Variability and trends of downward surface global solar radiation over the Iberian Peninsula from ERA-40 reanalysis

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Abstract

A climate study of the incident downward surface global solar radiation (SSRD) in the Iberian Peninsula (IP) based primarily on ERA-40 reanalysis is presented. NCEP/NCAR reanalysis and ground-based records from several Portuguese and Spanish stations have been also considered. The results show that reanalysis can capture a similar interannual variability as compared to ground-based observations, especially on a monthly basis, even though ERA-40 (NCEP/NCAR) annual values tend to underestimate (overestimate) the observations with a mean relative difference of around 20 W/m^2 (40 W/m^2). On the other hand, ground-based measurements in Portuguese stations during the period 1964-1989 show a tendency to decrease until the mid-1970s followed by an increase up to the end of the study period, in line with the dimming/brightening phenomenon reported in the literature. Nevertheless, there are different temporal behaviors as a greater increase since the 1970s is observed in the south and less industrialized regions. Similarly, the ERA-40 reanalysis shows a noticeable decrease until the early 1970s, followed by a slight increase up to the end of the 1990s, suggesting a dimming/brightening transition around the early 1970s, earlier in the south and center and later in the north of the IP. Although there are slight differences in the magnitude of the trends, as well as the turning year of the dimming/brightening periods, the decadal changes of ERA-40 fairly agree with the ground-based observations in Portugal and Spain, in contrast to most of the literature for other regions of the world, and is used to perform a climatology of the SSRD in the study

area. NCEP/NCAR reanalysis do not capture the decadal variations of SSRD in the IP. The results show that part of the decadal variability of the global radiation in the IP is related to changes in cloud cover (represented in ERA-40).

Keywords

Radiation variability; Radiation trends; Downward surface global solar radiation; Total cloud cover; ERA-40; NCEP/NCAR; Ground-based observations; Iberian Peninsula

1 Introduction

It is well known that the most important factor that shapes the climate of our planet is the solar radiation that reaches the Earth, so any changes in radiation will induce modifications on temperature, humidity, rainfall, etc. Meanwhile, renewable energies constitute a vital resource for the near future. In particular the solar energy is increasingly becoming a reliable and competitive source of energy and the correct evaluation of this resource and estimation of its trends, in the present and in the near future, is critical in assessing the viability of projects of solar power plants (e.g., Hammer *et al.*, 2003; Wild *et al.*, 2015).

In recent decades the scientific community has dedicated some attention to surface solar radiation and to its variation all over the globe. Some studies reported that between the 1950s and mid 1980s there was a decrease of the surface solar radiation, a phenomenon known as the “global dimming” (Ohmura and Lang, 1989; Stanhill and Moreshet, 1992; Stanhill and Cohen, 2001, among others). Later on it was observed the opposite effect, which is known as the “global brightening” (e.g. Wild *et al.*, 2005).

During the last years, many authors have dedicated their attention to the study of surface solar radiation decadal variations over different regions of the globe, such as, for example, China (Xia, 2010; Liu *et al.*, 2010; Wang *et al.*, 2015), the Tibetan Plateau (You *et al.*, 2013), Northeast Brazil (Silva *et al.*, 2010), the whole of Europe (Chiacchio and Wild, 2010; Sanchez-Lorenzo *et al.*, 2015), Northern Europe (Stjern *et al.*, 2009), Southern

Europe (Sanchez-Lorenzo *et al.*, 2013a; Mateos *et al.*, 2014; Manara *et al.*, 2015), or the United States (Liepert, 2002; Agustine and Dutton, 2013) .

Many attempts have been conducted to explain these effects and the major candidates are the changes in the amount and properties of clouds in the atmosphere (Liley, 2009; Stjern *et al.*, 2009; Russak, 2009; Chiacchio *et al.*, 2010, among others) and aerosol concentrations (Norris and Wild, 2007; Sanchez-Lorenzo *et al.*, 2009, among others). For example, Liley (2009) found for New Zealand an increasing trend of cloudiness until the end of the eighties and then an opposite trend, in line with the observed dimming/brightening phenomenon observed in surface solar radiation series. Chiacchio *et al.*, (2010) found, in Alaska, changes in decadal solar radiation and explained the same as a result of changes in clouds and atmospheric circulation patterns over the Pacific. Russak (2009) distinguished two different periods over Estonia between 1950 and 2007 and found correlations between changes in solar radiation and the amount of low clouds, as well as the transparency of the atmosphere.

Other studies explain the dimming/brightening periods as the result of the changes in aerosol effects in the atmosphere (e.g., Liepert and Tegen, 2002; Wild *et al.*, 2005; Norris and Wild, 2007) due to their ability to affect directly and indirectly the solar radiation that reaches the surface of the Earth (e.g., Lohmann and Feichter 2005; Yu *et al.*, 2006). Thus, for example, Qian *et al.*, (2007) suggested that in China the increase of aerosols in the

period 1960 to 1980 was the main factor that led to a decrease in solar radiation in clear sky conditions.

On the other hand, Alpert *et al.*, (2005) and Alpert and Kishcha (2008) revealed higher rates of solar radiation decrease during the dimming period in urban areas relatively to rural areas, which was attributed to an impact of urbanization on the trends of surface solar radiation. Nevertheless, a recent study by Wang *et al.*, (2015), using 105 pairs of stations with collocated measurements of surface solar radiation, concluded that on a global scale the dimming period cannot be considered an urban effect. For an extensive analysis on the dimming/brightening subject, see review papers of Wild (2009, 2010; 2012).

Regarding the use of reanalysis products to study the climatology and changes in surface solar radiation, it is worth mentioning that Kaurola *et al.* (2010) describe that ERA-40 data on a monthly basis reduced the bias on the surface radiation as compared to the records on a daily basis, especially when temporal variations related to cloud radiative effects are studied. Similarly, Betts *et al.* (2006) verified that ERA-40 reproduced the most important variations associated with clouds and shortwave radiation. However, Wild and Schmucki (2011) found that the strong ERA-40 simulated decline in cloud amount over Europe is not observed in the same extent in ground-based records, as well as that reanalysis product fails to reproduce the dimming/brightening phenomenon. Trager-Chatterjee *et al.* (2010) assessed the downward surface solar irradiation for Germany from reanalysis products and found some limitation to adequately represent the clouds, especially in summertime.

