Kong University of Science and Technology, Clear Water Bay, Kowloon, Hong Kong, China

² Division of Mathematical and Computer Sciences and Engineering, King Abdullah University of Science and Technology, Thuwal 23955-6900, Kingdom of Saudi Arabia

³ Department of Physics, Soochow University, 1 Shizi Street, Suzhou 215006, People's Republic of China

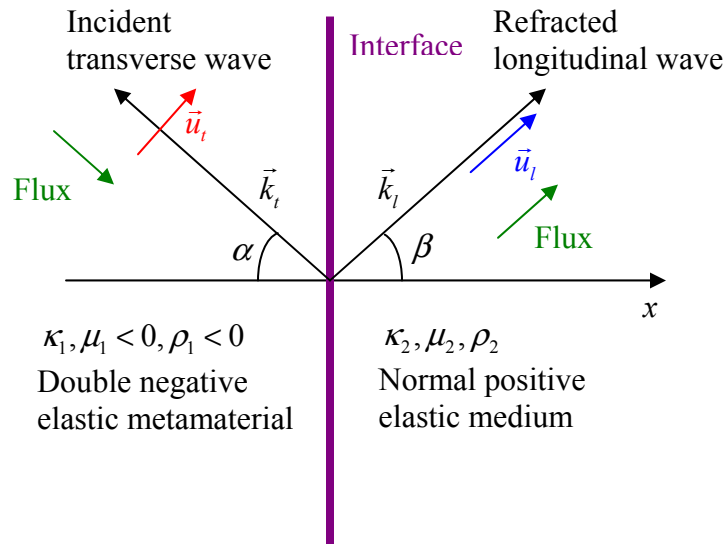


Fig. S1. A schematic graph of s - p mode conversion during the negative refraction on the interface of a double-negative elastic metamaterial of Case 1 below.

There can be four cases of total s - p or p - s mode conversions on the interface of a double-negative metamaterial and a normal solid under negative refraction:

Case 1: Incident shear waves from a double negative metamaterial.

Case 2: Incident longitudinal waves from a double negative metamaterial.

Case 3: Incident shear waves from a normal solid.

Case 4: Incident longitudinal waves from a normal solid.

It is obvious that Case 3 can be obtained from the time reversal of Case 2 and Case 4 can be obtained from the time reversal of Case 1. Below we derive the general conditions of total mode conversions under negative refraction.

For s waves, the displacement is $\vec{u}_i = u_i (\sin \alpha \hat{x} + \cos \alpha \hat{y}) e^{ik_i(-\cos \alpha x + \sin \alpha y)}$

For p waves, the displacement is $\vec{u}_i = u_i (\cos \beta \hat{x} + \sin \beta \hat{y}) e^{ik_i(\cos \beta x + \sin \beta y)}$

The displacements must match on the interface $x = 0$, i.e.,

$$\begin{aligned} u_i \sin \alpha e^{ik_i \sin \alpha y} &= u_i \cos \beta e^{ik_i \sin \beta y}, \\ u_i \cos \alpha e^{ik_i \sin \alpha y} &= u_i \sin \beta e^{ik_i \sin \beta y}. \end{aligned} \quad (\text{S1})$$

From Eq. (S1), we find $u_i \sin \alpha = u_i \cos \beta$ and $u_i \cos \alpha = u_i \sin \beta$, which means that $u_i = u_i$ and $\alpha + \beta = \pi/2$. In the case of normal refraction, for incident angle $0 < \alpha < \pi/2$ we have $\beta < 0$, thus $\alpha + \beta < \pi/2$, the displacements are not possible to match on the interface. Total conversion is only possible in the case of negative refraction.

From Eq. (S1), we also find $k_i \sin \alpha = k_i \sin \beta$, which means the wave vector parallel to the interface must conserve. Substituting $\alpha + \beta = \pi/2$, we obtain $k_i \sin \alpha = k_i \cos \alpha$.

From $k_i = \left| \frac{\omega}{v_i} \right| = \frac{\omega}{\sqrt{\mu_i/\rho_i}}$ and $k_t = \left| \frac{\omega}{v_t} \right| = \frac{\omega}{\sqrt{(\kappa_2 + \mu_2)/\rho_2}}$, we find

$$\tan \alpha = \frac{k_t}{k_i} = \frac{\sqrt{\mu_1/\rho_1}}{\sqrt{(\kappa_2 + \mu_2)/\rho_2}}.$$

We also need to consider the matching condition of stresses.

