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We investigate the photo-electrical and resistive switching properties of Pt/ZnO/Pt capacitor operated in unipolar mode under ultraviolet (UV) illumination. The oxygen photodesorption under UV illumination explains the photoconduction observed in initial and high resistance states. Meanwhile, oxygen readsoption at surface-related defects justifies the different photoresponses dynamics in both states. Finally, UV illumination significantly reduces the variations of resistance in high resistance state, set voltage and reset voltage by 58%, 33%, and 25%, respectively, stabilizing Pt/ZnO/Pt capacitor. Our findings in improved switching uniformity via UV light give physical insight into designing resistive memory devices. © 2014 AIP Publishing LLC.

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The resistive switching (RS) properties of metal-oxide-metal capacitors have recently attracted a great deal of attention for its potential application as resistive random access memory (RRAM).¹ For this reason, worldwide research groups are carrying out an unprecedented comprehensive investigation into RS properties of metal-oxide RRAM devices.² It is widely accepted that RS properties in oxides are based on transitions between the formation and rupture of conductive filaments (CFs) consisting of oxygen vacancies.³ However, the underlying mechanism of RS phenomena is still under debate due to the great variety of factors affecting the stability of CFs. It has been known that one of the most influential factors is the surface depletion region (SDR) caused by chemisorbed oxygen adatoms (O₂(ad) + e⁻ → O²⁻(ad)) at surface-related defects, the so-called surface effect.⁴ Indeed, recent studies investigating the influence of ambience conditions,⁵ harsh environments,⁶ and roughness⁷ on metal oxide-based RRAM have highlighted SDR as the main cause of low switching yield and resistance fluctuation. On the other hand, the treatments such as surface modification,⁸ doping,⁹ and electrode material engineering¹⁰ have improved RS stability and RRAM performance due to the better controllability of chemisorbed oxygen at surface-related defects. In this regard, the light illumination with the energy higher than the bandgap of metal oxide memory, which modulates the chemisorbed oxygen at surface-related defects via oxygen photodesorption (O²⁻(ad) + h⁺ → O₂(ad)),¹⁰ is expected to have impacts on RRAM stability and performance. For example, ZnO with a bandgap of ~3.4 eV is sensitive to UV illumination, exhibiting high photogain upon UV illumination.¹¹ Therefore, the implications of UV illumination during the RS of ZnO-based RRAM require further study.

In this work, the photo-electrical and RS properties of the Pt/ZnO/Pt capacitor operated in unipolar resistive switching (URS) mode under UV illumination are examined. Time-resolved photocurrent measurements under UV illumination reveal different photoresponse dynamics in the initial resistance state (IRS) and high resistance state (HRS), but not obvious photoresponse is observed in the low resistance state (LRS). A bi-exponential model is used to fit the photoresponse for gaining insight in RS behaviors and surface conditions in different resistance states. Additionally, the reliability of RRAM under UV illumination is evaluated by endurance tests, showing that resistance and switching voltage distributions are improved by UV illumination. Finally, based on the observation of the RS behaviors under UV illumination, a probable RS mechanism is proposed.

A two-terminal device consisting of a Pt(100 nm)/ZnO(100 nm)/Pt(100 nm) capacitor was fabricated as reported previously.¹² The ZnO layer was deposited on a Pt/Ti/SiO₂/Si substrate by RF magnetron sputtering, and the Pt top electrode (TE) with a diameter of 200 μm was deposited by DC magnetron sputtering using a shadow mask. The electrical characteristics were examined using a Keithley 4200 semiconductor parameter analyzer in dc sweep mode, air and room temperature. The UV excitation source was a SP CM110 monochromator with an average intensity of 0.31 mW/cm² at λ = 365 nm. During all the measurements, the bottom electrode (BE) was grounded.

The resistance of fabricated Pt/ZnO/Pt capacitor in IRS is up to several tens of MΩ. An electrical stress with a current compliance (I_comp) of 5 mA, known as the electroforming process, is needed to initiate the switching behavior of the Pt/ZnO/Pt capacitor. As shown in Fig. 1(a), the current exhibits an abrupt increase at the voltage of 2.6 V (forming voltage), leading to the RS from IRS to LRS. Note that, after the electroforming process, Pt/ZnO/Pt cells have been operated in URS mode. A reset process (LRS to HRS) is carried out by sweeping voltage again without fixing the I_comp. As observed in Fig. 1(a), the current suddenly drops at the voltage of ~1.1 V (V-Reset), giving rise to the RS from LRS to HRS. While sweeping again, an abrupt jump of current appears at a higher voltage of ~2.2 V (V-Set); the cell returns to LRS. This bi-stable RS behavior is reversible and controllable. In this work, the CF model is adapted to explain

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the URS behavior. CFs are formed by the alignment of structural defects, such as oxygen vacancies, which could preexist or be induced under an electric field.\textsuperscript{13} Since oxygen vacancy is positively charged in nature, it drifts toward the cathode after generation. Once the oxygen vacancies reach cathode, the nucleation of CF begins.\textsuperscript{3} With the increase of electric field, CF grows toward the anode. Once a CF contacts the anode, the resistance of Pt/ZnO/Pt capacitor degrades abruptly, leading to the formation of LRS. A reset process is necessary to disrupt the CF and thus induce HRS. In URS mode, the reset process is triggered by local Joule heating in the CF bottleneck which enhances oxygen ion migration and thus leads to a thermal dissolution of the CF via oxygen vacancy annihilation.