However, reanalysis products have some advantages over historical observed series since a variety of meteorological data obtained by different instrumentation (surface observations, satellites, aircraft, etc.) is assimilated, allowing a consistency in the data obtained by the quality control of the model, while presenting a regular spatial and temporal resolution.

The North Atlantic Oscillation (NAO) is a major phenomenon that influences the weather and climate variability of Europe (Hurrell 1995, 1996). The NAO is related to precipitation (Hurrell, 1995, Trigo *et al.*, 2002) and influences the temporal and spatial variability in the Iberian Peninsula (IP). Specifically, the positive (negative) phase of NAO explains a reduction (increase) of precipitation and a decrease (increase) in the percentage of cloud fraction over the south of Europe. In Portugal, high values in the NAO index correlate with low values of precipitation and cloudiness (Trigo *et al.*, 2002). Sanchez-Lorenzo *et al.* (2009) described that cloud cover and sunshine duration over IP are linked to NAO, especially during winter. Pozo-Vázquez *et al.* (2004) found for IP high correlations between the NAO index and the monthly sums of sunshine duration.

Sanchez-Lorenzo *et al.* (2009) describe that clouds show a decreasing trend in the IP between 1960 and the mid of 2000, whereas sunshine duration series shows a decrease from the 1950s to the mid-1980s (dimming), with a subsequent increase until the 2000s (brightening). Sanchez-Lorenzo *et al.* (2013a) found from the analysis of 13 Spanish observational stations a positive trend for the global radiation in the 1985-2010 period with a value of $+3.9\text{W/m}^2$ per decade, in line with the sunshine durations trends since the 1980s.

Mateos *et al.* (2014) found a strong brightening in IP for the period 2003-2012 as a result of a decrease of clouds and aerosols in the region. The decreasing trends observed for shortwave radiation was explained in 75% by clouds and the remaining 25% by the effect of aerosols.

The main objective of this work is to study the patterns, evolution and trends of downward surface global solar radiation at the surface in the IP with ERA-40 reanalysis data and explore its relationship with clouds. Section 2 presents the data, the study area and describes the methodology used for this study; in section 3, shortwave radiation reanalysis data were compared to the common period to the measurements taken at Portuguese and Spanish meteorological stations. In section 4, annual and monthly area averaged values of downward surface global solar radiation and total cloud fraction are computed for ERA-40 reanalysis product and analyzed for the IP region. Temporal averages of shortwave radiation and total cloud cover were carried out over the entire ERA-40 period. Finally, conclusions are presented in section 5.

2 Data and Methods

2.1. Data and Area of Study

The daily downward surface global solar radiation (SSRD, in Wm^{-2}) data measured at Portuguese meteorological stations (Bragança, Porto, Évora and Faro) were obtained from the World Radiation Data Centre (WRDC, <http://wrdc-mgo.nrel.gov/>). SSRD from other Portuguese stations, also available at the WRDC was not considered for this study due to insufficient data (Table 1). For Lisbon, the monthly data were obtained directly from the Portuguese Institute of Ocean and Atmosphere (IPMA).

The analysis was done on a monthly mean basis obtained from the daily values. A month was considered only if the data available corresponded to at least two thirds of that month. In the absence of data for a month at a given station and a given year, the average of all corresponding months in the series was used. The annual average was calculated taking into account those values. The percentage of missing monthly values in the period under study is indicated in Table 1, which contains also the locations of the stations used. In addition, a collection of 13 Spanish series available since 1985 were considered in this study. For more details about the dataset, we refer to Sanchez-Lorenzo et al. (2013a).

SSRD and total cloud cover (TCC) variables from ERA-40 and NCEP/NCAR reanalysis are also used in this study on an annual and seasonal basis. ERA-40 is the 45 year second generation reanalysis based on the European Centre for Medium-Range Weather Forecast

(ECMWF) operational three-dimensional variation assimilation system, making comprehensive use of satellite data and conventional observations (Uppala *et al.*, 2005). It covers the period from September 1957 to August 2002 and the model uses 60 vertical levels and a T159 spectral resolution. The shortwave radiative variables were computed by the Foucart and Bonnel (1980) scheme. The data were extracted from the ECMWF portal. The spatial resolution used in the present study is $0.25 \times 0.25^\circ$, every 6 hours. NCEP/NCAR data (Kalnay *et al.*, 1996) covers the period from 1948 until present and has horizontal resolution of $2.5^\circ \times 2.5^\circ$ (T62 Gaussian grid $\sim 209\text{km}$), with 17 pressure level and 28 sigma levels. Monthly mean data was downloaded from National Oceanic and Atmospheric Administration (NOAA) portal.

In section 4, annual and monthly averaged trends for SSRD and TCC are computed from ERA-40 and for the IP region (36N-44N; 10W-4E), and for the period from 1958 to 2001. The seasons are defined according to the World Meteorological Organization (WMO) nomenclature i.e., winter (DJF), spring (MAM), summer (JJA) and autumn (SON).

2.2. Homogenization methods

Climatological series sometimes contains temporal inhomogeneities. In this study, the Standard Normal Homogeneity Test (SNHT, Alexandersson, 1986), Buishand Range Test (Buishand, 1982), Pettitt Test (Pettitt, 1979) and Von Neumann Ratio Test (Von Neuman, 1941) were used for testing the homogeneity of the Portuguese series. The absolute homogeneity tests were applied for each station separately (Wijngaard *et al.*, 2003; Morozova and Valente, 2012; Hakuba *et al.*, 2014) since observational stations have a low density (only five) and are considerably spaced from each other. Specifically, the Pettitt Test, SNHT, and Buishand test suppose that tested values are independent and identically normal distributed (null hypothesis), while under alternative hypothesis, tests assume inhomogeneous series as consequence of a break or a shift. On the other hand, for the Von Neumann Ratio Test the null hypothesis is that the data are independent and identically distributed random values, and with alternative hypothesis that the values in the series are not randomly distributed.

For each of the tests description, let be n the length of a time series to be tested, where x_i is i -th element of the series with mean μ and standard deviation σ .