For s waves, $\vec{u}_i = u_i (\sin \alpha \hat{x} + \cos \alpha \hat{y}) e^{ik_i(-\cos \alpha x + \sin \alpha y)}$. Thus, the strains are

$$S_{xx}^t = \frac{\partial u_{tx}}{\partial x} = u_i \sin \alpha (-ik_i \cos \alpha) e^{ik_i(-\cos \alpha x + \sin \alpha y)} = -iu_i k_i \sin \alpha \cos \alpha e^{ik_i(-\cos \alpha x + \sin \alpha y)},$$

$$S_{yy}^t = \frac{\partial u_{ty}}{\partial y} = u_i \cos \alpha (ik_i \sin \alpha) e^{ik_i(-\cos \alpha x + \sin \alpha y)} = iu_i k_i \sin \alpha \cos \alpha e^{ik_i(-\cos \alpha x + \sin \alpha y)},$$

$$\begin{aligned} S_{xy}^t &= \frac{1}{2} \left(\frac{\partial u_{tx}}{\partial y} + \frac{\partial u_{ty}}{\partial x} \right) \\ &= \frac{1}{2} \left(u_i \sin \alpha (ik_i \sin \alpha) e^{ik_i(-\cos \alpha x + \sin \alpha y)} + u_i \cos \alpha (-ik_i \cos \alpha) e^{ik_i(-\cos \alpha x + \sin \alpha y)} \right) \\ &= \frac{1}{2} (iu_i k_i \sin^2 \alpha - iu_i k_i \cos^2 \alpha) e^{ik_i(-\cos \alpha x + \sin \alpha y)}. \end{aligned}$$

And the stress can be written as

$$\begin{aligned}
\sigma_{xx}^t &= (\kappa_1 + \mu_1) S_{xx}^t + (\kappa_1 - \mu_1) S_{yy}^t \\
&= -(\kappa_1 + \mu_1) i u_l k_l \sin \alpha \cos \alpha e^{i k_l (-\cos \alpha x + \sin \alpha y)} + (\kappa_1 - \mu_1) i u_l k_l \sin \alpha \cos \alpha e^{i k_l (-\cos \alpha x + \sin \alpha y)} \\
&= -2i \mu_1 u_l k_l \sin \alpha \cos \alpha e^{i k_l (-\cos \alpha x + \sin \alpha y)} \\
&= -i \mu_1 u_l k_l \sin 2\alpha e^{i k_l (-\cos \alpha x + \sin \alpha y)}, \\
\sigma_{yy}^t &= (\kappa_1 + \mu_1) S_{yy}^t + (\kappa_1 - \mu_1) S_{xx}^t \\
&= (\kappa_1 + \mu_1) i u_l k_l \sin \alpha \cos \alpha e^{i k_l (-\cos \alpha x + \sin \alpha y)} - (\kappa_1 - \mu_1) i u_l k_l \sin \alpha \cos \alpha e^{i k_l (-\cos \alpha x + \sin \alpha y)} \\
&= 2i \mu_1 u_l k_l \sin \alpha \cos \alpha e^{i k_l (-\cos \alpha x + \sin \alpha y)} \\
&= i \mu_1 u_l k_l \sin 2\alpha e^{i k_l (-\cos \alpha x + \sin \alpha y)}, \\
\sigma_{xy}^t &= 2\mu_1 S_{xy}^t \\
&= \mu_1 (i u_l k_l \sin^2 \alpha - i u_l k_l \cos^2 \alpha) e^{i k_l (-\cos \alpha x + \sin \alpha y)} \\
&= -i \mu_1 u_l k_l \cos 2\alpha e^{i k_l (-\cos \alpha x + \sin \alpha y)}.
\end{aligned}$$

For p waves, $\vec{u}_l = u_l (\cos \beta \hat{x} + \sin \beta \hat{y}) e^{i k_l (\cos \beta x + \sin \beta y)}$. Thus, the strains are

$$\begin{aligned}
S_{xx}^l &= \frac{\partial u_{lx}}{\partial x} = u_l \cos \beta (i k_l \cos \beta) e^{i k_l (\cos \beta x + \sin \beta y)} = i u_l k_l \cos^2 \beta e^{i k_l (\cos \beta x + \sin \beta y)}, \\
S_{yy}^l &= \frac{\partial u_{ly}}{\partial y} = u_l \sin \beta (i k_l \sin \beta) e^{i k_l (\cos \beta x + \sin \beta y)} = i u_l k_l \sin^2 \beta e^{i k_l (\cos \beta x + \sin \beta y)}, \\
S_{xy}^l &= \frac{1}{2} \left(\frac{\partial u_{lx}}{\partial y} + \frac{\partial u_{ly}}{\partial x} \right) \\
&= \frac{1}{2} \left(u_l \cos \beta (i k_l \sin \beta) e^{i k_l (\cos \beta x + \sin \beta y)} + u_l \sin \beta (i k_l \cos \beta) e^{i k_l (\cos \beta x + \sin \beta y)} \right) \\
&= i u_l k_l \sin \beta \cos \beta e^{i k_l (\cos \beta x + \sin \beta y)}.
\end{aligned}$$