At this point, it is noteworthy that the oxygen gas evolution in the anodic interface forms an oxygen-rich interlayer that is able to cause oxygen chemisorption at surface defects, oxygen diffusion toward TE/air interface, void formation,\textsuperscript{3} physical deformations, and even explosions. Hence, the oxygen evolution is likely the most influential factor on the instabilities of oxides-based RRAM devices, since surface-related defects and oxygen vacancies compete simultaneously for the oxygen evolution. It is known that chemisorbed oxygen forms a SDR with a pronounced surface band bending (1.53 eV in ZnO, for example)\textsuperscript{14} that affects significantly the generation/annihilation of VO near Pt(TE)/ZnO interface and randomizes the formation/rupture of CF.\textsuperscript{5} To deal with this issue, we propose oxygen photodesorption via UV illumination to facilitate and stabilize the RS process.

To gain insight into the oxygen evolution at the anodic interface during the RS process, we performed time-resolved photocurrent measurements at different resistance states. As shown in Figs. 2(a)–2(c), the currents in IRS an HRS upon UV illumination increase approximately from 3.5 to 6.5 μA and from 73.5 to 77 μA, respectively, revealing photocurrents of 3–3.5 μA. The photocurrent is ascribed to the suppression of SDR via oxygen photodesorption of chemisorbed oxygen.\textsuperscript{4} Under UV illumination with the energy higher than the ZnO bandgap, the photo-generated electron-hole pairs are spatially separated in ZnO due to the discharge of chemisorbed oxygen by photo-excited holes ($O_2^{(ad)} + h^+ \rightarrow O_2^{(g)}$). Consequently, the unpaired photo-excited electrons with increased lifetime account for the photocurrent. Meanwhile, LRS exhibits current fluctuations in both (UV on and UV off) regimes without perceptible photocurrent, suggesting the metallic nature of the CFs. A schematic of the evolution of CF at different resistance states is depicted in Fig. 3 to illustrate the origin of photocurrent, where the suppression of SDR under UV illumination is ascribed as the main cause responsible of photocurrent.

One can see that the photoresponse dynamics at IRS and HRS are distinct, shown in Figs. 2(a) and 2(b), respectively. Although both states exhibit a fast onset upon UV illumination exposure, the current in IRS saturates fast while grows with time in HRS. After switching off the UV illumination exposure, both states decay fast and then relax slowly toward the dark level. In order to confirm the transient dynamics, we analyze the rise time constants for IRS and HRS. For this purpose, the photoresponse can be fitted by a bi-exponential model: $I = I_0 + A_1 e^{-t/\tau_1} + A_2 e^{-t/\tau_2}$, where $I_0$ represents the dark current after the photorelaxation process; $\tau_1$ and $\tau_2$
cycles in the dark and under UV illumination. The resistance states were repetitively measured at read voltage = 0.1 V. The resistance distributions of LRS in the dark and under UV illumination show a narrow distribution, indicating a high degree of stability; the UV illumination does not show any substantial effect on the distributions of the LRS, which is in agreement with our observations in the time-resolved photocurrent measurements. On the other hand, the values of HRS exhibit a highly dispersive resistance distribution under both conditions. The dispersion is a direct consequence of the random nature of the RS phenomena. Also, it is clear that, under UV illumination, the mean ($\mu$), standard deviation ($\sigma$), and coefficient of variation (COV = $\sigma/\mu$) of the HRS distribution are reduced by 62%, 84%, and 58%, indicating lower values and more uniform HRS distribution. Under UV illumination, the oxygen photodesorption reduces the chemisorbed oxygen concentration at the surface-related defects, suppressing the SDR, and thus reducing the resistivity to the lower values with a narrow distribution. The result is in agreement with our previous studies, in which the large concentration of oxygen adatoms due to external oxygen pressure results in a higher resistance in the HRS.

In addition, the switching voltage distributions of both V-Set and V-Reset extracted from the endurance test are shown in Fig. 4(b). Under UV illumination, $\mu$, $\sigma$, and COV of the V-Set (V-Reset) distribution are reduced by 2% (4%), 39% (25%), and 33% (25%), respectively. Therefore, it is clear that UV exposure not only stabilizes the resistance distribution but also the switching voltage distribution of RRAM. It is worth to note that UV illumination has larger impact on resistance than that on voltage. According with the CF model, one of the factors to improve the uniformity of V-Set and V-Reset distributions is the stabilization of oxygen migrations. Thus, it is possible that by irradiating the Pt/ZnO/Pt capacitor with UV illumination, the oxygen photodesorption may help stabilize the oxygen migration and could also confine the CFs, thus minimizing the dispersion of switching voltages.

In this work, we investigated photoelectrical and RS properties of Pt/ZnO/Pt capacitor operated in URs mode and under UV illumination. Both IRS and HRS exhibit photoresponse due to oxygen photodesorption while LRS is insensitive to UV light due to the metallic nature of CFs. In addition, we observe distinct photoresponse at IRS and HRS due to different oxygen readsoption dynamics at the surfaces with different degree of surface-related defect density. On the other hand, the stabilization of the RRAM performance under UV illumination suggests that oxygen photodesorption at the Pt/ZnO anodic interface effectively suppresses the SDR, improving the uniformity of HRS distribution, and stabilizes the oxygen migration minimizing the dispersion of V-Set and V-Reset distributions. Overall, this investigation highlights the influence of UV illumination on RS properties of oxide-based RRAM devices, paving a way for deeper understanding of RS phenomena via oxygen modulation at surfaces.

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