Statistic $T(k)$, in SNHT test, compares the mean of the first k observations with the mean of the last $(n - k)$ observations,

$$T(k) = k \left[\frac{1}{k} \sum_{i=1}^k \frac{x_i - \mu}{\sigma} \right]^2 + (n - k) \left[\frac{1}{(n-k)} \sum_{i=k+1}^n \frac{x_i - \mu}{\sigma} \right]^2, k = 1, \dots, n \quad (1)$$

The null hypothesis is rejected if $T_0 = \max_{1 \leq k \leq n} T(k)$ and if T_0 is above a certain level given in a table of Khaliq and Ouarda (2007).

The Buishand statist test, $S(k)$, is defined as

$$S(k) = \sum_{i=1}^k (x_i - \mu) \quad k = 1, \dots, n \quad (2)$$

with, $S(0) = 0$, and R statistic given by

$$R = \left(\max_{0 \leq k \leq n} S(k) - \min_{0 \leq k \leq n} S(k) \right) / \sigma \quad (3)$$

Critical values for $R/\sqrt{n}$, were obtained, from Buishand (1982).

$Y(k)$ statistic test, for Pettitt test, is calculated as

$$Y(k) = 2 \sum_{i=1}^k r_i - k(n+1) \quad k = 1, \dots, n \quad (4)$$

Since Pettitt test is a non-parametric test, $r_1 \dots \dots r_n$ is the rank of the $x_1 \dots \dots x_n$. The critical value of the test $Y(k)$ is calculated for a probability level α as

$$Y_{k\alpha} = \sqrt{-\ln \alpha (n^3 + n^2) / 6} \quad (5)$$

and a change point will occurs when $Y_k = \max_{1 \leq k \leq n} |Y(k)|$.

Von Neumann ratio test (VNRT) is defined as

$$N = \frac{\sum_{i=1}^{n-1} (x_i - x_{i+1})^2}{\sum_{i=1}^n (x_i - \mu)^2} \quad (6)$$

Critical values was calculated, with probability level α , as

$$N_\alpha = 2 - 2u_\alpha \sqrt{\frac{n-2}{(n-1)(n+1)}} \quad (7)$$

According to Wijngaard et al. (2003) and Hakuba et al. (2014), three classes were considered: Class I: Useful – one or zero test reject the null hypothesis; Class II: Doubtful – two tests reject the null hypothesis; Class III: Suspect – three or four tests reject the null hypothesis. In this work, the null hypothesis was rejected at 1% level. The series in Class I and II may be considered homogeneous, whereas inhomogeneous for Class III (Wijngaard et al., 2003).

2.3 Statistical methods

The parameters used to evaluate the data model and meteorological data were the mean, bias (Bias), root mean square error (RMSE), the correlation coefficient (r) and coefficient of variation (CV). For a series with x_i values, with mean (μ) and standard deviation (σ), the CV (in percentage) is defined as:

$$CV(\%) = \frac{\sigma}{|\mu|} \times 100 \quad (8)$$

This parameter measures the variability in the values of a series relative to the population mean.

Normalized Bias, in percentage, is defined as:

$$NBIAS(\%) = \frac{BIAS}{\frac{1}{n} \sum_{i=1}^n x_i} \times 100 \quad (9)$$

A simple linear regression model (including the determination coefficient – R^2), as well the nonparametric statistical method of Mann-Kendall (Mann, 1945), were applied to find possible trends in annual and monthly data. The method of Mann-Kendall (*MK* test) has been widely used by several authors in meteorological studies. (Silva *et al.*, 2010, Obot *et al.*, 2010; Cislighi *et al.*, 2005 among others) to statistically assess if there is a monotonic upward or downward trend of the variable of interest over time and it is recommended by the World Meteorological Organization (WMO).

Specifically, for a time series containing a set of observations ($x_i, \dots, x_n$), the *MK* test is given by

$$S = \sum_{i=1}^{n-1} \sum_{j=i+1}^n \text{sign}(x_i - x_j) \quad (10)$$

where:

$$\begin{aligned}
 \text{sign}(x_i - x_j) &= 1 \text{ if } (x_i - x_j) > 0 \\
 \text{sign}(x_i - x_j) &= -1 \text{ if } (x_i - x_j) < 0 \\
 \text{sign}(x_i - x_j) &= 0 \text{ if } (x_i - x_j) = 0
 \end{aligned} \tag{11}$$

The statistical value of the test is the Mann-Kendall index (Z_{MK}), given by

$$Z_{MK} = \begin{cases} \frac{S-1}{\sigma} \text{ if } S > 0 \\ 0 \text{ if } S = 0 \\ \frac{S+1}{\sigma} \text{ if } S < 0 \end{cases} \tag{12}$$

With

$$\sigma = \sqrt{\frac{1}{18} [n(n-1)(2n-5) - \sum_{p=1}^q t_p(t_p-1)(2t_p+5)]} \tag{13}$$

A positive value in equation 10 indicates that there is a positive trend in the observations, a very large value of S reveals that the latest observations correspond to higher values than the first, for the same series; on the other hand, if the result of equation 10 gives a negative value, it can be assumed that there is a negative trend. In this work is considered a significant α level of 5%, which corresponds to $|Z_{MK}| \geq 1.96$. The α level value is obtained from the standard normal distribution table.

The sequential version of MK test (with the acquisition of two series - one regressive and another progressive), slightly modified by Sneyers (1975), allows to determine the point in time when the trend starts and when it becomes meaningful. In this version of the MK test, original values of a set of observations $(x_i, \dots, x_n)$ are replaced by their ranks y_i in

ascending order. The magnitude of $y_i, (i = 1, \dots, N)$ are compared with $y_j, (i = 1, \dots, N)$, and a statistic test is defined as

$$t_i = \sum_{j=1}^i n_i \quad (14)$$

where, n_i represents the sum of a temporal series whose values $y_i > y_j$. Assuming that there is a trend (null hypothesis is rejected), the series presents a normal distribution and variance given by:

$$E(t_n) = \frac{n(n-1)}{4} \quad (15)$$

$$VAR(t_n) = \frac{n(n-1)(2n+5)}{72} \quad (16)$$

The sequential values of the statistic are given by:

$$u(t_n) = \frac{(t_n - E(t_n))}{\sqrt{VAR(t_n)}} \quad (17)$$

The progressive series (forward direction) is determined from equation 17, starting from the value $i = 1, \dots, N$, generating the statistical $u(t_n)$. The regressive series is determined backward from the last term of the series $i = N, \dots, i$, generating the statistical $u'(t_n)$. The intersection between the progressive $u(t_n)$ and regressive $u'(t_n)$ series should occur within the confidence intervals and representing the beginning of the trend. The series became significant when the values of statistical data exceed the confidence intervals of 95% level.