And the stress can be written as

$$\begin{aligned}
\sigma_{xx}^l &= (\kappa_2 + \mu_2) S_{xx}^l + (\kappa_2 - \mu_2) S_{yy}^l \\
&= (\kappa_2 + \mu_2) i u_l k_l \cos^2 \beta e^{i k_l (\cos \beta x + \sin \beta y)} + (\kappa_2 - \mu_2) i u_l k_l \sin^2 \beta e^{i k_l (\cos \beta x + \sin \beta y)} \\
&= i \left(\kappa_2 (\cos^2 \beta + \sin^2 \beta) + \mu_2 (\cos^2 \beta - \sin^2 \beta) \right) u_l k_l e^{i k_l (\cos \beta x + \sin \beta y)} \\
&= i (\kappa_2 + \mu_2 \cos 2\beta) u_l k_l e^{i k_l (\cos \beta x + \sin \beta y)}, \\
\sigma_{yy}^l &= (\kappa_2 + \mu_2) S_{yy}^l + (\kappa_2 - \mu_2) S_{xx}^l \\
&= (\kappa_2 + \mu_2) i u_l k_l \sin^2 \beta e^{i k_l (\cos \beta x + \sin \beta y)} + (\kappa_2 - \mu_2) i u_l k_l \cos^2 \beta e^{i k_l (\cos \beta x + \sin \beta y)} \\
&= i \left(\kappa_2 (\cos^2 \beta + \sin^2 \beta) + \mu_2 (-\cos^2 \beta + \sin^2 \beta) \right) u_l k_l e^{i k_l (\cos \beta x + \sin \beta y)} \\
&= i (\kappa_2 - \mu_2 \cos 2\beta) u_l k_l e^{i k_l (\cos \beta x + \sin \beta y)}, \\
\sigma_{xy}^l &= 2\mu_2 S_{xy}^l \\
&= 2\mu_2 i u_l k_l \sin \beta \cos \beta e^{i k_l (\cos \beta x + \sin \beta y)} \\
&= i \mu_2 u_l k_l \sin 2\beta e^{i k_l (\cos \beta x + \sin \beta y)}.
\end{aligned}$$

σ_{xx} and σ_{xy} must also be continuous on the interface $x=0$, i.e.,

$$\begin{aligned}
-i \mu_1 u_l k_l \sin 2\alpha e^{i k_l \sin \alpha y} &= i (\kappa_2 + \mu_2 \cos 2\beta) u_l k_l e^{i k_l \sin \beta y}, \\
-i \mu_1 u_l k_l \cos 2\alpha e^{i k_l \sin \alpha y} &= i \mu_2 u_l k_l \sin 2\beta e^{i k_l \sin \beta y}.
\end{aligned} \tag{S2}$$

By substituting $\alpha + \beta = \pi/2$, $u_l = u_l$ and $k_l \sin \alpha = k_l \cos \alpha$ in Eq. (S2), we find $\kappa_2 = \mu_2 \cos 2\alpha - \mu_1 \sin 2\alpha / \tan \alpha$ and $-\mu_1 = \mu_2 \tan 2\alpha \tan \alpha$.

Conclusion: total conversion is possible under the case of negative refraction.

The total conversion matching conditions are:

$$\begin{aligned}
 \alpha + \beta &= \pi/2, \\
 \kappa_2 &= \mu_2 \cos 2\alpha - \mu_1 \sin 2\alpha / \tan \alpha, \\
 -\mu_1 &= \mu_2 \tan 2\alpha \tan \alpha, \\
 \tan \alpha &= \frac{k_t}{k_i} = \frac{\sqrt{\mu_1/\rho_1}}{\sqrt{(\kappa_2 + \mu_2)/\rho_2}}.
 \end{aligned} \tag{S3}$$

Since Case 3 can be obtained from the time reversal of Case 2 and Case 4 can be obtained from the time reversal of Case 1, here we discuss only Cases 1 and 3.

Case 1: we consider a transverse plane wave incident from the left medium of $\mu_1 < 0, \rho_1 < 0$ with an incident angle of $0 < \alpha < \pi/2$ as shown in Fig. S1. First, we can obtain μ_2 by using $-\mu_1 = \mu_2 \tan 2\alpha \tan \alpha$. Then, we can obtain κ_2 by using $\kappa_2 = \mu_2 \cos 2\alpha - \mu_1 \sin 2\alpha / \tan \alpha = \mu_2 / \cos 2\alpha$. At last, we can obtain ρ_2 by using

$\tan \alpha = \frac{k_t}{k_i} = \frac{\sqrt{\mu_1/\rho_1}}{\sqrt{(\kappa_2 + \mu_2)/\rho_2}}$. Therefore, μ_2 , κ_2 and ρ_2 are all obtained. It is worth

mentioning that when $\alpha > \pi/4$, we have $\mu_2 < 0$, but $\kappa_2 > 0$ and $\kappa_2 + \mu_2 = \mu_2(1 + \cos 2\alpha) / \cos 2\alpha > 0$, which indicates a double positive medium for refracted longitudinal waves on the right (together with $\rho_2 > 0$). In this case the medium on the right is not a normal solid.

Case 3: we consider a transverse plane wave incident from the left medium of $\mu_1 > 0, \rho_1 > 0$ with an incident angle of $0 < \alpha < \pi/2$. μ_2 , κ_2 and ρ_2 can also be obtained from Eq. (S3). Note that we have $\kappa_2 + \mu_2 = \mu_2(1 + \cos 2\alpha) / \cos 2\alpha < 0$ and $\rho_2 < 0$, which indicate a double negative medium for refracted longitudinal waves on the right.