3 Comparison of shortwave radiation data from ground-based and reanalysis datasets in Portugal and Spain

3.1 Changes of shortwave radiation in ground-based observation of Portugal

Due to the lack of studies dealing with the decadal changes of SSRD over Portugal using ground-based measurements (e.g., see Sanchez-Lorenzo *et al.*, 2015), in this section we first introduce their main characteristics. For more details about decadal changes in Spain, we refer to Sanchez-Lorenzo *et al.* (2013a).

As detailed in Section 2.2, the annual SSRD series for Portuguese stations were tested by means of four homogeneity tests. The results confirm that the five series can be considered homogeneous. Specifically, Bragança, Porto and Lisboa series obtained classification of Class I, whereas Évora and Faro were classified as Class II since null hypothesis was not rejected for the Buishand and Von Neumann tests. Consequently, we have used the five series for the subsequent analyses. Figure 1 shows the mean annual SSRD series in the five observational stations of Portugal. As can be seen from Table 1, the length of time series varies from station to station. In order to smooth the data and facilitate trend detection and qualitative analysis, a five-year centered moving average was applied. Table 2 shows the some climatological parameters on annual basis for the five Portuguese stations under analysis.

Porto series clearly shows a decrease of the SSRD by the end of the 1970s with a maximum annual average value observed in 1970 of $194 W/m^2$ and the minimum in 1977 of $153 W/m^2$. Similarly, Bragança reveals a decrease of radiation until the mid-1970s followed by an increase. The maximum annual value was reached in 1969 ($204 W/m^2$) and the minimum value in 1977 ($161 W/m^2$). Évora and Faro present a similar behavior, contrasting to that observed in Porto and Bragança, i.e., an increase of SSRD is observed since the early 1970s, without any visible dimming period. Regarding Faro, the maximum annual value was registered in 1978 ($222 W/m^2$) and the minimum, in 1966, with an average value of $196 W/m^2$. Évora station recorded a maximum mean annual value of $205 W/m^2$ in 1982, and a minimum annual value of $171 W/m^2$ in 1970. Finally, in Lisbon there are no relevant decadal variations in the series, which is in contrast with the changes observed in the other stations from Portugal.

Nevertheless, it is worth mentioning that the use of individual series is questionable, especially when the homogeneity testing of the series has been subjected to absolute methods due to the lack of reference series. For this reason, we have also computed the composite mean series of Portugal (Figure 1) that allows a higher signal-to-noise ratio, enabling a better identification of decadal variations than single station series and reduce the possible inhomogeneities remaining in the series. The SSRD mean values over Portugal range between $\sim 180 W/m^2$ and $\sim 200 W/m^2$. It is possible to identify two periods – until the end of the 1970s the behavior of SSRD is characterized by a decrease followed by an

increase, in line with previous literature (e.g., Wild, 2009, 2012), although with an earlier turning year as compared to other regions of Europe (e.g., Wild, 2009; Sanchez-Lorenzo *et al.*, 2013b; Sanchez-Lorenzo *et al.*, 2015).

Figure 2 shows the standard deviation of the global radiation on a monthly basis (between the same months) during the period of analysis. In general and in all months the highest values of variability corresponds to the cities of Porto and Bragança (in the north of Portugal), which in June presents the largest deviation. The inter-annual variability is greater in the cities of Évora and Porto with annual standard deviations of 10 W/m^2 . Faro station shows the lowest inter-annual value of $\sim 8 \text{ W/m}^2$ and has the maximum mean value of SSRD. The observed variability in the SSRD may be associated to inter-annual variability in cloudiness due to atmospheric circulation patterns such as NAO (Trigo *et al.*, 2002). On the other hand, due to the proximity of the IP to the north of Africa, it is expected that the SSRD is strongly affected by Saharan dust aerosol in particular in the spring and summer months, as well as by summer forest fires. Saharan dust aerosols increase the aerosol optical depth of the atmosphere and lead to a significant decrease of the solar radiation that reaches the ground (Antón *et al.*, 2012; Valenzuela *et al.*, 2012; Obregón *et al.*, 2015).

Lisbon and Porto are the major Portuguese cities and are located near the coast, where the majority of the Portuguese population and industries are concentrated. At the south of Tagus river the industrialization is less relevant (location of districts of Évora and Faro). An

analysis of the population in Portugal shows that in the period under review (1960-1990), the population grew in Lisbon (+53.8%), Porto (+40.5%) and Faro (+8.3%) and decreased in Évora (−20.7%) and Bragança (−20.8%) districts (Cravidão and Matos, 1990).

The SSRD pattern observed in Bragança and Porto (Figure 1) shows a decrease of SSRD that may be associated to the period commonly known as global dimming (Stanhill and Cohen, 2001). Still, the data shows that the increase in SSRD appears relatively earlier than that described in the literature, (e.g. Wild *et al.*, 2005, Wild, 2009, 2012). Results seem to indicate that the locations where industrial, topography of region and demography is relevant are those where the increase of SSRD arises later or is not visible at all (like in Lisbon). In the remaining stations of Portugal, located in the countryside in the south, with a lower industrial and population density and almost flat topography, the measurements hint at an earlier increase of radiation.

Specifically, Évora and Faro stations (cities at south of Portugal) seem to indicate an increase of SSRD over the whole study period. These cities are located in regions with small industrial density and demographic growth and with only a few occurrences of forest fires when compared with northern Portuguese regions (Leite *et al.*, 2014). Therefore, it is reasonable to assume that the typical atmosphere was characterized by low aerosol loads (background atmospheric aerosol), with occasional events of desert dust or forest fire particle transports, which means that possibly the area did not experience enough aerosol influence to be part of the dimming phenomenon (Alpert and Kishcha, 2008).

Since the area in question had little industry and the dominant circulation brings air masses from the Atlantic Ocean, it does not seem that the concentration of strongly absorbing aerosols (from local areas or from another region) has increased significantly and triggered a pronounced indirect effect of aerosols (as described for example in Twomey *et al.*, 1984 and Albrecht, 1989). Daily 120-h back-trajectory analyses of air masses for south-western IP, from 2005 to 2010, showed that the most frequent situations observed were the clean and maritime situations (Obregón *et al.*, 2012). This would, at least partly, explain the behavior of Évora and Faro series, which shows a stronger increase of SSRD than the other Portuguese stations.

Overall, the turning year from the period of decrease and increase of SSRD was around five years before in the stations in the south as compared to the stations in the north (especially Porto station). Results from winter and summer seasons confirm that the beginning of the brightening period is noticed over Portugal in the late seventies. SSRD in spring and autumn show an opposite behavior, i.e., autumn presents a positive trend without a decrease of SSRD, and spring season a negative trend without an increase (Figure 3). Table 3 contains the seasonal trends over the periods for the mean of five series (Portugal). The linear trend in the majority of the seasons, over Portugal, is non-significant over the entire period. The dimming and the brightening trends are mainly observed during summer with $-1.6Wm^{-2}year^{-1}$ and $1.9Wm^{-2}year^{-1}$, respectively. However, the highest level of confidence is only obtained for the observed dimming period.

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3.2. Evaluation of ERA-40 and NCEP/NCAR reanalysis products against ground-based measurements

The mean annual cycle and interannual variability of ERA-40 and NCEP/NCAR SSRD are compared with the global radiation measured at the meteorological stations described in section 2. For the analysis, the nearest grid points of the reanalysis products are considered. It is worth noting that the comparisons present some limitations due to the large spatial scale between grid point of reanalysis and observations (e.g., Hakuba *et al.*, 2014). The mean correlation coefficients and the bias values for Portugal and Spain, on annual and monthly basis, are shown in Figure 4 and Figure 5 respectively, whereas in the Supplementary Material additional results for individual stations are presented (Figure S1; Table S1).

ERA-40 (NCEP/NCAR) annual and monthly averaged SSRD radiation values are always lower (higher) than the corresponding ground-based measurement values as indicated by the negative (positive) Bias (Table S1 and Figure 4 and 5). The spatial distribution over IP (Figure 4) shows a tendency towards negative biases, except in the northern areas, with a performance of ERA-40 better than NCEP reanalysis data. For instance, ERA-40 database underestimates the SSRD in comparison to the observations with a mean Bias of around 20 W/m^2 (or 10% in relative values) for Portugal, where NCEP/NCAR overestimation is around 40 W/m^2 (or 20% in relative values). It is known (Wild, 2001) that NCEP

reanalysis is too transparent relative to surface solar radiation, and probably this is one of the reasons that may explain the highest bias found relative to ERA-40.

The correlation coefficient (r), on an annual basis (Figure 4), between the data measured at the selected stations and reanalysis products is relatively low, with mean values of $r = 0.4$ (ERA-40) and $r = 0.2$ (NCEP/NCAR). Spatial distribution of correlation coefficient is, for both reanalysis, worst at northern stations either in Portugal or in Spain. It is well known that clouds are structures of various dimensions. Due to the coarse spatial resolution of the reanalysis, cloud properties are not well represented (Kaurola *et al.*, 2010), which may explain, at least partially, the biases, as also found by Trager-Chatterjee *et al.* (2010) in Germany and You *et al.*, (2012) in the Tibetan Plateau, especially for the NCEP/NCAR reanalysis.

The reliability of ERA-40 and NCEP/NCAR data to assess SSRD was also investigated on a monthly basis (Figure 5). In the majority of the months, the values of the correlation coefficient are approximately above 0.6 for Portugal and Spain, indicating a good correlation (see Figure S1). The lowest correlations were obtained for the summer months. In those months the variance in the observations is much larger than in the reanalysis database probably due to the non-inclusion of the actual concentration of aerosols in the reanalysis process. For instance, the radiative fields of ERA-40 use a climatological aerosol profile and do not take into account the actual aerosol type and load (Uppala *et al.*, 2005). Thus, the decrease in the correlations during summertime can be explained by the

fact that it is the season with the highest atmospheric aerosol loading over the IP (Alados-Arboledas *et al.*, 2003; Toledano *et al.*, 2007). Nevertheless, the inaccurate representation of clouds, especially the convective systems, can be also considered as another likely cause of the disagreement as compared to surface observations (Wild and Schmucki, 2011; Xia *et al.*, 2006; Enriquez-Alonso *et al.*, 2015).

The CV of the annually averaged observed series (Table S1) is low (less than 5%), indicating a weak interannual variability of the mean incident global radiation, in line with the observed CV in sunshine duration series in IP (Gil *et al.*, 2015). The mean annual values of CV are slightly higher for observations than reanalysis products, since these products are based in radiative transfer model computations and not in radiation measurements contributing to smoothing the data series.

3.3. Trends of SSRD for Portugal and Spain from observations, ERA-40 and NCEP/NCAR data

The least square linear method was applied to the Portugal annual series (see Table 2) and the results indicate that for the whole period only two of the five stations studied (Évora and Faro) show a significant linear trend, whose confirmation was provided by *MK* test (Z_{MK} equal to 3.7 and 2.9, respectively). The result shows that in the 1964-1989 period, the trends are relatively strong with an increase in radiation of about $0.9Wm^{-2}year^{-1}$ in Évora and, $0.7Wm^{-2}year^{-1}$ in Faro. In the other stations the trends are not statistically significant, as confirmed by the *MK* test. It is worth mentioning that Évora and Faro show rates of increase in SSRD comparable with the nearest stations in Spain (Caceres and Malaga), as shown in Table 5 of Sanchez-Lorenzo *et al.*, (2013a).

Figure 6 shows the anomaly means of annual SSRD over Portugal and Spain from observations (mean series), ERA-40 and NCEP/NCAR data. The anomaly series are expressed as differences relative to a common period for Portugal (1964-86) and Spain (1985-2001). In Portugal, ERA-40 and NCEP/NCAR reanalysis replicate the general features of observations. ERA-40 captures better the dimming period than NCEP/NCAR, but both with a transition from dimming to brightening in the early 1970s (see figure 6). Relatively to Spain annual anomalies (1985-2001), ERA-40 and NCEP/NCAR show a general positive trend as the ground-based observations. Table 4 shows results for linear trends for different periods. For Portugal, signals are similar in all periods (except for 1978-

86 period in NCEP/NCAR), although the magnitude of the trends present slight differences between ground based stations and reanalysis products, as well as the turning year of the dimming/brightening periods. In the case of observational Spanish data sets, only one period (1985-2001) is considered.

Linear regression applied to Portugal over the 1964-86 period, is not statistically significant, as shown by the *MK* test (see table 4). For subdivided periods, linear trends shows for Portugal significant values, with $-0.5Wm^{-2}year^{-1}$ for the dimming (1964-1977) period and $1.1Wm^{-2}year^{-1}$ for the brightening (1978-86) period, taking into account a 90% of level of confidence. ERA-40 data, for the same locations, show only a decreasing trend over the 1964-1977 period but with approximately one half of that presented in Portugal and with non-significant for Spain. Results show that NCEP, in Spain, presents a trend of the same magnitude of observational series ($0.4Wm^{-2}year^{-1}$), both with a high significance level (above 90%). For a detailed SSRD trends over Spanish stations (1985-2001) see analysis in paper of Sanchez-Lorenzo et al. (2013a) who found a significant positive trend of around $4Wm^{-2}$ per decade.

Overall, the decadal changes of the reanalysis products fairly agree with the observations, especially for ERA-40, in contrast to literature for other regions of the world. The comparison between ERA-40 and observations of the SSRD shows a fairly good agreement in the analyzed period, especially on a monthly basis, where *r* values are relatively high. Results obtained from NCEP/NCAR reanalysis have a tendency to be

higher than ground-based stations and ERA-40 reanalysis, as seen in biases and decadal changes of radiation shown previously.

This work suggests that the ERA-40 data may be used in order to study the climatology and evolution of the surface solar radiation and clouds, over the regions where aerosol concentrations have not changed significantly. Results obtained with NCEP/NCAR reanalysis are poorer when compared with observational data.

4 Assessing SSRD and TCC in the IP from ERA-40 reanalysis data

In this section, the variability and trends of the SSRD and TCC over the IP using ERA-40 reanalysis data from the ECMWF are examined and discussed. Monthly means of SSRD and TCC for the entire period are computed and analysed. The relation between the interannual SSRD over IP and TCC is also analysed.

4.1 General features of SSRD and TCC climatology

The ERA-40 data was used to create a climatology of the surface solar radiation for the IP. Figure 7 presents the spatial averages of SSRD and TCC from ERA-40 (1957-2002). As expected, the averaged SSRD presents a latitudinal gradient showing higher values at lower latitudes and lower values at higher latitudes. On the other hand, the mean TCC is larger for higher latitudes. In this period, the mean SSRD ranged from a minimum of $135 W/m^2$ in the northern part of the IP to a maximum of $180 W/m^2$ in the south. The spatial TCC pattern is in phase opposition with respect to SSRD. In addition to the latitudinal gradients, Figure 7 also shows longitudinal variations, mainly over the Mediterranean region, with a decrease of SSRD. A relative maximum of SSRD in the southern IP is observed, covering the Algarve (Portugal) and Andalusia (Spain). The longitudinal gradient is more intense near the Portuguese West coast. The relation between SSRD and TCC was investigated in more depth for the period considered.

The IP annual mean values of the SSRD plotted as a function of the corresponding IP mean TCC values clearly illustrates that SSRD and TCC are negatively correlated, with a high coefficient of determination ($R^2 > 0.8$), as shown in Figure S2. This result reveals a strong dependence of clouds on radiation in the ERA-40 and NCEP/NCAR reanalysis. This finding would be expected since the annual aerosol field is fixed, and so only the clouds and the water vapor may have a direct impact on the SSRD.

The spatial distribution of the mean and standard deviation of SSRD for two months (January/July) associated with two different seasons (winter/summer) were computed. Figure 8 depicts the results obtained. In January, SSRD range from about $40 W/m^2$ in the north to more than $80 W/m^2$ in Murcia region, southeast of Spain. In July the mean SSRD values are almost four times higher, reaching values close to $300 W/m^2$ in a wide region, including Alentejo and Algarve (Portugal), as well as Extremadura and Andalusia (Spain).

The variability (standard deviation) of the SSRD is larger in January over the southern and central regions of Portugal and Spain, particularly in Extremadura and Andalusia and lower in the same regions in the summer months. The lower absolute variability observed in the northern part of the IP (Galicia, Asturias, País Vasco) in January is in agreement with the lower values of SSRD in the region. In winter months, variability in precipitation (clouds) is usually associated to variability in the pathway of the frontal systems which arise from Atlantic Ocean (Trigo, 2006; Frigoso *et al.*, 2010; among others).

4.2 Trend analysis of SSRD and TCC

Figure 9 shows the time series of the spatially averaged annual SSRD and TCC in the IP from 1958 to 2001 and gives a general frame of the evolution of these two quantities in the region. The annual time series of SSRD and TCC reveal non-statistically significant trend in ERA-40 over IP for the 1958-2001 period as shown in Table 5 (low values of R^2).

The spatially averaged ERA-40 SSRD mean values with a five-year centered moving average show two distinct periods for the IP (Figure 9): a decrease of SSRD in the beginning of the seventies (hereafter referred as ERA-40 dimming period) followed by a slight increase up to the end of the time series (hereafter referred as ERA-40 brightening period). In contrast, the TCC shows an opposite behavior with a decrease after 1971. Figure 9 allows identifying a maximum of SSRD over the IP in 1961 with a value of about $175W/m^2$ and a minimum in 1972 ($153W/m^2$). With respect to TCC, an opposite behavior is observed as referred before with the maximum obtained in 1972.

According to these results, trends for two separate periods were computed (before and after 1971) and are presented in Figures 9 and Table 5. The annual evolution of SSRD series shows a statistically significant trend for both periods. In the first period, the SSRD shows a linear decrease of $\sim 1Wm^{-2}year^{-1}$ with a statistically significant Z_{MK} . In the second period, it is visible a slight increase of the radiation, with a slope of $\sim 0.4Wm^{-2}year^{-1}$ and with also significant Z_{MK} at 95% level of confidence. An opposite pattern is noticed for TCC with a significant linear trend only in the second period.

Results from sequential *MK* test analysis (Figure 10) show that in the first period (1958-71) there is a decrease of the SSRD, which started around the late 60's (~1967) turning significant in the following year. This result is also consistent with the analysis done for the mean of five observational series in Portugal (section 3). For TCC an opposite behavior is observed with an increase that began in the same year as the SSRD increase but without a statistically significant trend although positive. In the second period (1972-2001), there is an opposite behavior, with SSRD presenting a significant statistical increase after 1985 while the TCC decreases for the same year (see Figure 10 and Table 5). These results show that the dimming/brightening phenomena is present in the ECMWF ERA-40 dataset over IP, but that the transition occurred earlier than revealed by the majority of global or regional studies (e.g. Wild *et al.*, 2005; Wild and Schmucki, 2011). Anyway, it is possible to look at the dimming/brightening at a regional scale. Thus, the IP was divided into small areas ($1^{\circ} \times 1^{\circ}$) and in each of these a five-year centered moving average was applied in order to compute the inflection point (minimum value of the centered moving average). The transition year, computed as the minimum of the centered moving average shows a latitudinal gradient (Figure 11), which suggests that the ERA-40 dimming period finished in 1970 in the south and center IP and a few years later (1973/74) in the north, being the year of 1971 the average for the whole Peninsula.

The fact that ERA-40 does not consider the evolution of the aerosol concentration (Upalla *et al.*, 2005), may explain, at least partially, the anticipation of the inflection point in the

reanalysis as compared to studies based on ground-observations. Note that the transition years in the ERA-40 data set are consistent with observations in Portuguese stations located in low industrialized regions (Faro and Évora), as shown before (see previously section).

These results show that part of the dimming/brightening phenomena in the Iberian Peninsula must be related with decadal changes in the cloud radiative effects and not only to changes in the aerosol loading, especially over south regions where brightening arise five to ten years earlier than shown in literature (Wild *et al.*, 2005), with a gradient that increase from southwest to northeast.

The SSRD linear trends were computed for the IP in both the ERA-40 dimming (1958-71) and brightening periods (1972-2001). Figure 12 shows the spatial distribution of the linear regression trends over the IP. For both periods, the pattern of SSRD trends shows a longitudinal gradient with an increase in the northeast direction. In the first period (1958-1971) trends are always negative with the highest values ($\sim -1.8Wm^{-2}year^{-1}$) observed in Northeastern Spain, provinces of Navarra, Aragon and Catalonia. For Portugal, the maximum negative trend ($-1Wm^{-2}year^{-1}$) is found over the south (Alentejo and Algarve). Relatively to the second period (1972-01), linear trend values are always positive for entire IP with a maximum of $+1Wm^{-2}year^{-1}$ over the same region where in the first period the major negative trends were found (Northeast region). Although, the period of observational series “break” in different years, there is a tendency to underestimate the trend of the brightening in the reanalysis product as compared to the ground-based

observations, with rates below the $4 - 6 \text{ W/m}^2$ per decade reported in this study and in Sanchez-Lorenzo et al. (2013a) and in line with the results of Wild and Schmucki (2011). Equally, it is worth noting that during the dimming period, and especially for the ERA-40, there is a tendency to overestimate the rates of decrease as compared to surface observations, and in contrast to Wild and Schmucki (2011).

The monthly mean area averaged trends in IP for SSRD and TCC were computed for both periods and are presented in figure 13. The results for the first period show that there is a clear decrease of radiation in 75% of the months. The maximum (negative) value of Z_{MK} is reached in August, although with other two months with negative Z_{MK} statistical significance (April and July). In the case of TCC, the *MK* test proved to be statistically significant for the months of April, July and August. However, results show an opposite behavior relatively to SSRD with an increase in all months with the exception of March, October and December.

In the second period it is observed for both parameters and for almost all the months, an increase in SSRD (except in September and November) and a decrease in TCC (except for September). Table 6 summarizes the results of the monthly tendencies, where the grey colour indicates the existence of a statistically significant trend and the sign indicates positive (+) or negative (−) trends.

As expected, there are opposite trends in both parameters, especially visible for spring and summer periods. *MK* test shows an increase of SSRD in March, in both periods,

statistically significant in the first period, which is consistent with that described by Miranda et al. (2006).

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5 Conclusions

Both downward surface global solar radiation (SSRD) and total cloud cover (TCC) variabilities were studied in the Iberian Peninsula based on the ERA-40, NCEP/NCAR reanalysis data and global radiation data, for five Portuguese stations, obtained from WRDC and IPMA and processed in this study, and for 13 Spanish series available from Sanchez-Lorenzo et al. (2013a) were also used.

The SSRD mean series in Portugal show two distinct periods of decrease and increase during the study period, known as the dimming and brightening periods in the literature, although with an earlier turning year (around 5 years) than that described in the literature, in particular over south of Portugal. The observational data reveal an increasing trend of global radiation in the stations located in the South of Portugal, without a pronounced decrease before the 1980s, in the period 1964-1986.

Least square linear method shows for Évora and Faro a statistically significant increase in the SSRD of $1 W/m^2 year^{-1}$, in the study period. This behavior has to be mainly explained by the interannual variability of clouds and respective synoptic patterns over North Atlantic Ocean. Overall, the observations suggest that the decadal evolution of SSRD may be influenced by local causes associated to human activities, particularly the emission of aerosols, as well as cloud changes.

ERA-40 database underestimates the SSRD in comparison to the observations for Portugal and Spain, whereas NCEP/NCAR overestimated the latter. The correlation coefficients (r)

found between the ground-based stations for Portugal and Spain obtained from the nearest ERA-40 and NCEP/NCAR grid data points are relatively high for the monthly series. Specifically, the correlation coefficient values are above 0.7 with the highest values obtained during winter and autumn seasons.

From reanalysis and for Iberian Peninsula, it seems that ERA-40 captures better the decadal variability observed in ground-based SSRD records than NCEP/NCAR. Specifically, the time evolution of ERA-40 SSRD values for IP shows two distinct periods: a decrease of SSRD to the beginning of the seventies (ERA-40 dimming period), followed by a slight increase up to the end of the time series (ERA-40 brightening period), with a significant linear trends of $\sim -1Wm^{-2}year^{-1}$ and $+0.4Wm^{-2}year^{-1}$, respectively. For both periods, it is possible to see a longitudinal gradient toward the northeast direction. TCC shows an opposite behavior.

SSRD and TCC are negatively correlated with a fairly high coefficient of determination ($R^2 > 0.8$). This finding would be expected since the annual aerosol field is fixed (Uppala *et al.*, 2005). Results show that part of the dimming/brightening phenomena in the Iberian Peninsula must be related with decadal changes in the cloud radiative effects and not only to changes in the aerosol loading, especially over southern regions where brightening arise approximately 5 years earlier than reported in literature (Wild *et al.*, 2005).

ERA-40 reveals a reasonable ability to simulate the radiation, in particular on a monthly basis, considering the uncertainty in the observational data, the problem of fixed aerosols in the reanalysis data and its spatial resolution. It was thus possible to obtain a useful low resolution climatology of the surface global solar radiation over Iberian Peninsula based on ERA-40 reanalysis.

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Supporting information

Table S1 Descriptive statistics of annual mean of SSRD for observed and reanalysis data over Portugal and Spain.

Figure S1 Correlation coefficients and bias between the monthly mean series of observed SSRD at selected meteorological stations and reanalysis products.

Figure S2 SSRD *versus* TCC spatially averaged over IP, for ERA-40 and NCEP data.

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STATION	PERIOD	LAT (N)	LON (W)	ALTITUDE (m)	MISSING MONTHLY DATA (%)
Lisbon	58-89	38.43	−9°.09	77	0
Monte Estoril	64-89	38.42	−9.24	31	90.7
Porto	64-89	41.08	−8.36	93	2.2
Coimbra	64-89	40.12	−8.25	141	10
Faro	64-86	37.01	−7.58	7	0.4
Évora	64-89	38.34	−7.54	309	1.0
Penhas Douradas	64-89	40.25	−7.33	1380	19
Castelo Branco	64-89	39.50	−7.29	386	82.4
Bragança	64-89	41.48	−6.44	691	4.8

Table 1 Location and data availability for the Portuguese stations. Bold stations refer to stations used in this study.

STATIONS	Period	$\bar{X}$	σ	b	R^2	Z_{MK}
Braganca	1964-89	181.0	9.0	-0.5	0.2	-1.5
Porto	1964-89	178.2	10.1	-0.4	0.1	-1.1
Lisbon	1964-89	192.5	7.8	-0.1	0.0	-0.3
Évora	1964-89	191.6	9.8	0.9	0.5	3.7
Faro	1964-86	207.7	7.5	0.7	0.4	2.9

Table 2 Annual climatology for meteorological station in Portugal with mean ($\bar{X}$), standard deviation (σ), slope (b), coefficient of determination (R^2) and MK indice (Z_{MK}) with a α level of confidence of 5%. Bold values means significant trends.

	Period		
	1964-86	1964-77	1978-86
DJF	0.1	0.0	0.9
MAM	-0.4	-1.2 (80%)	1.1
JJA	-0.0	-1.6 (98%)	1.9 (80%)
SON	0.4 (80%)	0.5	0.5

Table 3 Seasonal linear trends for SSRD mean over Portugal for different periods. Trend values in $Wm^{-2}year^{-1}$. In parenthesis, is presented the α level of confidence obtained by MK test. Bold values means significant trend and the values in parenthesis indicate the level of significance.

	Portugal			Spain
	1964-86	1964-77	1978-86	1985-2001
OBS	0.1	-0.5 (90%)	1.1 (90%)	0.4 (90%)
ERA-40	0.1	-0.3 (80%)	0.2	0.2
NCEP/NCAR	0.1	-0.1	-0.1	0.4 (98%)

Table 4 Linear trends for annual mean SSRD over three periods in Portugal for observations, ERA-40 and NCEP data. All values in $Wm^{-2}year^{-1}$. In parenthesis, is presented the α level of confidence obtained by MK test. Bold values means significant trend and the values in parenthesis indicate the level of significance.

	Period	$\bar{X}$	σ	b	R^2	$Z_{MK} (\alpha= 0.05)$
SSRD	1958-2001	166.9	4.6	0.1	0.1	1.5
	1958-1971	166.9	5.8	-0.97	0.5	-3.0
	1972-2001	166.9	4.1	0.36	0.6	4.7
TCC	1958-2001	0.44	0.0	-0.0	-0.0	-1.6
	1958-1971	0.44	0.0	0.0	0.3	1.8
	1972-2001	0.44	0.0	-0.0	0.5	-4.1

Table 5 Mean, standard deviation (σ), slope (b), R-squared and time series trend obtained from the by MK test for SW and TCC in IP. Bold Z_{MK} index means significant trend. In parenthesis, is presented the α level of confidence.

<i>period</i>	<i>parameter</i>	<i>Jan</i>	<i>Feb</i>	<i>Mar</i>	<i>Apr</i>	<i>May</i>	<i>Jun</i>	<i>Jul</i>	<i>Aug</i>	<i>Sep</i>	<i>Oct</i>	<i>Nov</i>	<i>Dec</i>
1958-71	SSRD	-	-	+	-	-	-	-	-	-	+	-	+
	TCC	+	+	-	+	+	+	+	+	+	-	+	-
1972-01	SSRD	+	+	+	+	+	+	+	+	-	+	-	+
	TCC	-	-	-	-	-	-	-	-	-	-	+	-

Table 6 *MK* test statistical analysis of the monthly averaged variability in the IP in various periods of study. The light grey indicates the existence of trends with a confidence level of 95%.

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— OBS — ERA40 — NCEP

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